

10/27/06  
11-01-2006

IT

M Docket Number : AVX-236-CIP

Documents or the new address(es) below.

To the Director of the U.S. Patent and Trademark Office

**1. Name of conveying party(ies)**

Carl L. Eggerding; John L. Galvagni, Andrew P. Ritter

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 10/9/06; 10/23/06; 10/6/06

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: AVX Corporation

Internal Address:

Street Address: 801 17th Avenue South

City: Myrtle Beach

State: SC

Country: US Zip: 29577

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/517,536

B. Patent No.(s)

10/30/2006 CNGUYEN2 00000004 11517536

06 FC 8021

(40.00 OP)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Dority & Manning, P.A.

Internal Address:

Street Address: P O Box 1449

City: Greenville

State: SC Zip: 29602-1449

Phone Number: 864-271-1592

Fax Number: 864-233-7342

Email Address: docketing@dority-manning.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers 5658  
Expiration Date 2/08

b. Deposit Account Number 04-1403

Authorized User Name Dority & Manning, P.A.

**9. Signature:**

*Richard M. Moore*  
Signature

October 26, 2006  
Date

RICHARD M. MOOSE  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT  
REEL: 018472 FRAME: 0610

**ASSIGNMENT**

**WHEREAS, WE,** CARL L. EGGERDING, a citizen of the United States residing at 4504 Richmond Hill Drive, Murrells Inlet, South Carolina 29576; JASON MACNEAL, a citizen of the United States residing at 18 Widgeon Road, Georgetown, South Carolina 29440; JOHN L. GALVAGNI, a citizen of the United States residing at 1450B Turkey Ridge Road, Surfside Beach, South Carolina 29575; and ANDREW P. RITTER, a citizen of the United States residing at 1474 Bay Tree Lane, Surfside Beach, South Carolina 29575, (hereinafter referenced together as ASSIGNORS), have jointly invented a certain new and useful invention entitled "WINDOW VIA CAPACITORS" as described in a patent application for U.S. Letters Patent bearing U.S. Serial No. 11/517,536 which was filed in the U.S. Patent and Trademark Office on September 7, 2006; and

**AND WHEREAS,** AVX CORPORATION, (hereinafter ASSIGNEE), a corporation duly organized and existing under the laws of the State of South Carolina, United States of America and having its principal office and place of business at 801 17th Avenue South, Myrtle Beach, South Carolina 29577 is desirous of acquiring an interest therein;

**NOW, THEREFORE,** in consideration of One Dollar (\$1.00) and other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, WE, the said ASSIGNORS, by these presents do sell, assign and transfer unto the said ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, together with the right of priority under the International Convention for the

Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and WE hereby authorize and request the Commissioner of Patents to record transfer of said Letters of Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

And WE hereby agree to transfer a like interest, upon the request of the ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

Agreeing furthermore, upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

This Assignment executed on the date indicated below.

Date: October 9, 2006

Carl L. Eggerding  
CARL L. EGGERDING

STATE OF SOUTH CAROLINA    )  
  ) ss. -  
COUNTY OF HORRY            )

LANNY J. BRECZINSKI  
NOTARY PUBLIC  
STATE OF SOUTH CAROLINA  
MY COMMISSION EXPIRES  
SEPTEMBER 25, 2013

On this 9<sup>th</sup> day of October, 2006, appeared before me CARL L.

EGGERDING, to me identified as the individual referred to in the foregoing instrument, and he  
acknowledged to me that he executed same as his free act and deed for the uses and purposes  
therein set forth.

Lanny J. Breczinski  
NOTARY PUBLIC FOR SOUTH CAROLINA

[SEAL]

MY COMMISSION EXPIRES: 9-25-13

Date: \_\_\_\_\_ JASON MACNEAL

STATE OF SOUTH CAROLINA )  
 ) ss.  
COUNTY OF HORRY )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2006, appeared before me JASON  
MACNEAL, to me identified as the individual referred to in the foregoing instrument, and he  
acknowledged to me that he executed same as his free act and deed for the uses and purposes  
therein set forth.

\_\_\_\_\_  
NOTARY PUBLIC FOR SOUTH CAROLINA [SEAL]  
MY COMMISSION EXPIRES: \_\_\_\_\_

Date: 23 Oct 06

John L. Galvagni  
JOHN L. GALVAGNI

STATE OF SOUTH CAROLINA    )  
  ) ss.  
COUNTY OF HORRY            )

On this 23 day of October, 2006, appeared before me JOHN L. GALVAGNI, to me identified as the individual referred to in the foregoing instrument, and he acknowledged to me that he executed same as his free act and deed for the uses and purposes therein set forth.

Lanny J. Brezinski  
NOTARY PUBLIC FOR SOUTH CAROLINA

LANNY J. BREZINSKI  
NOTARY PUBLIC  
STATE OF SOUTH CAROLINA  
MY COMMISSION EXPIRES  
SEPTEMBER 25, 2013

[SEAL]

MY COMMISSION EXPIRES: 9-25-13

  
ANDREW P. RITTER

[illegible]

RITTER, to me identified as the individual referred to in the foregoing instrument, and he acknowledged to me that he executed same as his free act and deed for the uses and purposes therein set forth.

Garry J. Breznick  
NOTARY PUBLIC FOR SOUTH CAROLINA

MY COMMISSION EXPIRES: 9/25/13

[SEAL]

LANNY J. BREZINSKI  
NOTARY PUBLIC  
STATE OF SOUTH CAROLINA  
MY COMMISSION EXPIRES  
SEPTEMBER 25, 2013