

10-18-26

10-24-2006

Form PTO-1595  
(Rev. 03-01)

RECC



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U.S. DEPARTMENT OF  
COMMERCE  
Patent and Trademark Office

Atty. Docket No.: 162655

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Kelly James Fletcher WILSON
- 2) Cary David ROSENWALD
- 3) Sean Michael GILMAN
- 4) Richard HARTHEIMER

Additional name(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other \_\_\_\_\_

Execution Date: October 5, 6 & 17, 2006

2. Name and address of receiving party(ies):

Name: **CURRENEX, INC.**

Internal Address:

Street Address: **12 East 41<sup>st</sup> Street, 7<sup>th</sup> floor**

City: **New York** State: **NY** ZIP: **10017**

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

112963 U.S. PTO  
11/582448

101806

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 5, 6 & 17, 2006

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Kelly Lee Kasha**

**ANDREWS KURTH LLP**  
INTELLECTUAL PROPERTY DEPARTMENT  
1350 I Street, NW  
Suite 1100  
Washington, DC 20005

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- ☒ Authorized to be charged to deposit account

8. Deposit account number:

**50-2849**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean S. Wooden, Reg. No. 43,997

Name of Person Signing

Signature

October 18, 2006

Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:  
Commissioner for Patents & Trademarks, Mail Stop: Assignments  
P.O. Box 1450, Alexandria, Virginia 22313-1450

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40.00 DA

PATENT  
REEL: 018435 FRAME: 0507

**ASSIGNMENT**

WHEREAS We:

<b><u>NAME</u></b>	<b><u>RESIDENCE</u></b>
Kelly James Fletcher WILSON	#2102 – 889 Homer Street, Vancouver, BC V6B 5S3 Canada
Cary David ROSENWALD	333 East 75th Street, PH A, New York, NY 10021
Sean Michael GILMAN	37 Redfield Street, Rye, NY 10580
Richard HARTHEIMER	29 Moraine Road, Morris Plains, NJ 07950

respectively, are the joint inventors of certain inventions or improvements for which we have made application for a Utility Patent of the United States, entitled **SYSTEM AND METHOD FOR CALCULATING OPTIMAL RATES IN A MULTI-SOURCE PRICE ENGINE IN OVER THE COUNTER MARKETS**; and

WHEREAS, **CURRENEX, INC.** of 12 East 41st Street, 7<sup>th</sup> floor, New York, NY 10017, a corporation operating under the laws of the state of New York, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries of the world;

NOW, THEREFORE, for good and valuable consideration, we have assigned, and do hereby assign, to **CURRENEX, INC.**, its successors, assigns and legal representatives (“Nominees”) the entire right, title and interest, including the right of priority, in, to and/or under said inventions or improvements throughout the world, said Utility Patent Application, any and all applications for Letters Patent, petty patents and utility model patents of the United States and of countries foreign thereto based on or claiming the priority of said Utility Patent Application, any and all Letters Patent, petty patents and utility model patents which may be granted therefor, and all continuations, divisions, reissues, extensions and renewals thereof (“Letters Patent”);

And we covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement or do anything in conflict herewith;

And we further covenant and agree that we will without undue delay execute all such papers as may be necessary to perfect the title to said inventions or improvements, applications and Letters Patents in **CURRENEX, INC.**, or its successors, assigns, nominees or legal representatives, and we agree to communicate to said **CURRENEX, INC.**, or its successors, assigns, nominees or legal representatives all known facts respecting said inventions or improvements, application and Letters Patent, to testify in any legal proceedings, to sign all lawful papers, and generally to do all things necessary to aid **CURRENEX, INC.** or its successors, assigns, nominees or legal representatives to obtain and enforce for their own benefit patent protection for said inventions or improvements in any and all countries, all at the expense, however, of **CURRENEX, INC.**, or its successors, assigns, nominees or legal representatives;

And we authorize **CURRENEX, INC.** or its successors, assigns, nominees or legal representatives to file in our name or their own, as appropriate, applications for Letters Patents, petty patents and utility model patents in all countries of the world, and we authorize and request the Commissioner of Patents and Trademarks of the United States, and any proper official of any country of the world, to issue to said **CURRENEX, INC.**, or its successors, assigns, nominees or legal representatives, any and all Letters Patents, petty patents and utility model patents for said invention or improvements, for their sole use, to the full end of the term for which such Letters Patents, petty patents and utility model patents may be granted.

October, 2006.

State of: \_\_\_\_\_ )  
 \_\_\_\_\_ ) ss:  
 County of: \_\_\_\_\_ )

**PATENT**  
**REEL: 018435 FRAME: 0510**

\_\_\_\_\_, 2006.

## Kelly James Fletcher WILSON

**State of:** \_\_\_\_\_ )

) SS:

County of: \_\_\_\_\_ )

On this \_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came the above named **Kelly James Fletcher WILSON** personally known to me or satisfactorily proven to be the same individual who executed the foregoing Assignment of his own free will for the use and purpose therein set forth.

**Notary Public**

**My Commission Expires:**

IN WITNESS WHEREOF I have hereunto set my hand and seal this 17 day of October, 2006.

 (SEAL)  
Cary David ROSENWALD

**State of:** )

) SS:

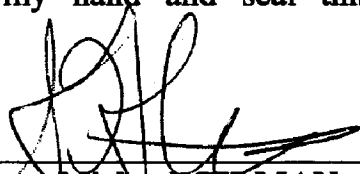
County of: \_\_\_\_\_ )

On this \_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came the above named **Cary David ROSENWALD** personally known to me or satisfactorily proven to be the same individual who executed the foregoing Assignment of his own free will for the use and purpose therein set forth.

Notary Public

**My Commission Expires:**

IN WITNESS WHEREOF I have hereunto set my hand and seal this 17 day of October, 2006.

  
\_\_\_\_\_  
**Sean Michael GILMAN** (SEAL)

State of:                                 )  
  ) ss:  
County of:                                 )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came the above named **Sean Michael GILMAN** personally known to me or satisfactorily proven to be the same individual who executed the foregoing Assignment of his own free will for the use and purpose therein set forth.

\_\_\_\_\_  
Notary Public

My Commission Expires:

IN WITNESS WHEREOF I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 2006.

\_\_\_\_\_  
**Richard HARTHEIMER** (SEAL)

State of:                                 )  
  ) ss:  
County of:                                 )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came the above named **Richard HARTHEIMER** personally known to me or satisfactorily proven to be the same individual who executed the foregoing Assignment of his own free will for the use and purpose therein set forth.

\_\_\_\_\_  
Notary Public

My Commission Expires:

\_\_\_\_\_, 2006.

**Sean Michael GILMAN**

State of: \_\_\_\_\_ )

) SS:

County of: \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came the above named **Sean Michael GILMAN** personally known to me or satisfactorily proven to be the same individual who executed the foregoing Assignment of his own free will for the use and purpose therein set forth.

Notary Public

My Commission Expires:

IN WITNESS WHEREOF I have hereunto set my hand and seal this 5 day of October, 2006.

## Richard HARTHEIMER

State of: )

) SS:

County of: \_\_\_\_\_ )

On this \_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came the above named **Richard HARTHEIMER** personally known to me or satisfactorily proven to be the same individual who executed the foregoing Assignment of his own free will for the use and purpose therein set forth.

Notary Public

My Commission Expires: