

10-05-2006



Docket No.: B429-111 (25803.117)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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103317129

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joseph Daly, William Livingood, Ramakrishnan
Venkataraman, Mohammad Farooque

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 9/27/2006; 9/21/2006;

9/27/2006; 9/27/2006

2. Name and address of receiving party(ies):

Name: FuelCell Energy, Inc.

Address: 3 Great Pasure Road

City: Danbury State/Prov.: CT

Country: USA ZIP: 06813

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

11/475,475

6/27/2006

10/04/2006 DBYRNE 00000221 11475475

01 FC:8021

40.00 DP

Additional numbers

☐ Yes ☒ No

OFFICE OF PUBLIC RECORDS
2006 OCT -4 AM 11:45
FINANCE SECTION

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John J. Torrente

Registration No. 26,359

Address: Cowan, Liebowitz & Latman, P.C.

1133 Avenue of the Americas

City: New York

State/Prov.: NY

Country: USA

ZIP: 10036-6799

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

03-3415

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John J. Torrente (Reg. No. 26,359)

Name of Person Signing

Signature

September 29, 2006

Date

5

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

PATENT
REEL: 018360 FRAME: 0479

ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00) to each of us in hand paid, and for other good and valuable consideration, receipt and sufficiency of which we hereby acknowledge, we,

M. Only
William C. Ingersoll, III 9/21/06
William C. Livingood, III
Ramakrishnan Venkataraman
 JOSEPH DALY, WILLIAM LIVINGOOD, RAMAKRISHNAN VENKATARAMAN and
 MOHAMMAD FAROOQUE *Mohammad Farooque 2/27/06*

respectively of 12 Ridgedale Road, Bethel, in the County of Fairfield in the State of Connecticut; 1532 Regent Street, Niskayuna, in the County of Schenectady in the State of New York; ~~181 602 Willow Springs, New Milford, in the County of Litchfield~~ in the State of Connecticut; and 163 South Street, #92N, Danbury, in the County of Fairfield in the State of Connecticut

believing ourselves to be the joint inventors of a certain invention or discovery in

METHOD AND SYSTEM FOR RECOVERING HIGH POWER OUTPUT OPERATION OF HIGH TEMPERATURE FUEL CELLS USING A RAPID LOAD RECOVERY PROCEDURE

as fully set forth and described in our application for United States Letters Patent, Serial No. 11/475,475, filed June 27, 2006,

and being the owners of all right, title and interest in and to said application and invention, warranting that we have not granted or agreed to grant to others any assignments, licenses, mortgages, or other rights or encumbrances regarding said application and invention, and believing that we have full right to convey the entire interest, both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto

FUELCELL ENERGY, INC., a corporation organized and existing under and by the virtue of the laws of the State of Delaware, and having an office and place of business at 3 Great Pasture Road, Danbury, Connecticut 06813, as the Assignee and to its successors,

assigns and nominees, the entire right, title and interest in and to said application and invention together with any and all other applications and patents either United States or foreign upon the same invention which we may now or hereafter have, and all divisions, reissues, continuations, continuations-in-part, substitutions and extensions thereof and all rights to obtain patents thereon, together with the right to apply for letters patent in foreign countries with full benefit of such priorities as may now or hereafter be granted to us by law or by treaty, including any international convention.

And we hereby covenant and agree that we will communicate to said Assignee,

FUELCELL ENERGY, INC., its successors,

assignees and nominees, all facts known to us respecting said invention and will, upon their request at any time, but without expense to us sign all lawful papers, execute all original,

divisional, substitute, continuing and reissue applications and assignments, make all rightful oaths, testify as to facts relating to said invention in any legal proceedings, and generally do everything reasonable and proper to aid said Assignee,

FUELCELL ENERGY, INC., its successors,

assigns and nominees, to receive proper protection for said invention in all countries.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the dates indicated hereinafter,

Date: 9/27, 2006

JOSEPH DALY

STATE OF CONNECTICUT

COUNTY OF Fairfield

Danbury : SS

On this 27th day of Sept., 2006, before me, the subscriber, personally came Joseph Daly, to me known and known to me to be the same person described in and who executed the foregoing instrument and duly acknowledged to me that (he) (she) executed the same as (his) (her) free act and deed.

(Seal)

Susan C. Kelly
Notary Public

My Commission Expires April 30, 2008

Date: 9/21, 2006

William C. Livingood, III
WILLIAM LIVINGOOD

STATE OF NEW YORK

COUNTY OF SCHENECTADY

: SS

On this 21 day of Sept., 2006, before me, the subscriber, personally came William Livingood, to me known and known to me to be the same person described in and who executed the foregoing instrument and duly acknowledged to me that (he) (she) executed the same as (his) (her) free act and deed.

(Seal)

Linda DeBraccio
Notary Public

LINDA DEBRACCIO
Notary Public, State of New York
Residing in Schenectady County
Reg. No. 01DE6078210
My Commission Expires 4/15/10

Date: Sep. 27, 2006

Ramakrishnan Venkataraman

RAMAKRISHNAN VENKATARAMAN

STATE OF CONNECTICUT

COUNTY OF

Fairfield
Danbury : SS

On this 27th day of Sept, 2006, before me, the subscriber, personally came Ramakrishnan Venkataraman, to me known and known to me to be the same person described in and who executed the foregoing instrument and duly acknowledged to me that (he) (she) executed the same as (his) (her) free act and deed.

(Seal)

Susan C. Kelly
Notary Public

My Commission Expires April 30, 2006

Date: 9/27, 2006

Mohammad Farooque

MOHAMMAD FAROOQUE

STATE OF CONNECTICUT

COUNTY OF

Fairfield
Danbury : SS

On this 27th day of Sept, 2006, before me, the subscriber, personally came Mohammad Farooque, to me known and known to me to be the same person described in and who executed the foregoing instrument and duly acknowledged to me that (he) (she) executed the same as (his) (her) free act and deed.

(Seal)

Susan C. Kelly
Notary Public

My Commission Expires April 30, 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Joseph Daly, et al.
Serial No. : 11/475,475
For : METHOD AND SYSTEM FOR RECOVERING HIGH POWER
OUTPUT OPERATION OF HIGH TEMPERATURE FUEL CELLS
USING A RAPID LOAD RECOVERY PROCEDURE
Filed : June 27, 2006
Examiner : Unassigned
Art Unit : 1745

Mail Stop Assignment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

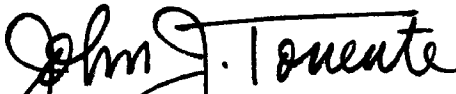
LETTER FILING ASSIGNMENT

The Assignment for the above-captioned application, as well as a Recordation Form Cover Sheet (in duplicate) and our firm check for \$40.00 are enclosed herewith.

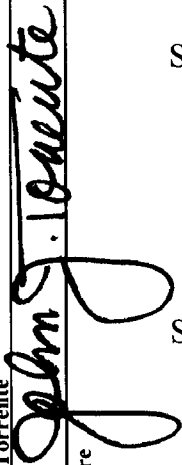
Dated: September 29, 2006

Respectfully submitted,

COWAN, LIEBOWITZ & LATMAN
1133 Avenue of the Americas
New York, New York 10036
Tel. (212) 790-9200


John J. Torrente
Reg. No. 26,359
An Attorney of Record

25803/117/765499.1


John J. Torrente
Signature

September 29, 2006
Date of Signature