

11-08-2006



103333988

Atty Docket: 31978-236210

U.S. DEPARTMENT OF COMMERCE

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To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kenner C. RICE

Silvia N. CALDERON

11/3/06

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: October 16 and October 30, 2006

2. Name and address of receiving party(ies)

Name: The Government of the United States of America,
as Represented by the Secretary, Department of Health
and Human Services (hereinafter THE GOVERNMENT)

Address: National Institutes of Health, Office of Technology Transfer
6011 Executive Boulevard, Suite 325

City: Rockville State/Country: MDZip: 20852-3804Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application by the first named inventor is: _____

A. Patent Application No.(s)

08/502,466

08/302,431

B. Patent No.(s)

USP 6,410,537

USP 6,046,200

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

26694

PATENT TRADEMARK OFFICE

Name: VENABLEAddress: P.O. Box 34385City: Washington State: D.C. Zip: 20043-99986. Total number of applications and patents involved: 47. Total fee (37 CFR 3.41) \$ 160.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

22-0261

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(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas G. Wiseman

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

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PC Docs No. 799628

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PATENT
REEL: 018498 FRAME: 0269

ASSIGNMENT

WHEREAS, we, Kenner C. RICE, residing at 9007 Kirkdale Rd. Bethesda, MD., a citizen of the USA, and Silvia CALDERON, residing at 7119 Ivymount Terrace, Potomac, MD, a citizen of USA, have made an invention entitled

COMPOSITIONS HAVING NEUROPROTECTIVE AND ANALGESIC ACTIVITY

for which a U.S. Patent Application Serial Number 09/541,431 was filed on April 3, 2000, a U.S. Patent Application Serial Number 09/009,307 was filed on January 20, 1998, a U.S. Patent Application Serial Number 08/502,468 was filed on July 14, 1995, and a U.S. Patent Application Serial Number 08/302,431 was filed on September 9, 1994; and

WHEREAS, I am an applicant named in the above-identified Patent Applications; and

WHEREAS, the conditions under which said invention was made are such as to entitle **The Government of the United States of America, as represented by the Secretary, Department of Health and Human Services** residing at National Institute of Health, Office of Technology Transfer, 6011 Executive Boulevard, Suite 325, Rockville, Maryland 20852-3804 (**hereinafter THE GOVERNMENT**) under Paragraph 1(a) of Executive Order 10096 to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, **THE GOVERNMENT** is desirous of acquiring the entire domestic and foreign right, title, and interest in the above-mentioned invention described in the Patent Applications,

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign and transfer to THE GOVERNMENT, as represented by the Secretary, Department of Health and Human Services, my entire right in and to said invention in the United States of America and within each and every foreign country in which THE GOVERNMENT elects to file and the entire right, title, and interest in and to such applications, and any continuations, continuations-in-part, divisions, reissues or extensions thereof, and including priority rights as may be filed in the United States of America and foreign countries, and such Letters Patent as may be granted to be held by THE GOVERNMENT to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I further agree to make, execute, and deliver to the Secretary, Department of Health and Human Services, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

IN WITNESS WHEREOF I subscribe my hand and seal on the date shown below

Signature: Kenner C. Rice
Kenner C. RICE

Date Oct. 30, 2006

County of Baltimore
State of Maryland SS:

Subscribed and sworn to before me this 30 day of October, 2006.

Wendy D. Marshall
Notary Public

My Commission Expires
5/1/2009

ASSIGNMENT

Title: COMPOSITIONS HAVING NEUROPROTECTIVE AND ANALGESIC ACTIVITY

Signature: 
Silvia N. CALDERON

Date 10-16-06

County of Montgomery

State of Maryland SS:

Subscribed and sworn to before me this 16th day of October, 2006.

Maryanne A. Lillard
Notary Public

My Commission Expires: January 01, 2010