# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: SECURITY AGREEMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
DANA INNOVATIONS	10/18/2006

## RECEIVING PARTY DATA

Name:	UNION BANK OF CALIFORNIA, N.A.	
Street Address:	445 South Figueroa Street, 13th Floor	
City:	Los Angeles	
State/Country:	CALIFORNIA	
Postal Code:	90071	

### PROPERTY NUMBERS Total: 29

Property Type	Number
Patent Number:	6766027
Patent Number:	6533225
Patent Number:	6389139
Patent Number:	5423500
Patent Number:	5299766
Patent Number:	5292092
Patent Number:	6929091
Patent Number:	6721436
Patent Number:	6578808
Patent Number:	6522758
Patent Number:	5991424
Patent Number:	5693917
Patent Number:	5615275
Patent Number:	5539835
Patent Number:	5425107
	DATENT

PATENT REEL: 018480 FRAME: 0556

500174976

Patent Number:	5007707
Application Number:	10936975
Application Number:	10038492
Application Number:	11059574
Application Number:	10821063
Application Number:	60694764
Application Number:	60691507
Application Number:	11386564
Application Number:	60691508
Application Number:	11387022
Patent Number:	4997058
Application Number:	60825162
Application Number:	11532009
Application Number:	60824864

### **CORRESPONDENCE DATA**

Fax Number: (213)630-5728

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 213-891-5011

Email: jhawke@buchalter.com

Correspondent Name: Jody Hawke

Address Line 1: 1000 Wilshire Boulevard, Suite 1500
Address Line 4: Los Angeles, CALIFORNIA 90017-2457

ATTORNEY DOCKET NUMBER:	U2310-0247
NAME OF SUBMITTER:	Jody Hawke

Total Attachments: 6 source=ubc-dana#page1.tif source=ubc-dana#page2.tif source=ubc-dana#page3.tif source=ubc-dana#page4.tif source=ubc-dana#page5.tif source=ubc-dana#page6.tif



# MEMORANDUM AND NOTICE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY

DANA INNOVATIONS, a California corporation ("Debtar"), having its principal place of business at 212 Aveauda Febricante, San Clemente, California 22672, hereby achievologies that it has granted to UNION BANK, OF CALIFORNIA, A, a national busing association organized and existing under the folicing laws of the United States of America, whose address is 445 South Figurea Street, 13th Floor, Les Anagoles, California 2077) (the "Secured Energy, 1 security interest in and to: (a) the traditaneth and trademark registrations and applications therefore, which are identified on Ekalbit, Bartached hereto, as the same is bettern incorporated by this reference, and owned by Debtor (the "Tanafarth?"), reported by this reference, and owned by Debtor (the "Tanafarth?"), they will be the state of the proporated by this reference, and owned by Debtor (the "Capraghts"), to which such Trademarks. Patents and Copyrights are appurentant and all actions for infringement concurring the foregoing.

The terms and conditions of the aforementioned security interest are contained in that certain Loan and Security Agreement dated as of even date herowith the "Capraghts", between Debtor of the aforement man the containation which are security for the Obligations as set of orbit in the Agreement and other agreements of any of the collatoral nor as limiting any interest which Secured Party may have in any other collatoral of escribed in the Agreement or otherwise.

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REEL: 018480 FRAME: 0558

IN WITNESS WHEREOF, the undersigned has dely executed the

ted this descensent as of the 16 day of October, 2006.

DAMA DRIVOY STICKNE,

a California confusion

By Soul Straidens

Re: Pensident

NO BOXES, NO GRILLES...JUST GREAT SOUND

HIFI WORKS

April 23, 2002 January 14, 2003

January 8, 2002

April 23, 2002

November 28, 2000 September 5, 2000

June 13, 2000

May 29, 2001

October 19, 1999

May 21, 2002

ROTO-LOCK

SONAFILL

SONANCE SYMPHONY

SONANCE CINEMA

SONANCE

SONANCE SILHOUETTE SONANCE NAVIGATOR

SPEAKERTILE

MARINER

SOUND ADVANCE

FASTMOUNT

Exhibit A

SONANCE SONANCE

1,429,672 1,734,579 1,916,270 2,076,070 2,184,443 2,105,869 2,235,172 2,247,575 2,267,159 2,455,797 2,358,563 2,409,228 2,384,098 2,288,056 2,571,869 2,675,342 2,564,235 2,528,411 2,564,445 2,678,723 2,568,351 2,851,194 2,687,587

February 17, 1987 September 22, 1992 November 24, 1992 September 5, 1995

August 3, 2004

2,870,085 1,719,362

SONAMP

MEDIALINQ

FIELD DRESS HOME TECH ADD-A-ROOM

HOMETECH PIELDRESS

2,197,877

October 20, 1998

May 25, 1999 August 3, 1999

August 25, 1998 October 14, 1997 March 23, 1999

July 1, 1997

THE SOUND OF SONANCE

2,632,760

January 21, 2003 October 8, 2002

WAY COOL PROGRAMMING TOOL

VISUAL PERFORMANCE

ONLIANOS

2,896,326

October 19, 2004 March 29, 2005

September 23, 2003

July 4, 2006

August 3, 2004

2,902,265

November 9, 2004 October 12, 2004 November 9, 2004

June 7, 2005

August 17, 2004

3,112,301

OPTILINO

SINGLE STEREO TECHNOLOGY

SOUNDHENGE FASTMOUNT

2,512,593 2,777,267 2.870,085 2,767,816 2,936,649 2.960,715 2.893,914 2,875,672 2,902,364 2,906,501 2,979,188

