

10-04-2006

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new applications below

FINANCE SECTION

10-2-06

1. Name of conveying party(ies)

Peter M. Nielsen

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Nicholas A. Pandiscio

Internal Address: _____

Street Address: 21 Wayland Hills Road

City: Wayland

State: Massachusetts

Country: USA Zip: 01778

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 09/13/06 and 09/19/06

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

5,803,207

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Pandiscio & Pandiscio, P.C.

Internal Address: Nicholas A. Pandiscio

Street Address: 470 Totten Pond Road

City: Waltham

State: MA Zip: 02451-1914

Phone Number: (781) 290-0060

Fax Number: (781) 290-4840

Email Address: npandiscio@pandisciolaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 16-0221

Authorized User Name _____

9. Signature:

Nicholas A. Pandiscio
Signature

9/28/06
Date

40.00 DP
Name of Person Signing
Nicholas A. Pandiscio

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

10/03/2006
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PATENT ASSIGNMENT

WHEREAS, Peter M. Nielsen, a citizen of the United States residing at 21 Nut Island Avenue, Quincy, MA 02169 (hereinafter called Inventor) has invented a novel brake assembly, which invention has been described and claimed in U.S. Patent No. 5,803,207, issued Sept. 8, 1998 for "Brake Assembly For A Cycle" (hereinafter called the Patent); and

WHEREAS, Nicholas A. Pandiscio, a citizen of the United States residing at 21 Wayland Hills Road , Wayland, Massachusetts 01778 (hereinafter called the Investor) is desirous of acquiring an interest in the Patent;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other valuable consideration, Inventor, by these presents, agrees to and does hereby sell, assign, and transfer to Investor, fifty percent (50%) of the full and exclusive right in and to the Patent, the same to be held and enjoyed by Investor for his own use and behoof and for the use and behoof of his heirs, assigns and legal representatives to the end of the full term for which the Patent has been granted, as fully and entirely as the same which had been held by Inventor had this assignment and sale not been made; provided, however, that (1) no sale, assignment, license or other transfer of any right in or to the Patent or any part thereof and no assignment, license, covenant not to sue, or other agreement relating to manufacture, use or sale within the United States of products embodying the invention covered by any claims of the Patent may be granted or made by either Inventor or Investor to or for the benefit of a third party except for money or other consideration and only in writing after thirty (30) days prior written notice to the other party hereto fully disclosing all details of the proposed sale, assignment, license, transfer, covenant not to sue, or such other agreement; and (2) all moneys and other consideration received by Inventor or Investor from any such sale, assignment, license, transfer, covenant not to sue or such other agreement shall be divided equally between Inventor and Investor, with distribution to be made promptly after receipt and subject

to equal sharing of all expenses reasonably incurred in making or granting such sale, assignment, license, transfer, covenant not to sue or such other agreement.

Inventor further agrees (a) to execute at the request of Investor any confirmatory assignment of the interests herein assigned to Investor, and (b) to make all rightful oaths and execute and deliver any and all papers that may be necessary or desirable to perfect the joint ownership of Investor in and to the rights herein conveyed.

This assignment and agreement is made pursuant to a collateral agreement by the parties entered into on September 7, 2006.

Where the context of this assignment so admits, Inventor and Investor each shall be deemed to include his heirs, assigns and legal representatives.

IN WITNESS WHEREOF, Inventor and Investor have hereunto set their hands and seals on the dates hereinafter specified.



Peter M. Nielsen

Date 13 SEPTEMBER 2006



Nicholas A. Pandiscio

Date 19 September 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patentee: Peter M. Nielsen
Patent No.: 5,803,207
Issue Date: 09/08/1998
For: BRAKE ASSEMBLY FOR A CYCLE
Attorney's Docket No.: Peter M. Nielsen

MAIL STOP ASSIGNMENT RECORDATION SERVICES

Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find an assignment transferring fifty percent (50%) of the rights in the above-identified patent from the inventor, Peter M. Nielsen, to Nicholas A. Pandiscio.

Also enclosed is a check in the amount of \$40.00 to cover the cost of recording the Assignment.

Would you please record the enclosed Assignment and forward the Notice of Recordation to the below-signed attorney.

If any additional fees are required in connection with this matter, please charge the same to Deposit Account No. 16-0221.

Thank you.

Respectfully submitted,



Nicholas A. Pandiscio
Reg. No. 17,293
Pandiscio & Pandiscio
470 Totten Pond Road
Waltham, MA 02451-1914
Tel. (781) 290-0060

Mailing Certificate

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

Sep 8 2006
(date of deposit)

NICHOLAS A. PANDISCIO
(name of attorney)

Nicholas A. Pandiscio
(signature)

bawc:correspondence
niel1assigntr.ltr