

VIA FACSIMILE to Fax No. (571) 273-0140

62571.76

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 6/30/2007)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
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PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Abigail Freeman
2. David C. Gale
3. Bin Huang
4. Daniel Castro

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Advanced Cardiovascular Systems, Inc.

Street Address: 3200 Lakeside Drive

City: Santa Clara

State: CA

Zip: 95054

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Dates: September 8, 2006 (Abigail Freeman),
September 1, 2006 (David C. Gale), and September 6, 2006
(Bin Huang and Daniel Castro)

4. Application number(s) or patent number(s): 11/486,688

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 11/486,688

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Cameron K. Kerrigan, Reg. No. 44,826

Address: Squire, Sanders & Dempsey L.L.P.

Street Address: One Maritime Plaza, Suite 300

City: San Francisco State: CA Zip: 94111

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy
is a true copy of the original document.

Mark Lupkowski, Reg. No. 49,010

Name of Person Signing

Signature

October 20, 2006

Date

Total number of pages including cover sheet, attachments, and documents: 5

ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s) (1) Abigail Freeman (3) Bin Huang
(2) David C. Gale (4) Daniel Castro

For good and valuable consideration, receipt of which is hereby acknowledged, the undersigned agree(s) to assign, hereby do(es) assign, transfer and set over to:

- | | | | |
|------|--|------|---|
| (9) | Insert Name of Assignee | (9) | Advanced Cardiovascular Systems, Inc. |
| (10) | Insert State of Incorporation of Assignee | (10) | California |
| (11) | Insert Address of Assignee | (11) | of: 3200 Lakeside Drive, Santa Clara, CA 95054 (hereinafter designated as the Assignee) the entire worldwide right, title, interest, and patent applications and patents for every country, including divisions, reissues, continuations and all other extensions, rights and priorities in the invention known as and related to |
| (12) | Insert Identification of Invention, such as Title, Case Number or Foreign Application Number | (12) | Radio Frequency Identification Monitoring Of Stents (Case No. 62571.76) (Serial No. 11/486,688) for which the undersigned has (have) executed an application for patent in the United States of America |
| (13) | Insert Date of Signing of Application | (13) | on (1) September 8, 2006, (2) September 1, 2006, (3) and (4) September 6, 2006 |
- 1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
 - 2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
 - 3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

Attorney Docket: 62571.76

- 4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
- 5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute any agreement in conflict herewith.
- 6) *The undersigned hereby grant(s)*

**The practitioners associated with Customer Number
45159**

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date Sept 8 '06

Name of Inventor

Abigail Freeman

Date _____

Name of Inventor

David C. Gale

Date _____

Name of Inventor

Bin Huang

Date _____

Name of Inventor

Daniel Castro

Attorney Docket: 62571.76

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Date _____

Name of Inventor _____

Abigail Freeman

Date 09-01-06

Name of Inventor _____

David C. Gale

Date _____

Name of Inventor _____

Bin Huang

Date _____

Name of Inventor _____

Daniel Castro

Attorney Docket: 62571.76

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Date	<u>9/6/06</u>	Name of Inventor	<u>Abigail Freeman</u>
Date	<u> </u>	Name of Inventor	<u>David C. Gale</u>
Date	<u>9/6/06</u>	Name of Inventor	<u>Bili Huang</u>
Date	<u>9/6/06</u>	Name of Inventor	<u>Daniel Castro</u>

**SQUIRE
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COUNSEL
WORLDWIDE**SQUIRE, SANDERS & DEMPSEY L.L.P.**One Maritime Plaza, Suite 300
San Francisco, CA 94111-3492
Office: +1.415.954.0200
Fax: +1.415.393.9887Preferred Fax: _____
If Problems: _____**October 20, 2006****PLEASE DELIVER THESE PAGES IMMEDIATELY****Number of Pages (including cover): 8****TO:** Assignment Division**FAX NO.:** 571-273-0140**COMPANY:** USPTO Office of Public Records**PHONE NO.:** 571 272-3350**FROM:** Mark Lupkowski, Reg. No. 49,010**DIRECT DIAL NO.:** +1.415.954.0297**E-MAIL:** mlupkowski@ssd.com**RE:** Assignment Recordation
U.S. Patent Application Serial No. 11/486,688
Group Unit: 3731
Attorney Docket No. 62571.76**Message:****Please record the attached Assignment Documents**

1. Assignment Transmittal (1 page);
2. Assignment Recordation Form Cover Sheet, with authorization to charge \$40 to deposit account, in duplicate (2 pages);
3. Assignment, executed in counterparts, (4 pages).

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Yayoi Barrack

October 20, 2006

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**TRANSMITTAL
FORM***(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

7

Application Number

11/486,688

Filing Date

July 13, 2006

First Named Inventor

Abigail Freeman

Group Art Unit

3731

Examiner Name

Unassigned

Attorney Docket Number

62571.76

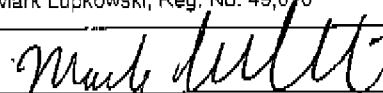
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1.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameSquire, Sanders & Dempsey L.L.P.
Mark Lupkowski, Reg. No. 49,070

Signature



Date

October 20, 2006

CERTIFICATE OF MAILING

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Typed or printed name

Yayoi Barrack

Signature



Date

October 20, 2006

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Total number of pages including cover sheet, attachments, and documents: 5