

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Commissioner for Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert J. Nick

Eugene N. Step

2. Name and address of receiving party(ies):

Name: Cabot Corporation

Internal Address: _____

Street Address: Two Seaport Lane
Suite 1300

City: Boston State: MA ZIP: 02210

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date(s): October 31, 2006 and October 23, 2006

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

11/512,697 filed August 30, 2006

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michelle B. Lando

Internal Address: Law Department

Street Address: Cabot Corporation
157 Concord Road

City: Billerica State: MA ZIP: 01821

Total Number of applications and patents involved: 1

Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account Number: 03-0060

☒ Duplicate Copy of this page is attached.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michelle B. Lando



November 20, 2006

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 6

OMB No. 0651-0011

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PATENT

700298284

REEL: 018544 FRAME: 0819

Docket No. 04063CON/MBL**ASSIGNMENT**

WHEREAS, we, Robert J. Nick of 137A Shirley Street, Pepperell, Massachusetts 01463 a citizen of the United States of America, and Eugene N. Step of 123 Adeline Road, Newton, Massachusetts 02459, a citizen of the United States of America, having invented the subject matter described in the U. S. Patent Application entitled **“PROCESS FOR PREPARING MODIFIED PIGMENTS,”** the specification of which was filed on **August 30, 2006**, as United States Patent Application No. **11/512,697**; and

WHEREAS, Cabot Corporation, a corporation organized and existing under and by virtue of the laws of the State of Delaware, doing business at Two Seaport Lane, Suite 1300, Boston, Massachusetts 02210, is desirous of acquiring the entire right, title and interest in and to said application and the invention therein described and claimed and any Letters Patent that may be issued upon said application or for the improvements therein contained.

NOW THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) lawful money of the United States and other valuable considerations, in hand paid to us, the receipt and sufficiency whereof is hereby acknowledged, we, Robert J. Nick and Eugene N. Step, have sold, assigned and transferred and do hereby sell, assign and transfer unto Cabot Corporation, its successors and assigns, the entire right, title and interest in and to said application and the invention therein contained, including the right to apply for any Letters Patent in the United States of America and in any and all foreign countries on said invention, and any and all other applications for Letters Patent on said invention, in whatsoever countries, including all provisional, divisional, renewal, substitution, continuation, continuation-in-part, reissue, re-examination and Convention applications based upon said invention or upon said application, to the full end of the term or terms for which said Letters Patent may be issued, and every priority right that is or may be predicated upon or arise from said invention, said application and said Letters Patent, the same to be held and enjoyed by Cabot Corporation, its successors and assigns, the same as it would have been held and enjoyed by us if this assignment and sale had not been made.

Docket No. 04063CON/MBL

And we hereby authorize Cabot Corporation, to file patent applications in any or all countries on any or all of said invention in our name or in the name of Cabot Corporation, or otherwise as Cabot Corporation, may deem advisable, under any International Convention or otherwise.


And we hereby authorize the Commissioner of Patents of the United States and the empowered officials of all other governments to issue or transfer all said Letters Patent to Cabot Corporation, as assignee of the entire right, title and interest therein or otherwise as Cabot Corporation, may direct, in accordance with this instrument of assignment.

We hereby represent and warrant that there are no rights or interests inconsistent with the rights and interests granted herein and that we will not execute any instrument or grant or transfer any rights or interests inconsistent with the rights and interest granted herein, and that we, Robert J. Nick and Eugene N. Step, have sold, assigned and transferred and do hereby sell, assign and transfer unto Cabot Corporation, its successors and assigns, any further documents or instruments and do any and all further acts that may reasonably be deemed necessary by Cabot Corporation to enable Cabot Corporation, its successors or assigns, to file applications for the invention in any country where it may elect to file such applications, and that may be necessary to vest in Cabot Corporation, its successors and assigns, the title herein conveyed or intended so to be, and to enable such title to be recorded in the United States and foreign countries where such application or applications may be filed.

