

9-29-06

Form **PTO-1595**
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10-10-2006



103318695

DOCKET NO: 155542-1

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ronald Scott Bunker

Execution Date

09/27/06

2. Name and address of receiving party(ies)

Name: **GENERAL ELECTRIC COMPANY**

Internal Address:

Street Address: 1 River Road

City: Schenectady State: NY ZIP: 12345

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is (are) provided above.

A. Patent Application No.(s)

B. Patent No. (s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: Global Research Patent Docket Rm. 4A59

Street Address: 1 Research Circle

City: Niskayuna State: NY Zip: 12309

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 07-0868

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Francis T. Coppa
Name of Person Signing

FT. Coppa
Signature

September 29, 2006
Date

Total number of pages including cover sheet, attachments and document 3

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ASSIGNMENT

In accordance with my/our obligations under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between the General Electric Company, a New York Corporation, and my employer), and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Ronald Scott Bunker

of **Niskayuna, New York**

of

of

of

of

of

of

of

of

of

of

of

of

hereby sell and assign to **General Electric Company, a New York Corporation**, (hereinafter referred to as Assignee) having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my (our) entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

STATOR-ROTOR ASSEMBLIES HAVING SURFACE FEATURES FOR ENHANCED CONTAINMENT OF GAS FLOW, AND RELATED PROCESSES

☒ executed concurrently herewith,

☐ filed on having Serial Number

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Assignee, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Assignee, I/we will communicate to said Assignee or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Assignee, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

INVENTOR 1

Signature: _____
Ronald Scott Bunker

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of WitnessWitnessed by: _____
Signature

Date: _____

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Ronald Scott Bunker
Ronald Scott BunkerDate: 27 Sept. 2006

STATE OF New York

SS.

COUNTY OF Schenectady

This 27th day of September, 2006 before me personally came the above-named **Ronald Scott Bunker**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Rita M. Lynch
(Notary Public)

RITA M. LYNCH
Notary Public, State of New York
Qual. in Albany Co. No. 011Y6079764
Commission Expires 8/29/2010