

10-19-2006

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 08350.5794
Attorney Customer Number: 58,982To the Director of the U.S. Patent and
Please record the attached original document

Mail Stop Assignment Recordation Services

1. Name of conveying party(ies):
a) Trevor N. IUND
b) Roy WOOKEY
c) Jonathan Matthew BAUMANN
d) Matthew DAMES

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Internal Address: Intellectual Property Department

3. Nature of conveyance:

Street Address: 100 N.E. Adams Street

☒ Assignment☐ Merger

City: Peoria

☐ Security Agreement☐ Change of Name

State: IL Zip Code: 61629-6490

☐ Other:

Additional name(s) & Address(es) attached?

Execution Date: a) September 27, 2006
b) September 22, 2006
c) September 22, 2006
d) September 22, 2006☐ Yes ☒ No

Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number(s):

B. Patent Number(s):

11/495,766 filed July 31, 2006

Additional numbers attached?

☐ Yes ☒ No

4. Name and address of party to whom correspondence concerning document should be mailed:

5. Total number of applications and registrations involved:
1

Name: Christopher P. Isaac

6. Total fee (37 CFR 3.41): \$40

☒ Enclosed (Please charge deficiency to deposit account)☐ Authorized to be charged to deposit account

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 901 New York Avenue, N.W.

City: Washington, D.C.

State: Zip: 20001

7. Deposit Account No. 06-0916

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ryan C. Stockett, Reg. No. 53,642

Signature

October 16, 2006

Date

Total number of pages including cover sheet, attachments and documents: 7

ASSIGNMENT

WHEREAS I, the below named inventor, [hereinafter referred to as Assignor], have made an invention entitled:

ELECTRIC MACHINE HAVING A LIQUID-COOLED ROTOR

for which I executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on (July 31, 2006) (Serial No. 11/495,766); and

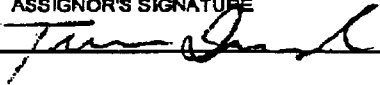
WHEREAS, **Caterpillar Inc.**, a corporation of **Delaware** whose post office address is **Intellectual Property Department, 100 N.E. Adams Street, Peoria, IL 61629-6490** (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged; I, as Assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my entire right, title, and interest in and to this invention, provisional application Serial No. _____, filed _____, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application, as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I HEREBY covenant that I have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Trevor N. IUND	ASSIGNOR'S SIGNATURE 	DATE 27 SEP 06
ADDRESS 327 W. Northland Avenue, Peoria, IL 61614		CITIZENSHIP USA

Listing of Inventors Continued on Page 2 hereof ☒ Yes ☐ No

1. WITNESS NAME JIM HILL	WITNESS SIGNATURE <i>Jim Hill</i>	DATE 9/27/06
ADDRESS 11417 W. CHASE LN. BRIMFIELD IL 61517		CITIZENSHIP U.S.

2. WITNESS NAME Robert Barrick	WITNESS SIGNATURE <i>Robert Barrick</i>	DATE 9/27/06
ADDRESS 1610 E. JEFFERSON Morton, IL 61550		CITIZENSHIP U.S.

2. FULL NAME OF SECOND ASSIGNOR Roy WOOKEY	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 29250 N. Indian Trail Road, Canton, IL 61520		CITIZENSHIP USA

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

Listing of Inventors Continued on Page 3 hereof ☒ Yes ☐ No

3. FULL NAME OF THIRD ASSIGNOR Jonathan Matthew BAUMANN	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 1202 N. Garfield Avenue, Peoria, IL 61606		CITIZENSHIP USA

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

4. FULL NAME OF FOURTH ASSIGNOR Matthew DAMES	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 132 W. Calhoun, Brimfield, IL 61517		CITIZENSHIP USA

1. WITNESS NAME	WITNESS SIGNATURE	DATE
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2. WITNESS NAME	WITNESS SIGNATURE	DATE
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NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I, as Assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my entire right, title, and interest in and to this invention, provisional application Serial No. _____, filed _____, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application, as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I HEREBY covenant that I have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

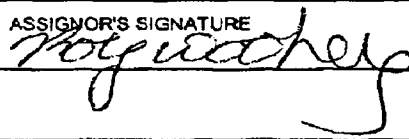
IN TESTIMONY WHEREOF, I have hereunto set my hands.

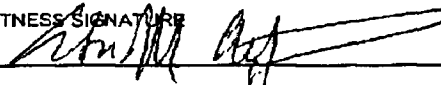
1. FULL NAME OF SOLE OR FIRST ASSIGNOR Trevor N. IUND	ASSIGNOR'S SIGNATURE	DATE
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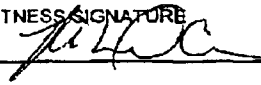
Listing of Inventors Continued on Page 2 hereof ☒ Yes ☐ No

1. WITNESS NAME	WITNESS SIGNATURE	DATE
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2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. FULL NAME OF SECOND ASSIGNOR Roy WOOKEY	ASSIGNOR'S SIGNATURE 	DATE 9/22/06
ADDRESS 29250 N. Indian Trail Road, Canton, IL 61520		CITIZENSHIP USA

1. WITNESS NAME Sta. AnBenson	WITNESS SIGNATURE 	DATE 9/22/06
ADDRESS 5723 W Autumn Ridge Ct Apt B		CITIZENSHIP USA
Peoria, IL 61615		

2. WITNESS NAME MICHAEL W CARD	WITNESS SIGNATURE 	DATE 9/22/06
ADDRESS 610 W FORREST HILL AVE		CITIZENSHIP USA
PEORIA, IL 61604		

Listing of Inventors Continued on Page 3 hereof ☒ Yes ☐ No

3. FULL NAME OF THIRD ASSIGNOR Jonathan Matthew BAUMANN	ASSIGNOR'S SIGNATURE <i>JM Baumann</i>	DATE 9/22/06
ADDRESS 1202 N. Garfield Avenue, Peoria, IL 61606		CITIZENSHIP USA

1. WITNESS NAME <i>Perry G. Cruce</i>	WITNESS SIGNATURE <i>Perry G. Cruce</i>	DATE 9/22/06
ADDRESS 408 Kaskaskia, Marquette Hts, IL 61554		CITIZENSHIP USA

2. WITNESS NAME <i>Sean C Landers</i>	WITNESS SIGNATURE <i>Sean C Landers</i>	DATE 9/22/06
ADDRESS 18901 N. Pau Hana Ct, Edelstein, IL 61526		CITIZENSHIP USA

4. FULL NAME OF FOURTH ASSIGNOR Matthew DAMES	ASSIGNOR'S SIGNATURE <i>Matthew Dames</i>	DATE 9/22/06
ADDRESS 132 W. Calhoun, Brimfield, IL 61517		CITIZENSHIP USA

1. WITNESS NAME <i>Perry G. Cruce</i>	WITNESS SIGNATURE <i>Perry G. Cruce</i>	DATE 9/22/06
ADDRESS 408 Kaskaskia, Marquette Hts, IL 61554		CITIZENSHIP USA

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