

10-16-2006



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To the Honorable Commissioner

103321880

ie attached original documents or copy thereof.

1. Name of conveying party(ies)/Execution Date(s)

**William A. Smith; David Dudzinski and
Stephan Weber**Execution Date(s): **September 12, 2006;
September 12, 2006; and
September 12, 2006**Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other: _____

2. Name and address of receiving party(ies):

Name: **The Cleveland Clinic Foundation**

Internal Address: _____

Street Address: **9500 Euclid Avenue**City: **Cleveland** State: **OH** Zip: **44195**Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A Patent Application No.(s): **11/447,350,**
filed **June 6, 2006**

B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: **Richard S. Wesorick**Internal Address: **Tarolli, Sundheim, Covell,
& Tummino L.L.P.**Street Address: **1300 East Ninth Street, Suite 1700**City: **Cleveland** State: **OH** Zip: **44114-1400**Phone Number: **(216) 621-2234**Fax Number: **(216) 621-4072**Email Address: **RWesorick@Tarolli.com**6. Total number of applications and patents involved: **1**7. Total fee (37 1.21(h) & 3.41).....\$**40.00**

- ☒ Enclosed is a check for the amount indicated above.
☐ Authorized to be charged to deposit account.
☐ Authorized to be charged by credit card
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card: Last 4 Numbers _____

Expiration Date: _____

Authorized User: _____

b. Deposit Account No.: **20-0090****(charge for insufficient fees only)***(Attach duplicate copy of this page if paying by deposit account)*

9. Signature:

Richard S. Wesorick

Name of Person Signing

Reg. No. 40,871

Signature

October 4, 2006

Date

Total number of pages including cover sheet, attachments, and document: **4**

Mail Documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington D.C. 20231

10/12/2006 WASFAW 00000006 11447350

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PATENT
REEL: 018399 FRAME: 0223

ASSIGNMENT

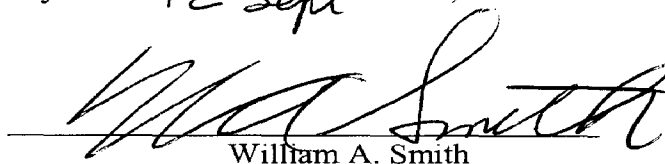
WHEREAS, we, William A. Smith, Markus Lorenz, David Dudzinski and Stephan Weber, of Lyndhurst, County of Cuyahoga, and State of Ohio; of Lakewood, County of Cuyahoga, and State of Ohio; of Strongsville, County of Cuyahoga, and State of Ohio; and of Lyndhurst, County of Cuyahoga, and State of Ohio along with Hsiang Ming Chen, Peter A. Chapman, Jr., Charles J. Prisco, and Nicholas G. Vitale of Latham, County of Albany, and State of New York; of East Schodack, County of Rensselaer, and State of New York; of Saratoga Springs, County of Saratoga, and State of New York; and of Albany, County of Albany, and State of New York, respectively, have made new and useful improvements in **BLOOD PUMP** (generally referred to as CCF Project No. 00-294) for which application for Letters Patent of the United States has been filed on June 6, 2006 under Serial No. 11/447,350;

WHEREAS, The Cleveland Clinic Foundation (hereinafter "CCF"), a tax-exempt organization duly organized and existing under the laws of the State of Ohio, having a place of business at Cleveland, County of Cuyahoga, State of Ohio, is desirous of acquiring the entire right, title and interest in and to the said improvements and all patents therefor to be obtained in the United States of America and countries foreign to the United States;

NOW THEREFORE, BE IT KNOWN that, pursuant to CCF's Invention and Discovery Policy as specified in the CCF "Yellow Book" as of this date and for valuable considerations to us paid, the receipt whereof is hereby acknowledged, we, the said William A. Smith, Markus Lorenz, David Dudzinski, and Stephan Weber, and do hereby assign, sell and set over unto the said CCF, its successors or assigns, the entire right, title and interest in and to the said improvements and any and all inventions pertaining thereto, said application Serial No. 11/447,350, for the United States patent, all patents granted therefore in the United States of America and elsewhere, and the right to apply for patents therefore in all countries foreign to the United States and to claim for such applications the priority of United States applications, and we hereby request the Commissioner of Patents of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents, to issue such patents to the said CCF, its successors or assigns; and we further agree to execute such other applications and other instruments and do all other acts as may be deemed necessary by the said CCF, its successors or assigns in order to fully secure, protect and preserve its rights to the said improvements and to obtain patents therefor in the United States of America and all countries foreign to the United States, all without further consideration but at the expense of the said CCF, its successors or assigns.

Signed at
County of Cuyahoga
This

and State of Ohio
day of 12 Sept, 20 06


William A. Smith

STATE OF Ohio)
COUNTY OF Cuyahoga)

On this 12th day of September, 20 06

Personally appeared before me William A. Smith to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.


Notary Public

ISABELITA DELGADO-DEMBIE
Notary Public, State of Ohio
My Commission Expires Oct. 14, 2008

PATENT

REEL: 018399 FRAME: 0224

Signed at
County of
This day of and State of , 20 .

Markus Lorenz


STATE OF)
COUNTY OF)

On this day of , 20 .
Personally appeared before me Markus Lorenz to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.

Notary Public


Signed at
County of Cuyahoga
This

and State of Ohio
day of 12th Sept , 2006


David Dudzinski

STATE OF Ohio)
COUNTY OF Cuyahoga)

On this 12th day of September, 2006
Personally appeared before me David Dudzinski to me known to be the person named in and who executed the above instrument, and acknowledged to he that he executed the same for the uses and the purposes therein mentioned.


Notary Public

ISABELITA DELGADO-DEMBIE
Notary Public, State of Ohio
My Commission Expires Oct. 14, 2008

Signed at
County of Cuyahoga
This

and State of Ohio
day of Sept. 12, 2006

St. Weber

Stephan Weber

STATE OF Ohio
COUNTY OF Cuyahoga

On this 12th

day of September, 2006

Personally appeared before me Stephan Weber to me known to be the person named in and who executed the above instrument, and acknowledged to he that he executed the same for the uses and the purposes therein mentioned.

Isabelita Delgado - Dembie
Notary Public

ISABELITA DELGADO-DEMBIE
Notary Public, State of Ohio
My Commission Expires Oct. 14, 2008