Docket No.: 3831.14-1

FORM PTO-1595 (Modified) RECORDATION FO	ORM COVER SHEET U.S. DEPARTMENT OF COMMERCE
OMB No. 0651-0027 (exp.5/31/2002) P06A/REV04 PATEN	TS ONLY Patent and Trademark Office
Tab settings → → → ▼	* * *
To the Director of the United States Patent and Trademark Of	fice: Please record the attached original documents or copy thereof.
1. Name of conveying party(ies): R. LANE SMITH LAURENCE J. B. TARRANT AKIHIKO KUSANAGI HANS PETER INGEMAR CLAESSON	Name and address of receiving party(ies): Name: HISTOGENICS CORP. Address: 64 GREENLEAF DRIVE
Additional names(s) of conveying party(ies)	
3. Nature of conveyance:	
☑ Assignment □ Merger	
☐ Security Agreement ☐ Change of Name	City: NORTHAMPTON State/Prov.: MA
Other	Country: UNITED STATES ZIP: 01062-9768
Execution Date: 10-31-06; 10-1-06; 10-10-06; 10-17-06.	
	Additional name(s) & address(es) ☐ Yes ☑ No
4. Application number(s) or patent numbers(s):If this document is being filed together with a new application, to the second second	the execution date of the application is:
Patent Application No. Filing date	B. Patent No.(s)
11/523,833 SEPTEMBER 19, 2006 Additional numbers	☐ Yes ⊠ No
5. Name and address of party to whom correspondence	G Tatal annual and annual action of a second action
concerning document should be mailed:	6. Total number of applications and patents involved:
Name: HANA VERNY	7. Total fee (37 CFR 3.41):\$ 40.00
Registration No. 30,518	☐ Enclosed - Any excess or insufficiency should be
Address: PETERS, VERNY, JONES, SCHMITT	credited or debited to deposit account
& ASTON LLP	Authorized to be charged to deposit account
425 SHERMAN AVENUE, SUITE 230	8. Deposit account number:
City: PALO ALTO State/Prov.: CA	16-1331
Country: UNITED STATES ZIP: 94306	(Attach duplicate copy of this page if paying by deposit account)
9. Statement and signature.	USE THIS SPACE
<u> </u>	pation is true and correct and any attached copy is a true copy NOVEMBER 2, 2006
Name of Person Signing	Signature Date
	er sheet, attachments, and document:

documents to be recorded wan required cover sneet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

	Assignment of Rights, Title : Multiple inventors)		Docket No. 3831.14-1
			3031.14-1
	signment of the following rights, t	title and interest: (check all that apply):	
X	United States of America rights,		
X	Foreign rights, title and interest i		
\boxtimes	United States Patent Application	44 1	
	Date of Execution: 09/19/2		
⊠		Application Serial No. 60/718,714	
	United States Patent No(s).		
	International (PCT) Patent Apple	ication Serial No.	
	Other (specify)		ALIENIA ALIEN ALIE
Title of the 1	nvention		
POROSITY Inventors (a.	•	HOD FOR PREPARATION THEREOF	
	Name	Address	
R. LANE S	MITH	947 ILIMA WAY, PALO ALTO, CA 94306	3-2618
	E J. B. TARRANT	64 GREENLEAF DRIVE, NORTHAMPTO	ON, MA 01062-9768
AKIHIKO K	·	135 PLEASANT STREET, #402, BROOM	KLINE, MA 02446
HANS PET	ER INGEMAR CLAESSON	15 MORSE ROAD, WAYLAND, MA 017	78
	- 111		
Assignee	Name	Address]
HISTOGEN	ICS CORP.	64 GREENLEAF DRIVE	
	100 0014 .	NORTHAMPTON, MA 01062-9768 US	

Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)

Docket No. 3831.14-1

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

Name	Signature/Date
R. LANE SMITH	1 Klemonth 31 Oct 200k
LAURENCE J. B. TARRANT	Janes B Faren 100 2006
AKIHIKO KUSANAGI	
HANS PETER INGEMAR CLAESSON	JAMS / 17 th, 2006

[Page 2 of 2]

PATENT

Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)

Docket No. 3831.14-1

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

akil mang i repoloti
akstang 10/10/06
<u> </u>
•
•
•

[Page 2 of 2]

PATENT