

Form PTO-1595 (Rev. 07/05)
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U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

University Patents, Inc.
1465 Post Road East
Westport, CT 06881

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) December 16, 1994

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Competitive Technologies, Inc.

Internal Address: Suite 100

Street Address: 777 Commerce Drive

City: Fairfield

State: Connecticut

Country: Fairfield Zip: 06825

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

4,940,658

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Jaen Andrews

Internal Address: Competitive Technologies, Inc.
Suite 100

Street Address: 777 Commerce Drive

City: Fairfield

State: CT Zip: 06825

Phone Number: 203-368-6044

Fax Number: 203-368-5399

Email Address: jandrews@competitivetechnet

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 032634

Authorized User Name Jaen Andrews

9. Signature:

Jaen Andrews
Signature

November 10, 2006
Date

Jaen Andrews

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

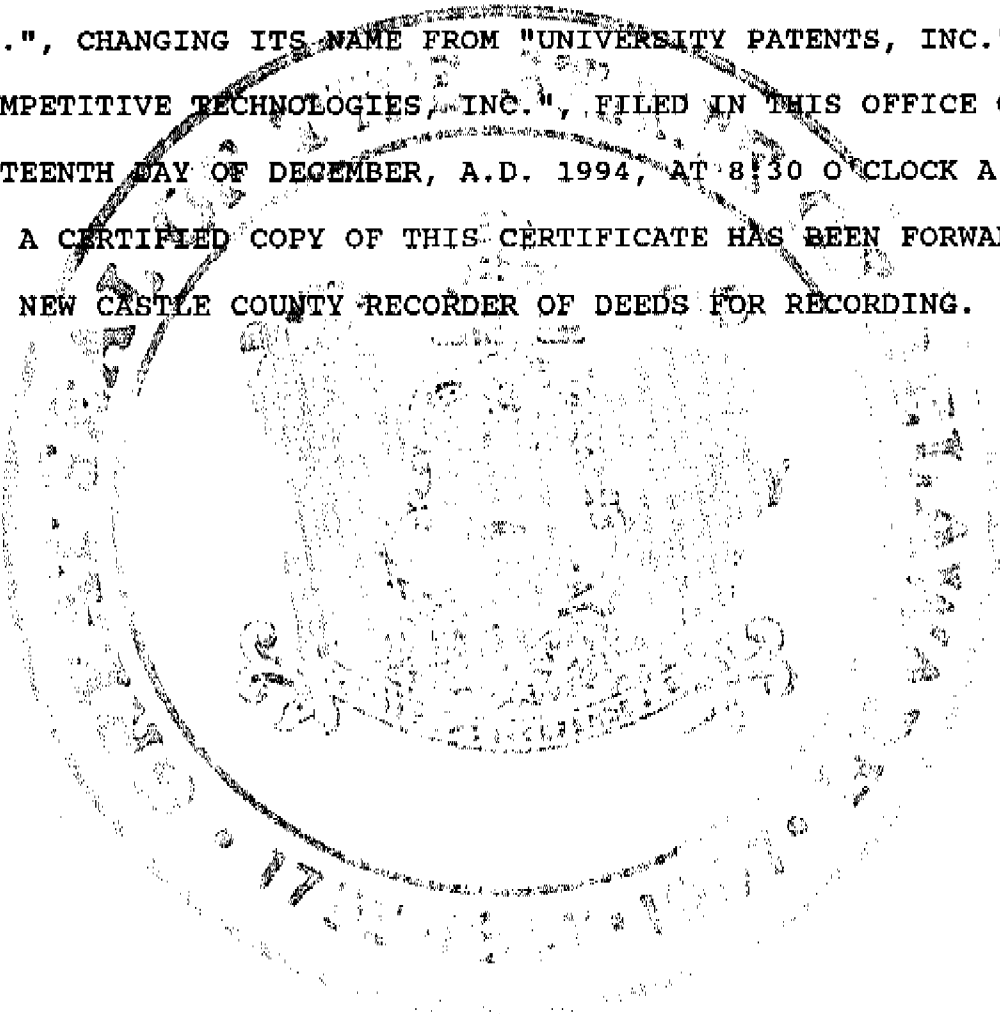
CH \$40.00 032634 4940658

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State of Delaware
Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "UNIVERSITY PATENTS, INC.", CHANGING ITS NAME FROM "UNIVERSITY PATENTS, INC." TO "COMPETITIVE TECHNOLOGIES, INC.", FILED IN THIS OFFICE ON THE SIXTEENTH DAY OF DECEMBER, A.D. 1994, AT 8:30 O'CLOCK A.M.

A CERTIFIED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS FOR RECORDING.



Edward J. Freel, Secretary of State

0774308 8100

AUTHENTICATION:

7341177

DATE:

944246416

PATENT 12-16-94

REEL: 018515 FRAME: 0148

CERTIFICATE OF AMENDMENT
OF
RESTATED CERTIFICATE OF INCORPORATION

UNIVERSITY PATENTS, INC., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware, DOES HEREBY CERTIFY:

FIRST: That at a meeting of the Board of Directors of UNIVERSITY PATENTS, INC., resolutions were duly adopted setting forth a proposed amendment to the Restated Certificate of Incorporation of said corporation, declaring said amendment to be advisable and calling a meeting of the stockholders of said corporation for consideration thereof. The resolution setting forth the proposed amendment is as follows:

RESOLVED, that the Restated Certificate of Incorporation of this corporation be amended by changing Article FIRST thereof so that, as amended, said Article FIRST shall be and read as follows:

"FIRST: The name of the corporation (hereinafter called the "Corporation") is Competitive Technologies, Inc."

SECOND: That thereafter, pursuant to resolution if its Board of Directors, an annual meeting of the stockholders of said corporation was duly called and held, upon notice in accordance with Section 222 of the General Corporation Law of the State of Delaware at which meeting the necessary number of shares as required by statute were voted in favor of the amendment.

THIRD: That said amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said UNIVERSITY PATENTS, INC. has caused this certificate to be signed by FRANK R. McPIKE, JR., its Vice President, Finance, thereunto duly authorized, this 16th day of December, 1994.

UNIVERSITY PATENTS, INC.

By:


Vice President, Finance