Docket No.: NE-70788US 10-12-2006 FORM PTO-1595 (Modified) R U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office OMB No. 0651-0027 (exp.5/31/2002) P08A/REV04 Tab settings 🔷 🔷 💠 To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof. Name and address of receiving party(ies): Name of conveying party(ies): 10.5.06 Name: NEC ELECTRONICS CORPORATION Yoichiro KURITA Koji SOEJIMA 1753 Shimonumabe, Nakahara-ku Address: Masaya KAWANO ☐ Yes ☒ No Additional names(s) of conveying party(ies) 3. Nature of conveyance: ☒ Assignment Merger Change of Name ☐ Security Agreement City: Kawasaki State/Prov.: Kanagawa Other JAPAN 211-8668 Country: Execution Date: September 21, 2006 Additional name(s) & address(es) ☐ Yes ⊠ No Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: September 21, 2006 Patent Application No. Filing date B. Patent No.(s) ☐ Yes ☒ No Additional numbers 6. Total number of applications and patents involved: 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41):....\$ 40.00 Name: Sean M. McGinn, Esq. Enclosed - Any excess or insufficiency should be Registration No. 34,386 credited or debited to deposit account Address: McGinn Intellectual Property Law Group, PLLC Authorized to be charged to deposit account 8321 Old Courthouse Road, Suite 200 8. Deposit account number: 50-0481 (Deficiencies Only) State/Prov. Virginia City: Vienna (Attach duplicate copy of this page if paying by deposit account) ZIP: 22182-3817 Country: USA DO NOT USE THIS SPACE To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy 9. Statement and signature. of the original document.

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10/06/2006 SSITHIB1 00000059 1154

Sean M. McGinn, Esq.

Name of Person Signing

04 FC:8021

PATENT REEL: 018384 FRAME: 0860

October 5, 2006

Date

2005 - 294960 NF- 7078865

## ASSIGNMENT OF APPLICATION FOR PATENT

## WHEREAS

NAME AND ADDRESS

Yoichiro KURITA, Koji SOEJIMA, Masaya KAWANO of Kanagawa, Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), has invented and owns a certain new and useful invention entitled:

TITLE OF INVENTION:

SEMICONDUCTOR DEVICE-COMPOSING SUBSTRATE AND SEMICONDUCTOR DEVICE

**WHEREAS** 

FULL NAME AND ADDRESS OF ASSIGNEE NEC ELECTRONICS CORPORATION 1753 Shimonumabe, Nakahara-ku, Kawasaki, Kanagawa 211-8668, Japan

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title, and interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment of ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR, by these presents, hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE,

(Assignment of Application for Patent -- page 1 of 2)

PATENT REEL: 018384 FRAME: 0861 of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal. substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale:

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I/We have hereunto set

hand and seal this <u>21st</u> day of <u>September</u>, 200 6.

By: Yoichiro Kurita (書) Yoichiro KURITA

By: Kaji Soejima Koji SOEJIMA

By: Masaya Kawano ()) Masaya KAWANO

**WITNESSES** 

DATE OF SIGNING

**SIGNATURE** 

SIGNATURE

SIGNATURE

(Assignment of Application for Patent -- page 2 of 2)

PATENT REEL: 018384 FRAME: 0862

	Docket No.: NE-70788US
(1484, 03-01)	ORM COVER SHEET U.S. DEPARTMENT OF COMMERCE
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Name of conveying party(ies):	Name and address of receiving party(ies):
Yoichiro KURITA	Name: NEC ELECTRONICS CORPORATION 4
Koji SOEJIMA Masaya KAWANO	Address: 1753 Shimonumabe, Nakahara-ku
·	- 4 <b>35</b> 00
Additional names(s) of conveying party(ies)	13/201
3. Nature of conveyance:	
☑ Assignment ☐ Merger	
☐ Security Agreement ☐ Change of Name	City: Kawasaki State/Prov.: Kanagawa
Other	Country: JAPAN ZIP: 211-8668
Execution Date: September 21, 2006	
	Additional name(s) & address(es) ☐ Yes ☒ No
Additional numbers	☐ Yes ☒ No
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Name: Sean M. McGinn, Esq.	7. Total fee (37 CFR 3.41):\$ 40.00
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October 5, 2006

Date

**RECORDED: 10/05/2006** 

Sean M. McGinn, Esq.

Name of Person Signing