November 27, 2001 October 28, 2003

February 11, 2003

April 26, 2002

June 8, 2004

May 7, 2002

HIFI WORKS

NAVIGATOR NETWORK

SONANCE VIRTUOSO

SONIC EYE

ROTO-FLEX

2,562,497

SONANCE SYMPHONY EXTREME

2,931,842

AVC

CONCIERGE

PROMMERCIAL

MERLOT

SONALINQ

ELLIPSE

ARCHITECTURAL AUDIO

TNUOMISIANI SILVERSCREEN

EXHIBIT A

UNITED STATES ISSUED TRADEMARKS AND SERVICE MARKS

Registration Number

2,984,023

**PATENT** REEL: 018480 FRAME: 0560

August 29, 2006 Registration Date

August 9, 2005

July 26, 2005

November 30, 2004

March 8, 2005

Exhibit A



Mark
SONANCE
DESIGN ONLY
DESIGN ONLY
NANODOCK
QUINTETTE
THINLINE
SHAREMUSIC

<u>Application Number</u>
78-956,806
78-956,464
78-956,453
78-738,946
78-613,220
78-595,565
78-576,297

None as reported by Borrower

UNREGISTERED MARKS

UNITED STATES TRADEMARK APPLICATIONS

Filing Daxe
August 21, 2006
August 21, 2006
August 21, 2006
October 24, 2005
April 20, 2005
March 25, 2005
Hebruary 28, 2005

WALL MOUNTING BRACKET WITH SIDE ARM

PAINT SHIELD WITH LEVELS

S/N 60/824,864 S/N 11/532,009 S/N 60/825,162 S/N 11/387,022 S/N 11/386,564

> September 14, 2006 September 11, 2006

September 7, 2006

DEVICES AND METHODS FOR FLANGELESS INSTALLATIONS

WIRE MANAGEMENT SYSTEM WIRE MANAGEMENT SYSTEM SOUND TRANSDUCER (SAS)

> S/N 60,691,508 S/N 60/691,507 S/N 60/694,764 S/N 10/821,063 S/N 11/059,574

March 21, 2006

June 16, 2005

March 21, 2006 June 16, 2005

June 28, 2005 April 8, 2004

March 5, 1991

S/N 4,997,058

PORT CONTROLLER PATENT APPLICATION

UNITED STATES PATENT APPLICATIONS

Application Number S/N 10/038,492 S/N 10,936,975

3-WAY SPEAKER SYSTEM HAVING TRANSLATABLE MID-RANGE/TWEETER MODULE

February 16, 2005

September 9, 2004

Filing Date

January 2, 2002

SPEAKER ASSEMBLY (RYAN GORDON) NOISE RESISTANT REMOTE CONTROL SYSTEM

MOUNTABLE CABINET SPEAKER MOUNTABLE CABINET SPEAKER MOUNTABLE CABINET SPEAKER



UNITED STATES ISSUED PATENTS

EXHIBIT B

### WALL-MOUNTED AUDIO CONTROL HOUSING AND RECEPTACLE THERFORE PLANAR DIAPHRAGM LOUDSPEAKER WITH COUNTERACTIVE WEIGHTS PLANAR DIAPHRAGM LOUDSPEAKER AND RELATED METHODS PLANAK-TYPE LOUDSPEAKER WITH DUAL DENSITY DIAPHRAGM PLANAR-TYPE LOUDSPEAKER WITH DUAL DENSITY DIAPHRAGM PLANAR DIAPHRAGM SPEAKER WITH HEAT DISSIPATOR MOUNTING ASSEMBLY FOR MOUNTING A DEVICE IN A BARRIEK INTEGRATED SOUND AND VIDEO SCREEN COMPENSATION SYSTEM FOR PLANNER LOUDSPEAKERS REMOTE EDGE-DRIVEN PANEL SPEAKER RETROFIT BRACKET FOR WALL MOUNT SPEAKERS RETROFIT BRACKET FOR WALL MOUNT SPEAKERS PLANAR DIAPHRAGM LOUDSPEAKER ELLIPTICAL FLUSHMOUNT SPEAKER FLAT BRACKET FOR WALL MOUNT SPEAKERS POWERED VOLUME CONTROL FOR DISTRIBUTED AUDIO SYSTEM Patent Number US 5615275 US 6389139 US 6533225 US 6766027 US 5007707 US 5425107 LIS 5539835 US 5693917 US 5991424 US 6522758 US 6578808 US 6721436 US 6929091 US 5292092 US 5299766 US 5423500 November 23, 1991 December 2, 1997 February 18, 2003 March 25, 1997 August 16, 2005 March 18, 2003 April 16, 1991 June 13, 1995 July 23, 1996 June 17, 2003 April 13, 2004 March 8, 1994 April 5, 1994 June 13, 1995 May 14, 2002 July 20, 2004

BN 997541v3

Exhibit C

RECORDED: 11/06/2006

Grantor Copyright Copyright Copyright UNITED STATES COPYRIGHT APPLICATIONS UNITED STATES ISSUED COPYRIGHTS None as reported by Borrower None as reported by Borrower COPYRIGHT LICENSES Registration Number Application Number ЕХНІВІТ С Registration No. Registration Date File Date

None as reported by Borrower

Registration Date