

**H01.2-13344**

Form PTO-1595 (Rev. 07/05)

COMMERCE

OMB No. 0651-0027 (exp. 6/30/2008)

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**Alexander Jakubowski, Joachim  
Nitzpon, Ralf Wederhake, Markus  
Marburger**

Additional name(s) of conveying party(ies)  
attached? ☐ Yes ☒ No

**3. Nature of Conveyance/Execution Date(s):****Execution Date(s): Sept. 8, 2006**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmation License  
☐ Other

**2. Name and address of receiving party(ies):**Name: **Nordex Energy GmbH**

Internal Address:

Street Address: **Bornbarch 2**

D-22848 Norderstedt/ Germany

City State Zip

Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

**11/523942**Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**

Richard A. Arrett  
VIDAS, ARRETT & STEINKRAUS, P.A.  
Suite 2000  
6109 Blue Circle Drive  
Minnetonka, MN 55343-9185  
Fax: 952-563-3001  
E-mailed address:

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41): \$40.00**

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<b>1. Name of conveying party(ies):</b>  <b>Alexander Jakubowski, Joachim Nitzpon, Ralf Wederhake, Markus Marburger</b>  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>2. Name and address of receiving party(ies):</b>  <b>Name: Nordex Energy GmbH</b> <b>Internal Address:</b>  <b>Street Address: Bombarch 2</b>  <b>D-22848 Norderstedt/ Germany</b> <b>City State Zip</b>  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>3. Nature of Conveyance/Execution Date(s):</b> <b>Execution Date(s): Sept. 8, 2006</b> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmation License <input type="checkbox"/> Other					
<b>4. Application or patent number(s):</b> <b>A. Patent Application No.(s)</b>  <b>11/523942</b>		<input type="checkbox"/> This document is being filed together with a new application. <b>B. Patent No.(s)</b>			
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
<b>5. Name and address to whom correspondence concerning document should be mailed:</b>  <b>Richard A. Arrett</b> <b>VIDAS, ARRETT &amp; STEINKRAUS, P.A.</b> <b>Suite 2000</b> <b>6109 Blue Circle Drive</b> <b>Minnetonka, MN 55343-9185</b> <b>Fax: 952-563-3001</b> <b>E-mailed address:</b>		<b>6. Total number of applications and patents involved: 1</b> <b>7. Total fee (37 CFR 1.21(b) &amp; 3.41): \$40.00</b> <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Authorized to be charged to credit card <input type="checkbox"/> Enclosed <input type="checkbox"/> Not required (gov'n interest not affecting title)			
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<b>9. Signature:</b>  <b>Richard A. Arrett</b>		 Signature		<b>(type in atty name)</b>  <b>10/18/06</b> Date	
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PATENT FORM 1595 (Rev. 07/95)

# ASSIGNMENT

WHEREAS, I(we),

*Inventor* 1) Alexander Jakubowski  
*Name(s)* 2) Joachim Nitzpon  
3) Ralf Wederhake  
4) Markus Marburger

residing at

*Inventor* 1) Neustrasse 28 D-46236 Bottrop/Germany  
*Address(es)* 2) Lastropsweg 6 D-20255 Hamburg/Germany  
3) Bornkampsweg 11 D-22761 Hamburg/Germany  
4) Syltkuhlen 6 D-22846 Norderstedt/Germany

have invented and own the entire United States right, title and interest in an invention for

*Invention* Method for the earthwork of a foundation sunk for a wind  
*Title:* energy facility  
disclosed in my application for United States Letter Patent filed

[x] concurrently herewith  
[ ] on 9/20/06 and assigned Serial No. 11/523942; and

I hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131, to insert the filing date and application number of said application above when known.

*Assignee* WHEREAS,  
*Name* Nordex Energy GmbH

*Assignee* having its principal place of business at  
*Address*

Bornbarch 2 D-22848 Norderstedt/Germany

(hereinafter „ASSIGNEE“) is desirous of acquiring the entire right, title, and interest in said application and in and to all Letters Patent and all Design Letters Patent which may be granted thereon;

NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto ASSIGNEE, its successors, and assigns, the entire right, title and interest, legal and equitable, in and to said application and all United States Letters Patent and Design Letters Patent which may be granted thereon; and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to ASSIGNEE.



City &amp; Country

Signed at Norderstedt, Germany

Date

this 8th day of September, 2006Inventor  
Signature(s)  
Alexander Jakubowski Joachim Nitzpon

Date

this 8th day of September, 2006Inventor  
Signature(s)  
Ralf Wederhake Markus Marburger

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>In re Application of:</b>	<b>Jakubowski et al</b>
<b>Application No.:</b>	<b>11/523942</b>
<b>Filed:</b>	<b>Sept. 20, 2006</b>
<b>For:</b>	<b>METHOD FOR THE EARTHWORK OF A FOUNDATION SUNK FOR A WIND ENERGY FACILITY</b>
<b>Examiner:</b>	<b>Not Yet Assigned</b>
<b>Group Art Unit:</b>	<b>3673</b>

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Docket No.: H01.2-13344-US01

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In addition to this 1 page Facsimile Transmittal, attached please find 1 page newly-executed Recordation Form Cover Sheet, copy of Recordation Form Cover Sheet previously filed, 1 page executed Assignment Document. Please correct the name of the first named inventor.

Respectfully submitted,  
VIDAS, ARRETT & STEINKRAUS

Date: 11/9/06By: 

Richard A. Arrett  
Registration No. 33,153

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
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I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. 571-273-0140, on 11-9-06.

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