

10-18-2006

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



103323207

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Yu-Zheng CHEN (9/21/2006), Ching-Man KAO
(9/21/2006), and Shih-Hua SHENG (9/21/2006)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: DELTA ELECTRONICS INC.

Internal Address: _____

Street Address: _____

No. 252, Shang Ying Road, Kuei San
Taoyuan Hsien 333
Taiwan, R.O.C.

City: _____

State: _____

Country: _____

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)
NEW

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Joe McKinney Muncy
BIRCH, STEWART, KOLASCH & BIRCH,
LLP

Internal Address: Atty. Dkt.: 0941-1761PUS1

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State: VA Zip: 22040-0747

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Fax Number: (703) 205-8050

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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____
Authorized User Name _____

9. Signature:

Joe McKinney Muncy
Signature

October 12, 2006
Date

Joe McKinney Muncy - 32,334
Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

2

10/13/2006 ATRINH 00000059 29267323
04 FC:8021

40.00 OP

ASSIGNMENT

WHEREAS, Yu-Zheng Chen, Ching-Man Kao, Shih-Hua Sheng hereafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: FILTER

Filed: 10/12/2006

Serial No. NEW

Executed on: September 21, 2006

WHEREAS, Delta Electronics Inc. of No. 252, Shang Ying Road, Kuei San, Taoyuan Hsien, Taiwan 333, R.O.C. hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Yu-Zheng Chen

Yu-Zheng Chen

Ching-Man Kao

Ching-Man Kao

Shih-Hua Sheng

Shih-Hua Sheng

Sep, 21, 2006

Date:

Sep. 21. 2006

Date:

Sep. 21 - 2006

Date:

Date:

Date:

PATENT

RECORDED: 10/12/2006

REEL: 018411 FRAME: 0205