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(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
PDBA/REV04

## RECORDATION FORM COVER SHEET

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## 1. Name of conveying party(ies):

1. GIOVANNI M. PAULETTI
2. DONALD H. HARRISON
3. KISHORKUMAR J. DESAI

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 10/3/06; 10/4/06; 10/2/06

## 2. Name and address of receiving party(ies):

Name: HISTOGENICS CORP.

Address: 64 GREENLEAF DRIVE

City: NORTHAMPTON State/Prov.: MA

Country: UNITED STATES ZIP: 01062-9768

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

11/522,126

09/15/2006

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: HANA VERNY

Registration No. 30,518

Address: PETERS, VERNY, JONES, SCHMITT &amp;

ASTON LLP

425 SHERMAN AVENUE, SUITE 230

City: PALO ALTO State/Prov.: CA

Country: UNITED STATES ZIP: 94306

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

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## 8. Deposit account number:

16-1331

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

HANA VERNY

Name of Person Signing

Signature

OCTOBER 30, 2006

Date

5

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PATENT

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***This is an Assignment of the following rights, title and interest: (check all that apply):***

- Date of Execution:** \_\_\_\_\_ **Date of Filing:** AUGUST 18, 2005

- Title of the Invention**

A METHOD FOR AUGMENTATION OF INTRAEPITHELIAL AND SYSTEMIC EXPOSURE OF THERAPEUTIC AGENTS HAVING SUBSTRATE ACTIVITY FOR CYTOCHROME P450 ENZYMES AND MEMBRANE EFFLUX SYSTEMS FOLLOWING VAGINAL AND ORAL CAVITY ADMINISTRATION

**Inventors (assignors)**[illegible]

## Assignee

Name	Address
HISTOGENICS CORP.	64 GREENLEAF DRIVE NORTHAMPTON, MA 01062-9768 US



**Assignment of Rights, Title and Interest in Invention**  
**(Multiple inventors; single assignee)**

Docket No.

3715.08-4

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

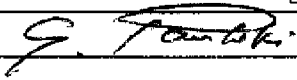
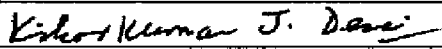
Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

Name	Signature/Date
GIOVANNI M. PAULETTI	 10-3-06
DONALD C. HARRISON	
KISHORKUMAR J. DESAI	 / 10-2-06