10-30-2006 Substitute for Form PTO-15 OCT 25 200f

orney's Docket No. 1007198-000689

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mark Office: Please record the attached quocuments or the new address(es) below To the Director of the U.S. Pater

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1.		veying party(ies): NG; 2) Kuo-An CHIU; 3) Hua-Jun PENG; 4) Jian FENG; and 5) Hung-Shen CHU				
2.	Hong Kong Ap 5th Floor, 2 Sc Hong Kong Sc Pak Shek Kok,	ame and address of receiving party(ies): ong Kong Applied Science and Technology Research Institute Co. Ltd. on Floor, 2 Science Park East Avenue ong Kong Science Park k Shek Kok, Shatin ow Territories, Hong Kong, China				
3.	Nature of Conveyance/Execution Date(s): Execution Date(s): 1) 09/25/06; 2) 09/25/06; 3) 10/05/06; 4) 10/05/06; and 5) 09/25/06					
	☐ Joint Res	ent Agreement earch Agreement ent Interest Agreement	Executive C Merger Change of I	Order 9424 Confirt Name	natory License	
4.	A. Patent A ₁ 11/516,6	pr patent number(s): application No.(s) B. Patent No.(s) 532 - filed September 7, 2006				
_		ument is being filed together with				
5.	Name and add Name: Address:	Iress to whom correspondence c James A. LaBarre Buchanan Ingersoll & Rooney Customer Number 21839 P.O. Box 1404 Alexandria, VA 22313-1404	4137027	2606 MBERHE - 60000	d: 145 11516632 (40.00 Op)	
6.	Total number	er of applications and patents involved: 1				
7.	Authorized to be charged attached. Authorized to be charged Enclosed. None required (gov't interest)		charged to deposit a	ccount 02-4800		
8.	Signature:	Signature	28632 Reg. No.	October 25, 200	6 Date	
		James A. LaBarre Name of Person Signing	Total number of pag documents:	es including cover sheet,	attachments, and 4	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

Assignment by Inventors

THIS ASSIGNMENT, made by

- 1. Yan HUANG, residing at 5F, Room C, POGA Building, No. 6-20, Po Tuck Street, Shek Tong Tsui, Hong Kong,
- 2. <u>Kuo-An CHIU</u>, residing at <u>5th Floor</u>, <u>Photonics Center</u>, <u>Hong Kong Science Park</u>, Shatin, New Territories, <u>Hong Kong</u>,
- 3. Hua-Jun PENG, residing at 5th Floor, Photonics Center, Hong Kong Science Park, Shatin, New Territories, Hong Kong, and
- 4. Jian FENG, residing at 5th Floor, Photonics Center, Hong Kong Science Park, Shatin, New Territories, Hong Kong,
- 5. Hung-Shen CHU, residing at <u>Sth Floor</u>, <u>Photonics Center</u>, <u>Hong Kong Science Park</u>, <u>Shatin</u>, <u>New Territories</u>, <u>Hong Kong</u>.

(hereinafter referred to as Assignors), respectively;

WHEREAS, Assignors have invented certain new and useful improvements in SEMICONDUCTOR LIGHT EMITTING DEVICE, set forth in a Patent application for Letters Patent of the United States, filed herewith; and

WHEREAS, Hong Kong Applied Science and Technology Research Institute Co. Ltd., a Limited Liability Company organized under and pursuant to the laws of Hong Kong, China having its principal place of business at 5th Floor, 2 Science Park East Avenue, Hong Kong Science Park, Pak Shek Kok, Shatin, New Territories, HONG KONG, CHINA (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the abovementioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are

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PATENT REEL: 018455 FRAME: 0905 unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

ignment of this invention to Assignee at
Yan HUANG
KUO AU TIU.
Huar Jun PERG
Jian PENO

IP\YZHAO\ .. V03607589.doa

Date: Set No6

Hung-Shen CHU

DAY2HAOA .. 103607589.dog

PATENT REEL: 018455 FRAME: 0907

RECORDED: 10/25/2006