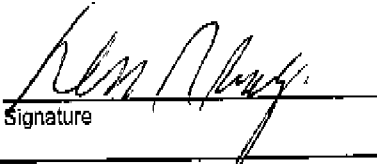


Substitute Form PTO-1595  
Attorney Docket No.: 09857-182001

## RECORDATION FORM COVER SHEET PATENTS ONLY

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3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 10/27/06	4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s): <b>11/553,527</b> B. Patent No(s):  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Name/address of party to whom correspondence concerning document should be mailed: <b>DENIS G. MALONEY          Fish &amp; Richardson P.C.          225 Franklin Street          Boston, MA 02110</b>	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
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Denis G. Maloney Reg. No. 29,670 Name of Person Signing	<div style="display: flex; justify-content: space-between;"> <div style="text-align: center;">             Signature         </div> <div style="text-align: center;"> <u>10/30/06</u>            Date         </div> </div>
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### CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

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## PATENT

700294396

## REEL: 018458 FRAME: 0492

CH \$40.00 061050 11553527

Attorney Docket No: 09857-182001

ASSIGNMENT

For valuable consideration, I, STEVEN M. BLOOM of 22 Forest Drive, Springfield,  
New Jersey 07081-1124, United States of America, hereby assign to:

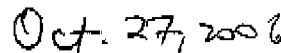
The Nasdaq Stock Market, Inc., a corporation of Delaware having a place of business at:

Office of the General Counsel  
9600 Blackwell Road  
Rockville, MD 20850; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled INDEX PARTICIPATION NOTES SECURITIZED BY OPTIONS CONTRACTS, filed October 27, 2006 and assigned U.S. Serial Number 11/553,527, and I authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.



STEVEN M. BLOOM



DATE

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Attorney's Docket No.: 09857-182001

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Number of pages including this page 3

Applicant : Steven M. Bloom

Art Unit :

Serial No. : 11/553,527

Examiner :

Filed : October 27, 2006

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Title : Index Participation Notes Securitized by Options Contracts

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Attached to this facsimile communication cover sheet is a Recordation Form Cover Sheet and Assignment, faxed this 30th day of October, 2006, to Mail Stop Assignment Recordation Services, U.S. Patent and Trademark Office.

Respectfully submitted,

Date: October 30, 2006

  
\_\_\_\_\_  
Denis G. Maloney  
Reg. No. 29,670

PTO Customer No. 26161

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225 Franklin Street

Boston, MA 02110

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