U.S. DEPARTMENT OF COMMERCE

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Patent and Trademark Office

## RECORDATION FORM COVER SHEET

To the Honorable Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name(s) of conveying party:

> Vladimir ERMAKOV Mark WOODWARD Joe AUGENBRAUN

2.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office via facsimile number. (571) 273-0140 or deposited with the United States Postal Service as first class mail in an envelope addressed to: M/S: ASSIGNMENT RECORDATION SERVICES, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on.

Name and address of receiving party Dated: November 20, 2006

Home Robots, Inc. 883 North Shoreline Blvd. Building D, Suite 210 Mountain View, CA 94043

Nature of conveyance: Assignment 3.

Execution Date:

October 20, 2006

October 24, 2006 November 13, 2006

13861/2 Docket No.: Application number: 11/515,100 4.

If this Assignment is being filed together with a new application, enter the execution date of the application (date Declaration signed):

Name and address of party to whom correspondence concerning Assignment should be mailed: 5.

Victor Castellucci **KENYON & KENYON LLP** 333 W. San Carlos Street, Suite 600 San Jose, CA 95110-2711

- 6. Total number of applications involved: 1
- Total fee (37 CFR 3.41) = \$40.00. Charge fee to Deposit Account No. 11-0600. 7.

#### DO NOT USE THIS SPACE

8. Statement and signature:

> To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

94266 1.DOC

PATENT

REEL: 018546 FRAME: 0031

NOV-20-2006 17:17 KENYON KENYON 14089757501 P.06

Signature of Attorney:

Victor Castellucci (Reg. No. 43,535)

**Telephone:** (408) 975-7500 **Facsimile:** (408) 975-7501

Date: November 20, 2006

94266\_1.DOC

-2- PATENT

REEL: 018546 FRAME: 0032

**PATENT** 

Docket No. 13861/2

# <u>ASSIGNMENT</u>

In consideration of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we hereby sell, assign, and transfer to Home Robots, Inc., a corporation of Delaware having a principal place of business at 883 North Shoreline Blvd., Building D, Suite 210, Mountain View, CA 94043, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent, Serial No. 11/515,100 filed on September 1, 2006 and is entitled

### LOCALIZATION AND MAPPING SYSTEM AND METHOD FOR A ROBOTIC DEVICE

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Inventor(s): Please SIGN AND DATE below:							
Oct 24 . 2006 Date	Name: Vladimir ERMAKOV						
, <u>2006</u> