

Form PTO-1619-A

**RECORDATION FORM COVER SHEET  
PATENTS ONLY****U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
PATENT**Docket No.: **M190.190.101 / P-24685.00**TO: Director of the U.S. Patent and Trademark Office:  
Please record the attached original document(s) or copy(ies) thereof.

## 1. Name of conveying party(ies):

**James M. Haase  
Wende L. Dawing  
William K. Wenger  
Beth Bullemer**

## 2. Name and address of receiving party(ies):

Name: **Medtronic, Inc.**

Internal Address:

Street Address: **710 Medtronic Parkway NE**City: **Minneapolis** State: **Minnesota** ZIP: **55432-5604**Country: **United States of America**

## Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

## 3. Nature of conveyance:

- ☐
- Assignment
- ☐
- Merger
- 
- ☐
- Security Agreement
- ☐
- Change of Name
- 
- ☒
- Correction to Assignment recorded 7/26/2006 with  
respect to U.S. Serial Number.

## Additional name(s) &amp; addresses attached?

☐ Yes ☒ NoExecution Date: **June 28, 2006; June 23, 2006; June 21, 2006;  
and June 21, 2006.**

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Serial No.(s)/Filing Date(s)

**11/413,313 / April 28, 2006**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:6. Total number of applications and patents involved: **1**Name: **Timothy A. Czaja**7. Total fee (37 CFR 3.41). **\$40.00**

- ☐
- Enclosed.
- 
- ☒
- The Patent Office is hereby authorized to charge Deposit Acct. No. 50-0471 in the
- 
- amount of \$40.00 for recording of the Assignment document.
- 
- ☒
- Any deficiencies in enclosed fees should be charged to deposit account 50-0471.

**Address: DICKE, BILLIG & CZAJA, PLLC  
ATTN: MD Matters  
Fifth Street Towers, Suite 2250  
100 South Fifth Street  
Minneapolis, MN 55402  
Customer No. 63496**8. Deposit Account Number: **50-0471**

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Timothy A. Czaja**

(Name of Person Signing)

Signature

Date

**October 5, 2006**Total number of pages comprising cover sheet, attachments and document: **6**

OMB No. 0651-0011 (exp. 4/94)

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**700290592****PATENT  
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**ASSIGNMENT**

WHEREAS, WE,

- (1) James M. Haase, residing at 3016 Frank Street, Maplewood, MN 55109;
- (2) Wanda L. Dewing, residing at 5837 Beard Avenue South, Edina, MN 55410;
- (3) William K. Winger, residing at 500 Robert Street North, Unit 619, St. Paul, MN 55101; and
- (4) Beth Bullmer residing at 3375 Lake Haughey Road, Maple Plain, MN 55359

have invented certain improvements in "IMPLANTABLE THERAPEUTIC SUBSTANCE DELIVERY DEVICE WITH SEPTUM GUIDE AND METHOD OF USE" for which We have executed an application for Letters Patent of United States of America,

☐ is attached hereto.  
☒ was filed on April 28, 2006 as United States Application No. 11/413,313 and Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_; and

WHEREAS We hereby authorize and request the Assignee, Medtronic, Inc., to insert the filing date and application number of said application when known; and

WHEREAS, MEDTRONIC, INC., a corporation organized and existing under the laws of the State of Minnesota and having a principal place of business at 710 Medtronic Parkway NE, Minneapolis, Minnesota 55432-5604, hereinafter referred to as "Corporation," is desirous of acquiring the entire right, title and interest in and to said invention for the United States and for all foreign countries and in and to any and all foreign and domestic Letters Patent which may be granted therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, We have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Corporation, its successors and assigns, the entire right, title and interest in and to said invention and the entire right, title and interest in and to any and all Letters Patent of the United States (including, without limitation, every patent application identified above) and any foreign countries which may be granted therefor including my rights under the International Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Letters Patent that may be granted, the same to be held and enjoyed by Corporation for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made;

AND, for the consideration aforesaid, we materially represent to Corporation, its successors and assigns, that at the time of the execution and delivery of these presents, We are the lawful owners of the entire right, title and interest in and to the invention, application and Letters Patent above mentioned, and that the same are unencumbered, and that we have good right and lawful authority to sell and convey the same in the manner herein set forth;

AND, for the consideration aforesaid, we hereby individually covenant and agree to and with Corporation, its successors and assigns, that whenever its counsel or the counsel of its successors or assigns, learned in the law, shall advise that an amendment or division of, or continuation or any continuation-in-part thereof, or any other proceeding in connection with the filing or prosecution of said domestic or foreign patent applications, including interference proceedings, is lawful and desirable, or that a release of extension of said Letters Patent is lawful and desirable, we, or my executors, administrators or assigns will sign all papers and drawings, take all rightful oaths, and do all acts necessary or required to be done for the procurement of valid Letters Patent for said invention, or for the release or extension of the same, without charge to Corporation, its successors or assigns, but at Corporation's expense.

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We hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, We have hereunto set my hand on this 28<sup>th</sup> day of June, 2006.

James M. Haase  
James M. Haase

STATE OF MINNESOTA  
COUNTY OF Anoka ss.

On this 28<sup>th</sup> day of June, 2006, before me personally appeared James M. Haase, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



[SEAL]

Karen Ann Harvey  
Notary Public  
My Commission Expires: Jan 31, 2010

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IN WITNESS WHEREOF, I have hereunto set my hand on this 23 day of  
JUNE, 2006.

Wende L. Dawing  
Wende L. Dawing

STATE OF MINNESOTA )  
COUNTY OF Douglas ) ss.

On this 23 day of June, 2006, before me personally appeared Wende  
L. Dawing, to me known to be the person described in and who executed the foregoing instrument and  
acknowledged that he executed the same as his free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the  
day and year above written.



Karen Ann Harvey  
Notary Public  
My Commission Expires: Jan 31, 2010

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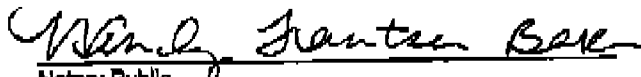
IN WITNESS WHEREOF, I have hereunto set my hand on this 21<sup>st</sup> day of June, 2006.

  
William K. Wenger

STATE OF MINNESOTA }  
COUNTY OF Ancker } ss.

On this 21<sup>st</sup> day of June, 2006, before me personally appeared William K. Wenger, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.

  
Wendy Frantsen Baker  
Notary Public



[SEAL]

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IN WITNESS WHEREOF, I have hereunto set my hand on this 21st day of June, 2006.

Beth Bulmer  
Beth Bulmer

STATE OF MINNESOTA }  
COUNTY OF Anoka } ss.

On this 21st day of June, 2006, before me personally appeared Beth Bulmer, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.

Wendy Frantzen Baker  
Notary Public



[SEAL]

☒ This is the final page of this Assignment.