

**ASSIGNMENT RECORDATION COVER SHEET
-PATENTS ONLY-**

Commissioner of Patents:

Please record the attached document.

1. Name of conveying party:
a) Glenn Alers
b) Nerissa Draeger
c) Julia Carolus for Michael Carolus (deceased)
2. Name and address of receiving party:
a) Name: Novellus Systems, Inc.
Address: 3970 North First Street
San Jose, California 95134
3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____ ☐ License Agreement

Execution Date: September 14, 2006 and September 22, 2006

4. Application Number or Patent Number: 11/506,761

The title of the application is: SELECTIVE CAPPING OF COPPER

5. Please send all correspondence concerning this document to:

Beyer Weaver & Thomas, LLP
P.O. Box 70250
Oakland, CA 94612-0250
Customer Number: 022434

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):
- \$40.00

- ☐ Enclosed.
☒ Charge to Deposit Account No. 500388 (Order No. NOVLP171).
☒ Any additional fees are authorized to be charged to Deposit Account No. 500388 (Order No. NOVLP171).

Date: October 26, 2006

Denise Bergin

Denise S. Bergin
Registration No. 50,581

Total number of pages including this cover sheet, attachments and documents: 05

10/04/2006
700290451

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|-------------------------------------|--------------------|--------------------------|-------------------|
| <input checked="" type="checkbox"/> | Assignment | <input type="checkbox"/> | Merger |
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Date: October 4, 2006

Denise S. Bergin
Denise S. Bergin
Registration No. 50,581

Total number of pages including this cover sheet, attachments and documents: 05

ASSIGNMENT OF PATENT APPLICATION

Whereas I, an undersigned inventor, have invented certain new and useful improvements as set forth in the patent application entitled:

SELECTIVE CAPPING OF COPPER

(Atty. Docket No. NOVLP171/NVLS-3162), (check one)

- ☐ for which I have executed a U.S. patent application on even date herewith. (Accompanying)
☒ which bears U.S. application No. 11/506,761. (Not accompanying)
☐ which is a U.S. provisional application. (Accompanying)

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, an undersigned inventor, hereby:

- 1) Sell, assign and transfer to **Novellus Systems, Inc.**, a California corporation having a place of business at 3970 North First Street, San Jose, California 95134 ("ASSIGNEE"), the entire right, title and interest in any and all improvements and inventions disclosed in, applications based upon, and patents granted upon (including foreign patents and the right to claim priority), the above-referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all United States Patents resulting from said application or any division, continuation, substitute, renewal, re-examination or reissue thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon the inventor, as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I have not entered and will not enter into any assignment, contract, or understanding that conflicts with this assignment.
- 6) Authorize and request my attorney/agent to insert above the application No. in order to assist with recordal of this assignment.

Signed on the date indicated beside my signature.

1. Inventor Signature: Glenn Alers

Glenn Alers

Date: 9/14/06

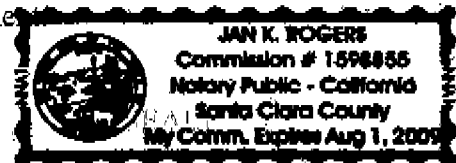
State of California)

County of SANTA CLARA)

ss.

On this 14th day of SEPTEMBER, in the year 2006, before me, JAN K. ROGERS, Notary Public, personally appeared Glenn Alers, ~~personally known to me (or proved to me on the basis of satisfactory evidence)~~ to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature JAN K. ROGERS2. Inventor Signature: Nerissa Draeger

Nerissa Draeger

Date: 9/14/06

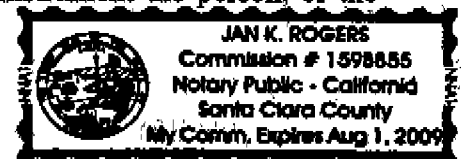
State of California)

County of SANTA CLARA)

ss.

On this 15th day of SEPTEMBER, in the year 2006, before me, JAN K. ROGERS, Notary Public personally appeared Nerissa Draeger, ~~personally known to me (or proved to me on the basis of satisfactory evidence)~~ to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature JAN K. ROGERS

3. Inventor Signature: _____

Date: _____

Legal representative of the estate of Michael Carolus

State of California)

County of _____)

ss.

