10-10-2006

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Practitioner's Docket No. 19400/09764 (MJE00028)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

Attached please find an assignment (document) for recordal.

IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S) FOR ASSIGNMENT (DOCUMENT) RECORDAL (37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

- 1. This assignment is for the following patent application and/or issued patent:
 - National application: SN: 11/497,847, filed on August 2, 2006 a.

TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS AND TOTAL FEE

- 2. The total number of applications and/or patents identified in this cover sheet is: 1. Α.
 - B. The total fee is (37 C.F.R. Section 1.21(h)):

 $1 \times \$40.00 = \40.00

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a) with sufficient postage as first class mail.

37 C.F.R. § 1.10* as "Express Mail Post Office to Addressee" (mandatory) Mailing Label No.

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (571) 273 - 0140.

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01 FC:8021

Debra Dunn-Brown

(type or print name of person certifying)

Assignment (Document) Cover Sheet--page 1 of 3

^{*} Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under '1.8 continues to be taken into account in determining timeliness. See '1.703(f). Consider "Express Mail Post Office to Addressee" ('1.10) or facsimile transmission ('1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

C. Payment of fee is made by:

Attached is Check No. 28931 in the amount of \$40.00.

Charge any additional fees required by this paper or credit any overpayment to Deposit Account No. 50-2548.

A duplicate of this paper is attached.

NAME OF PARTIES CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))

3. The parties conveying interest are:

Joshua C. Anthony Robert A. Burns Yung H. Lee Win-Chin Chiang Khaled A. Khatib John R. Euber John R. Reckelhoff

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST (37 C.F.R. § (a)(2))

4. The rights are being conveyed to:

Bristol-Myers Squibb Company Lawrenceville-Princeton Road Princeton, New Jersey 08543

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3))

5. The accompanying document intends to accomplish an assignment.

NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))

6. Please address correspondence to:

Name: Nichole Andrighetti

Address: Nelson Mullins Riley & Scarborough, LLP

Meridian, Suite 1700 1320 Main Street Columbia, SC 29201

Telephone No.: 864-250-2292

Assignment (Document) Cover Sheet--page 2 of 3

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.31(a)(7))

7. The attached assignment (document) was executed by Joshua C. Anthony on July 19, 2006. The attached assignment (document) was executed by Robert A. Burns on July 28, 2006. The attached assignment (document) was executed by Yung H. Lee on July 18, 2006. The attached assignment (document) was executed by Win-Chin Chiang on July 24, 2006. The attached assignment (document) was executed by Khaled A. Khatib on July 18, 2006. The attached assignment (document) was executed by John R. Euber on July 24, 2006. The attached assignment (document) was executed by John R. Reckelhoff on July 18, 2006.

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8. The attached document is in the English language.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

9. Submitted herewith is a true copy of the original document, which I certify to be a true copy.

STATEMENT (37 C.F.R. § 3.31(a)(9)) AND SIGNATURE (37 C.F.R. § 3.31(a)(10))

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 10-4-2006

Reg. No.: 56,508

Tel. No.: 864-250-2292 Customer No.: 45845 Mullow Audit Wetti Signature of Practitioner

Nichole Andrighetti

Nelson Mullins Riley & Scarborough, LLP

Meridian, Suite 1700 1320 Main Street Columbia, SC 29201

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, including documents is: 11.

Assignment (Document) Cover Sheet--page 3 of 3

ATTORNEY DOCKET: 19400/09764 (MJE00028)

ASSIGNMENT

WHEREAS, I/WE, the undersigned, have made certain invention or inventions which are disclosed in Application No. 11/497,847 filed August 2, 2006 entitled:

AMINO ACID-BASED INFANT FORMULAS

WHEREAS, BRISTOL-MYERS SQUIBB COMPANY, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, New Jersey 08543-4000, U.S.A., its successors and assigns, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, FOR GOOD AND VALUABLE CONSIDERATION, the receipt of which is hereby acknowledged, I/WE do hereby sell, assign and transfer to said BRISTOL-MYERS SQUIBB COMPANY, its successors and assigns, the entire right, title and interest in and to said invention or inventions, in any form or embodiment thereof, and in and to said application(s); and in and to any and all applications filed in any country based thereon, including the right to file application in countries other than the country of priority filing under the provisions of any international convention; also in and to any and all improvements on said invention or inventions now or hereafter made by me/us as employee(s), agent(s) or contractor(s) of said BRISTOL-MYERS SQUIBB COMPANY, its successors and assigns, also the entire right, title and interest in and to any and all patents, including reissues and extensions thereof, to be obtained in any country upon said invention, inventions or improvements, and any and all continuing applications, including divisional, continuation and continuation-in-part applications, substitute applications, and applications claiming benefit of an earlier filed provisional application, which may be filed upon said invention, inventions or improvements in any country; and

I/WE hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said BRISTOL-MYERS SQUIBB COMPANY, its successors and assigns, as assignee of the entire interest.

