

10-18-2006

at No.

62097, 62097-A, and 62097-PCT

FORM PTO-1595 (Substitute)

U.S. DEPARTMENT OF COMMERCE

(Rev. 8-96)

103323521

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

The Trustees of Columbia University in
the City of New York

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: The University of Southern
California

Internal Address:

Street Address: 3716 South Hope Street

City/State/Zip: Los Angeles, CA 90007

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ OtherExecution Date(s): September 8, 2006 and
September 20, 2006Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is (are):

A. Patent Application No.(s)

PCT/US01/25416

B. Patent No.(s)

U.S. Patent No. 6,825,164
and U.S. Patent No.
6,677,299Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White

Internal Address:

Street Address: Cooper & Dunham LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 3

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alan J. Morrison

Name of Person Signing

Signature

Date

I hereby certify that this correspondence is being deposited
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Total Number of pages including cover sheet, attachments and
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Assignment

In consideration of One Dollar (\$1.00) and other good and valuable considerations, the receipt of which is hereby acknowledged, the undersigned,

The Trustees of Columbia University in the City of New York, a corporation of the State of New York, having a place of business at Broadway and West 116th Street in the County of New York and State of New York 10027

Hereby sells, assigns and transfers to

The University of Southern California, a corporation of the State of California, having a place of business at 3716 South Hope Street in the County of Los Angeles and State of California 90007,

its successors, assigns and legal representatives, an equal and undivided interest in, while retaining an equal and undivided interest in, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed and not claimed, in the following applications

U.S. Serial No. 09/638,648, filed August 14, 2000 (now U.S. Patent No. 6,825,164, issued November 30, 2004, entitled Method to Increase Cerebral Blood Flow in Amyloid Angiopathy),

U.S. Serial No. 09/992,955, filed November 5, 2001 (now U.S. Patent No. 6,677,299, issued January 13, 2004, entitled Method to Increase Cerebral Blood Flow in Amyloid Angiopathy), and

PCT International Application No. PCT/US01/25416, filed August 14, 2001 (entitled Method to Increase Cerebral Blood Flow in Amyloid Angiopathy, now expired)

and in and to said applications and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said applications; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patents or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under European Patent Convention or under any other available international agreement; and that, when requested, and without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: September 8 2006
Witness: [signature] [signature]
Kristina Levites [printed name]
94 Butler St. Brooklyn, NY 11221 [address]

The Trustees of Columbia University
in the City of New York

By: [signature]
Name: _____
Title: Gonzalo Merino, Ph.D.
Assistant General Counsel
Columbia University

Date: September 20 2006
Witness: MARVA MARTINEZ [signature]
MARVA MARTINEZ [printed name]
355 Transdale Parkway [address]
Los Angeles, CA 90089

University of Southern California

By: [signature]
Name: Dennis F. Dougherty
Title: Senior Vice President,
Finance and CFO