

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

CORRECTIVE ASSIGNMENT

NATURE OF CONVEYANCE:

Corrective Assignment to correct the Assignees. The name of an Assignee was incorrectly identified on the Recordation Form Cover Sheet previously recorded on Reel 015042 Frame 0637. Assignor(s) hereby confirms the Corrective Assignment to Replace Reel/Frame 7504/0141 which was the Assignment of Assignor's Interest.

CONVEYING PARTY DATA

Name	Execution Date
David L. Bergeron	01/25/2000
John M. Macaulay	11/29/1999
Roger W. Barton	12/08/1999
Jeffrey D. Morse	09/28/1999

RECEIVING PARTY DATA

Name:	Candescent Technologies Corporation
Street Address:	6320 San Ignacio Ave.
City:	San Jose
State/Country:	CALIFORNIA
Postal Code:	95119

Name:	The Regents of the University of California
Street Address:	1111 Franklin Street
City:	Oakland
State/Country:	CALIFORNIA
Postal Code:	94607-5200

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	08383409

CORRESPONDENCE DATA

Fax Number: (323)934-2300

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

PATENT

500174326

REEL: 018481 FRAME: 0292

CH \$40.00 08383409

Phone: 3239342300
Email: dperez@ladas.com
Correspondent Name: Ladas & Parry LLP
Address Line 1: 5670 Wilshire Blvd., Suite 2100
Address Line 4: Los Angeles, CALIFORNIA 90036

NAME OF SUBMITTER:

Robert Popa

Total Attachments: 12

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08/12/2004
700105475

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: CDST-C019

I hereby certify that this transmittal of the below described document is being facsimile transmitted to the Patent and Trademark Office, on the below date of deposit.

Fax Number: (703) 306-5995			
Date of Deposit: 08/12/04	Name of Person Making the Deposit: Donna Petford	Signature of the Person Making the Deposit: <i>Donna Petford</i>	

Mail Stop Assignments
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir: Please record the attached original document(s) or copy(ies) thereof:

Re-Submission of Transmittal of Assignment

1. Name of conveying party(ies): David L. Bergeron, John M. Macaulay, Roger W. Barton, Jeffrey D. Morse
Serial No.: 08/383,409
Filed: 01/31/95
Group Art Unit:
Examiner:
2. Name and Address of Receiving party(ies): Candescent Technologies Corporation
6320 San Ignacio Ave., San Jose, CA 95119
University of California
1111 Franklin Street, Oakland, CA 94607-5200
3. Nature of Conveyance: **CORRECTIVE Assignment**
to replace Reel/Frame: 7504/0141
Execution Date: 01/25/00, 11/29/99, 12/08/99, 9/28/99
4. New Patent Application entitled: **METHOD FOR CREATING GATED FILAMENT STRUCTURES FOR FIELD EMISSION DISPLAYS**
5. Name and address of party to whom correspondence concerning document should be mailed:
Name: John P. Wagner
Address: Wagner, Murabito & Hao LLP
Two North Market Street, Third Floor, San Jose, CA 95113
Phone: (408) 938-9060
6. Total Number of applications and patents involved: ONE
7. **Fee Calculation** (for other than a small entity)
Assignment Recordation Fee, per property 1 X \$40.00
Total Fees (37 CFR 3.41) \$40.00
The amount of \$ 40.00 is enclosed
8. **Please charge fees to Deposit Account 23-0085. A duplicate copy of this transmittal is enclosed.**
9. **Statement and signature:**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 8/13/04

Name: John P. Wagner, Jr.
Reg. No. 35,398

ASSIGNMENT

For good and valuable consideration the receipt of which is hereby acknowledged ASSIGNOR(s)

1. David L. Bergeron 2. John M. Macaulay
3. Roger W. Barton 4. Jeffrey D. Morse

hereby sells, assigns and transfers to ASSIGNEES, the Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, Oakland, California 94607-5200, and Candescent Technologies Corporation, located at 6320 San Ignacio Avenue, San Jose, California 95119 and the successors, assigns and legal representative of the ASSIGNEES, all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Method for Creating Gated Filament Structures for Field Emission Displays

and which is found in

- ☐ U.S. patent application executed on _____, entitled as above and listing the above named persons as inventors
☒ U.S. patent application serial no. 08/383,409, filed on January 31, 1995
☐ U. S. patent no. _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that the previously filed assignment inadvertently assigned rights to the subject invention solely to Silicon Video Corporation (now known as Candescent Technologies Corporation), although Jeffrey D. Morse was obligated to assign patent rights to the Regents of the University of California, that the incorrectly recorded assignment document is identified as having reel number 7504 and frame number 0141, and that no other assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEES, the Regents of the University of California and Candescent Technologies Corporation.

executed this
1/25/00
Date

Signature of Inventor(s)