I/WE further agree, without any payment by BRISTOL-MYERS SQUIBB COMPANY, other than in reimbursement of reasonable expenses I/we may incur, to communicate to said BRISTOL-MYERS SQUIBB COMPANY, its representatives or agents, any facts relating to said invention, inventions or improvements, including evidence for purposes of interference, opposition or other legal proceedings, whenever requested; testify in any interference, opposition or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

I/WE hereby authorize BRISTOL-MYERS SQUIBB COMPANY and its representatives to insert the corresponding application serial number and filing date when that information is received by the U.S. Patent and Trademark Office.

IN TESTIMONY WHEREOF, I have hereto set my hand on the date set after my signature.
Signature: Date: 19 Jul 06
Name: Joshua C. Anthony
Residence: 3301 Cobblefield Drive, Evansville, Indiana 47711
State of Indiana County of Vanderburgh On this 19th day of July , 2006, before me personally appeared Joshua C. Anthony , to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above-written. Hada K. Johnson Notary Public
My Commission expires 06-06-2012

IN TESTIMONY WHEREOF, I have hereto set my hand on the date set after my signature.
Signature: Date: Sul, 28, 2006
Name: Robert A. Burns
Residence: 31 Aynsley Court, Montville, New Jersey 07045
County of
My Commission expires

MARGARET L. DELLAVALLE NOTARY PUBLIC OF NEW JERSEY Commission Expires 8/1/2006

IN TESTIMONY WHEREOF, I have hereto set my hand on the date set after my signature
Signature: 1/18/106
Name: Yung H. Lee
Residence: 316 Pine Place, Evansville, Indiana 47710
State of
My Commission expires <u>O6-06-2012</u>

IN TESTIMONY WHEREOF, I have hereto set my hand on the date set after my signature.
Signature: Lin Chiang Date: 7/24/06
Name: Win-Chin Chiang
Residence: 3255 Blue Water Court, Newburgh, Indiana 47630
State of
My Commission expires

THE TESTIMONY WHEREOF, I have hereto set my harid on the date set after my signature.
Signature:
Name: Khaled A. Khatib
Residence: 9153 Halston Circle, Newburgh, Indiana 47630
State of
Anda K. Jones Notary Public My Commission expires 06/06/2012
My Commission expires $\frac{\partial 6/\partial 6/2012}{\partial 6/2012}$

IN TESTIMONY WHEREOF, I have hereto set my han	d on the da	ate set after my signature.
Signature: Julk Euber	Date:7	1/24/06
Name: John R. Euber		
Residence: 14401 Honeysuckle Drive, Evansville, Inc.	diana 4772	<u>5</u>
State of	nd acknowled	lged that he/she executed
My Commission expires 06-06-2012		

IN TESTIMONY WHEREOF, I have hereto set my hand on the date set after my signature
Signature: John R. Rechelhoff Date: 7/18/06
Name: John R. Reckelhoff
Residence: 6775 Henze Road, Evansville, Indiana 47720
State of
Notary Public My Commission expires

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Joshua C. Anthony, Robert A. Burns, Yung H. Lee, Win-Chin Chiang, Khaled A.

Khatib, John R. Euber and John R. Reckelhoff

Application No.: 11/497,847 Group No.: 1761

Filed: 08/02/2006 Examiner: Not Yet Assigned

For: AMINO ACID-BASED NUTRITIONAL FORMULATIONS

Mail Stop Assignment Recordation Services Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that the attached correspondence comprising:

- 1. Fee Transmittal (1 page)
- 2. Assignment (Document) Cover Sheet (3 pages)
- 3. Copy of Assignment (8 pages)
- 4. Check No. 28931 in the amount of \$40.00 (1 page)
- 5. Return Postcard (1 page)

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

on October 4, 2006

Debra Dunn-Brown

Signature of person mailing paper

Certificate of Mailing Under 37 C.F.R. § 1.8(a)--page 1 of 1

PATENT REEL: 018377 FRAME: 0389

RECORDED: 10/06/2006