And we further covenant and agree, in consideration of the premises, that we, our executors and administrators, will at any time, upon request, communicate to Cabot Corporation, its successors and assigns, any facts relating to the invention and the improvements contained therein and the history thereof, known to us or our executors and administrators, and that we will testify as to the same in any interference or other litigation when requested so to do by Cabot Corporation, its successors and assigns.

Docket No. 04063CON/MBL

IN TESTIMONY WHEREOF, I, Robert J. Nick, have hereunto set my hand and seal this
31 day of October, 2006.

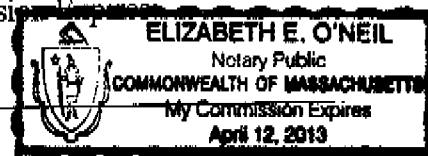

 Robert J. Nick

COMMONWEALTH OF MASSACHUSETTS)
) ss.
 COUNTY OF MIDDLESEX)

On this 31 day of October, 2006, before me personally appeared Robert J. Nick, before me known and known to me to be the individual described herein and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same voluntarily and for the purpose therein set forth.


 NOTARY PUBLIC

My Commission Expires:



IN TESTIMONY WHEREOF, I, Eugene N. Step, have hereunto set my hand and seal this
23 day of October, 2006.

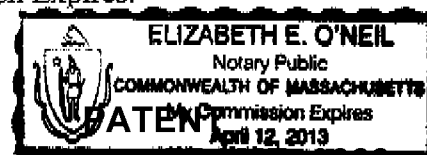

 Eugene N. Step

COMMONWEALTH OF MASSACHUSETTS)
) ss.
 COUNTY OF MIDDLESEX)

On this 23rd day of October, 2006, before me personally appeared Eugene N. Step, before me known and known to me to be the individual described herein and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same voluntarily and for the purpose therein set forth.


 NOTARY PUBLIC

My Commission Expires:



Docket No. 04063CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nick et al. Confirmation No.: 2548
Serial No.: 11/512,697 Group Art Unit: 1755
Filed: August 30, 2006 Examiner: Unassigned
For: **PROCESS FOR PREPARING MODIFIED PIGMENTS**

Facsimile No. 1-571-273-0140

(Total Number of Pages, including this sheet: 6)

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CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that the attached Recordation Form Cover Sheet (Form PTO-1595) and Assignment document is being transmitted *by facsimile* to the Director of the U.S. Patent and Trademark Office, Alexandria, VA 22313-1450, on November 20, 2006.



Michelle B. Lando
Reg. No. 33,941
Cabot Corporation
157 Concord Road
Billerica, MA 01821
Tel. No. (978) 670-7030
Return Fax: (978) 670-8027

Enclosure: Recordation Form Cover Sheet (2x) and Assignment

04063CON_FAX_ASSIGNMENT.doc

PATENT
REEL: 018544 FRAME: 0823

RECORDATION FORM COVER SHEET PATENTS ONLY


DUPLICATE

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1. Name of conveying party(ies): <u>Robert J. Nick</u> <u>Eugene N. Step</u> 	2. Name and address of receiving party(ies): Name: <u>Cabot Corporation</u> Internal Address: Street Address: <u>Two Seaport Lane</u> <u>Suite 1300</u> City: <u>Boston</u> State: <u>MA</u> ZIP <u>02210</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Additional name(s) of conveying party(ies) attached? No 3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other	
Execution Date(s): <u>October 31, 2006 and October 23, 2006</u>	

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5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Michelle B. Lando</u> Internal Address: <u>Law Department</u> Street Address: <u>Cabot Corporation</u> <u>157 Concord Road</u> City: <u>Billerica</u> State <u>MA</u> ZIP <u>01821</u>		Total Number of applications and patents involved: <u>1</u> Total fee (37 CFR 3.41): \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account	
		8. Deposit Account Number: <u>03-0060</u> <input checked="" type="checkbox"/> Duplicate Copy of this page is attached.	

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9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Michelle B. Lando</u>  <u>November 20, 2006</u> Name of Person Signing Signature Date	
Total number of pages including cover sheet: <u>6</u>	

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RECORDED: 11/20/2006

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