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U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

NEOCERA, INC.

**2. Name and address of receiving party(ies)**

Name: NEOCERA, LLC

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 11/16/2006

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

Street Address: 10000 Virginia Manor Road, Suite 300

City: Beltsville

State: MD

Country: USA Zip: 20705-4215

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

5,420,102

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Morton J. Rosenberg

Internal Address: Rosenberg, Klein & Lee

Street Address: 3458 Ellicott Center Drive, Suite 101

City: Ellicott City

State: MD Zip: 21043

Phone Number: 410-465-6678

Fax Number: 410-461-3067

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 18-2011

Authorized User Name \_\_\_\_\_

**9. Signature:**



Signature

11/17/2006

Date

Morton J. Rosenberg

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (871) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT DEED

This Assignment agreement is applicable to an invention entitled (invention Title) SUPERCONDUCTING FILMS ON ALKALINE EARTH FLUORIDE SUBSTRATE WITH MULTIPLE BUFFER LAYERS

The PATENT RIGHTS referred to in this agreement are:

- (Check one)  a Patent Application for this invention, executed by the ASSIGNOR(s) concurrently with this Assignment
- U.S. Patent Application Serial No. \_\_\_\_\_
- U.S. Patent No. 5,420,102, issued 5/30/1995, filed \_\_\_\_\_

The PATENT RIGHTS assigned under this agreement are:

- (Check one)  U.S. Patent rights only
- worldwide Patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. Patent Application identified above.

The ASSIGNOR(s) referred to in this agreement is (or are):

(Full name of first assignor) NEOCERA, INC.

(Address) 10000 Virginia Manor Road, Suite 300  
Beltsville, Maryland 20705-4215

(Full name of second assignor, if any) \_\_\_\_\_

(Address) \_\_\_\_\_

(Full name of third assignor, if any) \_\_\_\_\_

(Address) \_\_\_\_\_

(Full name of fourth assignor, if any) \_\_\_\_\_

(Address) \_\_\_\_\_

The First ASSIGNEE referred to in this agreement is:

(Name of Assignee) NEOCERA, LLC.

(Address of Assignee) 10000 Virginia Manor Road, Suite 300  
Beltsville, Maryland 20705-4215

The Second ASSIGNEE referred to in this agreement is:

(Name of Assignee) \_\_\_\_\_

(Address of Assignee) \_\_\_\_\_

The First ASSIGNEE is:

- (Check one)  an individual
- a partnership
- a Corporation of State of Delaware (State or Country)

The Second ASSIGNEE is:

- (Check one)  an individual
- a partnership
- a Corporation of \_\_\_\_\_ (State or Country)

Additional assignees are being named on separately numbered sheets attached hereto.

The ASSIGNOR(S), in consideration of \$1.00 paid by each ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to each ASSIGNEE; their successors and assigns:

- the full and exclusive right to the invention;
- an equal interest in and to the entire right, title and interest in and to the PATENT RIGHTS in the invention, all continuations, continuations-in-part, divisionals, re-issues, and re-examination patents and patent applications; and the right to claim priority under 35 U.S.C. 119, based on any earlier foreign applications for this invention.

As to all U.S. Patent Applications assigned under this Agreement, the ASSIGNOR(S) hereby authorize(s) and requests the Director of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(s) as the ASSIGNEE(s) of an equal interest in the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE(s), their successors and assigns.

Further, the ASSIGNOR(s) agree(s) to communicate to said ASSIGNEE(s), or their representatives, any facts known to the ASSIGNOR(s) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(s), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(s), their successors and assigns, to obtain and enforce proper protection for said invention.

(Signature of sole or first assignor) John Matthews  
John Matthews  
President

Nov 16, 2006  
(Date)

(Signature of second assignor, if any) \_\_\_\_\_ (Date) \_\_\_\_\_

(Signature of third assignor, if any) \_\_\_\_\_ (Date) \_\_\_\_\_

(Signature of fourth assignor, if any) \_\_\_\_\_ (Date) \_\_\_\_\_

PATENT