Substitute Form PTO-1595 Attorney Docket No.: 09857-186001

RECORDATION FORM COVER SHEET **PATENTS ONLY**

Commissioner for Patents: Please record the attached original document(s) or copy(les).	
Name of conveying party(les):	Name and address of receiving party(ies):
Steven M. Bloom	The Nasdaq Stock Market, Inc.
Additional name(s) attached? ☐ Yes 图 No	Office of the General Counsel
3. Nature of conveyance:	9600 Blackwell Road Rockville, MD 20850
 ☑ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other: 	Additional names/addresses attached? ☐ Yes 图 No
Execution Date: 10/27/06	Additional natites/addresses attached 1 Li 1es Li 10
4. Application number(s) or patent number(s):	
If this document is being filed with a new application, the execution date of the application is:	
A. Patent Application No(s).:	B; Patent No(s).:
11/553,550	
Additional numbers attached? ☐ Yes 図 No	
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1
DENIS G. MALONEY	7. Total fee (37 CFR §3.41): \$40
Fish & Richardson P.C. 225 Franklin Street	□ Enclosed ☑ Authorized to charge Deposit Account.
Boston, MA 02110	8. Deposit Account No.: 06-1050
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
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9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
Denis G. Maloney Reg. No. 29,670 Name of Person Signing Denis G. Maloney Reg. No. 29,670 Signature Date	
Total number of pages including coversheet, attachments and document: 2	

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CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

Marie Collins
Typed Name of Person Signing Certificate
PATENT October 30, 2006 Signature Date of Transmission

Attorney Docket No: 09857-186001

ASSIGNMENT

For valuable consideration, I, STEVEN M. BLOOM of 22 Forest Drive, Springfield, New Jersey 07081-1124, United States of America, hereby assign to:

The Nasdaq Stock Market, Inc., a corporation of Delaware having a place of business at:

Office of the General Counsel 9600 Blackwell Road Rockville, MD 20850; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled REDEMPTION OF DERIVATIVE SECURED INDEX PARTICIPATION NOTES, filed October 27, 2006 and assigned U.S. Serial Number 11/553,550 , and I authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

04.27,2006

STEVEN M. BLOOM

RECORDED: 10/30/2006

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PATENT REEL: 018458 FRAME: 0474