David L. Bergeron
David L. Bergeron

executed this

11/29/99
Date

Signature of Inventor(s)

John M. Macaulay
John M. Macaulay

executed this

12/8/99
Date

Signature of Inventor(s)

Roger W. Barton
Roger W. Barton

executed this

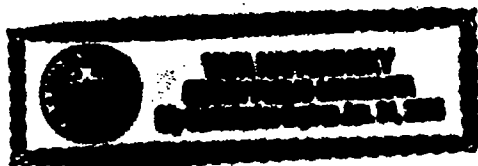
9/28/99
Date

Signature of Inventor(s)

Jeffrey D. Morse
Jeffrey D. Morse

State of Minnesota
County of Cook

This instrument was acknowledged before me on December 8, 1999 by
Roger W Barton.



Yafa Napadensky
Yafa Napadensky
Notary Public

06-19-1995

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

HEET

Attorney Docket No. SVC 2029 PD

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David L. Bergeron John M. Macaulay
Roger W. Barton Jeffrey D. MorseAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: April 14, 1995

2. Name and address of receiving party(ies):

Name: Silicon Video Corporation

Address: 10460 Bubb Road
Cupertino, California 95041 68609 U.S. PTOAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/383,409

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul Davis

Address: Haynes & Davis

2180 Sand Hill Road, Suite 310

Menlo Park, California 94025-6935

6. Total number of applications and patents involved: one

Total fee (37 CFR 3.41):\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

08-1405

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul Davis

Name of Person Signing
Registration No. 29,294

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

PATENT

REEL: 018481 FRAME: 0297

JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned,

(1) David L. Bergeron

(2) John M. Macaulay

(3) Roger W. Barton

(4) Jeffrey D. Morse

(hereinafter termed "Inventors"), residents of

(1) 1062 Foxhurst Way

(2) 114 Greenmeadow Way

(3) 545 Forest Avenue

(4) 1579 Ashwood Drive

respectively, Cities of

(1) San Jose

(2) Palo Alto

(3) Palo Alto

(4) Martinez

respectively, Counties of

(1) Santa Clara

(2) Santa Clara

(3) Santa Clara

(4) Contra Costa

respectively, States of

(1) California

(2) California

(3) California

(4) California

respectively, have invented certain new and useful improvements in:

METHOD FOR CREATING GATED FILAMENT STRUCTURES FOR FIELD EMISSION DISPLAYS

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

On the

(1) 23 day of March, 1995;

(2) 23 day of March, 1995;

(3) 23 day of March, 1995;

(4) 14 day of April, 1995.

respectively;

Or

X Said application having Application Number 08 /383,409 and filed on the 31st day of January, 1995.

WHEREAS **SILICON VIDEO CORPORATION** (hereinafter termed "Assignee"), a corporation of **CALIFORNIA**, having a place of business at 10460 Bubb Road, Cupertino, California 95014, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents" applied for or granted in the United States and/or other countries.

PATENT

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee on the date of acknowledgment before the Notary Public as given below.

(1) David L. Bergeron
David L. Bergeron

(1) State of CALIFORNIA
County of SANTA CLARA

On 23 MARCH 1995 before me, WILLIAM F. BRUNNER, personally appeared David L. Bergeron, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

William F. Brunner
Signature of Notary



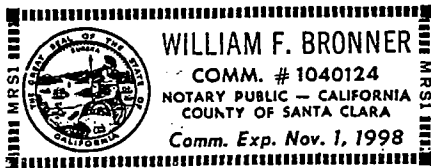
(2) John M. Macaulay
John M. Macaulay

(2) State of CALIFORNIA
County of SANTA CLARA

On 23 MARCH 1995 before me, WILLIAM F. BRONNER
personally appeared John M. Macaulay, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and
acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted,
executed the instrument.

WITNESS my hand and official seal.

William F. Bronner
Signature of Notary



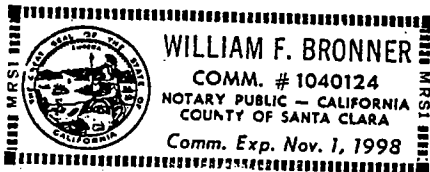
(3) Roger W. Barton
Roger W. Barton

(3) State of CALIFORNIA
County of SANTA CLARA

On 23 MARCH 1995 before me, WILLIAM F. BRONNER
personally appeared Roger W. Barton, personally known to me (or proved to me on the basis of satisfactory
evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me
that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on
the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

William F. Bronner
Signature of Notary



(4) Jeffrey D. Morse
Jeffrey D. Morse

(4) State of California
County of Alameda

On 4-14-95 before me, Jeffrey D. Morse
personally appeared Jeffrey D. Morse, personally known to me (or proved to me on the basis of satisfactory
evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me
that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on
the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Kathleen Johnson
Signature of Notary

PATENT