

10-30-2006

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PATENTS ONLY

2006 OCT 27 AM 10:54

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below

1. Name of conveying party(ies)

Constance John, Ph.D.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 8/9/2006

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Joint Research Agreement
- ☐ Government Interest Assignment
- ☒ Executive Order 9424, Confirmatory License
- ☐ Other VHA 05-047

2. Name and address of receiving party(ies)

Name: Department of Veterans Affairs

Internal Address: Office of the General Counsel (024)

Street Address: 810 Vermont Avenue, NW

City: Washington

State: DC

Country: USA

Zip: 20420

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,770,622

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Department of Veterans Affairs

Internal Address: Office of the General Counsel (024)

Street Address: 810 Vermont Avenue, NW

City: Washington

State: DC

Zip: 20420

Phone Number: (202) 273-6384

Fax Number: (202) 273-6388

Email Address: debi.barnes@va.gov

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$

- ☐ Authorized to be charged by credit card
- ☐ Authorized to be charged to deposit account
- ☐ Enclosed
- ☒ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number

Authorized User Name

9. Signature:

Signature

10/25/06
Date

Deborah K. McCallum, Assistant General Counsel for PSG IV

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CONFIRMATORY LICENSE

Title: N-Terminally Truncated Galectin-3 for Treatment of Breast Cancer

Inventor: Constance John, Ph.D.

Patent Number or Application Number: 6,770.622

Issue Date: 8-3-04

VHA Number: 05-047

WHEREAS, the Inventor has been granted the right to retain title to the subject invention identified above;

WHEREAS, the nature of the services and contribution by the Department of Veterans Affairs are such to justify and lawfully entitle the Government of the United States to have the hereinafter recited rights and license;

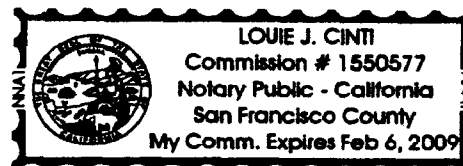
NOW THEREFORE, this document grants a nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States, this subject invention, patent application and any resulting patent, as well as any continuation, divisional, reissue, supplemental or continuation-in-part thereof, throughout the world, said license to extend through-out the world and to be effective for the full term for which any patent, domestic or foreign, or any reissue or extension thereof, is or may be granted on the invention or any part thereof.

Constance John
Constance John, Ph.D.

Subscribed and sworn to before me by CONSTANCE JOHN, Ph.D., this
9th day of AUGUST, 2006, at 4:52 PM, in
the County of SAN FRANCISCO, and the State of CALIFORNIA.

[Signature]
Signature of Notary Public

My Commission expires: FEB 6, 2009





DEPARTMENT OF VETERANS AFFAIRS
Office of the General Counsel
Washington DC 20420

OCT 25 2006

In Reply Refer To: 024M
VHA 05-047

Mail Stop Assignment Recordation Services
Director of the USPTO
P.O. Box 1450
Alexandria, VA 22313-1450

RE: Executive Order 9424, Confirmatory License for
John, VHA 05-047

Dear Director:

Enclosed please find the subject Confirmatory License submitted under
Recordation Form Cover Sheet, Form PTO-1595. I hereby certify that said
enclosure is a true copy of the original subject Confirmatory License. Pursuant
to 38 C.F.R. § 1.653, the undersigned is authorized to act for the Secretary of
Veterans Affairs in matters concerning patents and inventions.

Sincerely yours,

Deborah K. McCallum
Assistant General Counsel

Enclosure

cc: 12 (TT)