On this _____ day of _____, in the year 2006, before me, _____, Notary Public, personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature _____

(SCL)

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- 2) Authorize and request the Commissioner of Patents to issue any and all United States Patents resulting from said application or any division, continuation, substitute, renewal, re-examination or reissue thereof to the ASSIGNEE.
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Signed on the date indicated beside my signature.

1. Inventor Signature: Glenn Alers

Date: _____

State of California)
)
County of _____) ss.

On this ____ day of _____, in the year 2006, before me, _____, Notary Public, personally appeared Glenn Alers, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature _____

(SIAI)

2. Inventor Signature: Nerissa Draeger

Date: _____

State of California)
)
County of) ss.

On this _____ day of _____, in the year 2006, before me, _____, Notary Public personally appeared Nerissa Draeger, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature _____

654 | Journal of Management Inquiry

3. Inventor Signature: Michael Carolus D
Legal representative of the estate of Michael Carolus

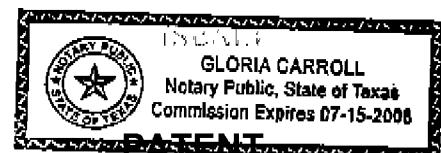
Date: 9-22-06

State of California)
)
County of Harris) SS.

On this 22nd day of September, in the year 2006, before me, Gloria Carroll, Notary Public, personally appeared Julia Carolus, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature Gloria Carroll



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SELECTIVE CAPPING OF COPPER

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Signed on the date indicated beside my signature.

1. Inventor Signature: Glenn Alers
Glenn Alers

Date: 9/14/06

State of California)

County of Santa Clara)

SS.

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WITNESS my hand and official seal.

Notary Signature JAN K. ROGERS



2. Inventor Signature: Nerissa Draeger
Nerissa Draeger

Date: 9/14/06

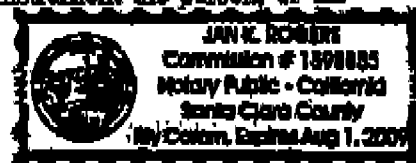
State of California)

County of Santa Clara)

SS.

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WITNESS my hand and official seal.

Notary Signature JAN K. ROGERS



3. Inventor Signature: _____ Date: _____
Legal representative of the estate of Michael Carobus

State of California)

County of _____)

SS.

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WITNESS my hand and official seal.

Notary Signature _____

(SEAL)

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Signed on the date indicated beside my signature.

1. Inventor Signature: Glenn Alers Date: _____

State of California }
County of _____ } ss.

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WITNESS my hand and official seal.

Notary Signature _____ (SEAL)

2. Inventor Signature: Nerissa Draeger Date: _____

State of California }
County of _____ } ss.

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WITNESS my hand and official seal.

Notary Signature _____ (SEAL)

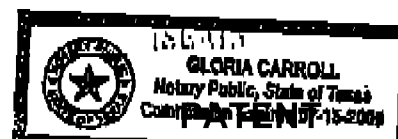
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Legal representative of the estate of Michael Carolus

State of California }
County of Harris } ss.

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WITNESS my hand and official seal.

Notary Signature Gloria Carroll



PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Alers et al.

Attorney Docket No.:
NOVLP171/NVLS-3162

Application No.: 11/506,761

Examiner: Not yet assigned

Filed: August 18, 2006

Group: 2812

Title: SELECTIVE CAPPING OF COPPER

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted by
facsimile to fax number 571-273-0140 to the U.S. Patent and
Trademark Office on October 26, 2006.

Signed: _____

Tara Hayden

COMMUNICATION - ASSIGNMENT CORRECTION

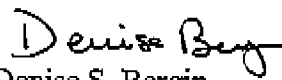
Mail Stop Assignment
Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is the original Assignment document that has been recorded by the Assignment Division. On the Notice of Recordation, please add the Legal Representative, **Julia Carolus** for Michael Carolus (deceased). Please correct the Notice and return it with the original Assignment document.

The Commissioner is authorized to charge any fees that may be due to Deposit Account 500388 (Order No. NOVLP171).

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP


Denise S. Bergin
Reg. No. 50,581

P.O. Box 70250
Oakland, CA 94612-0250

PATENT**RECORDED: 10/04/2006****REEL: 018455 FRAME: 0931**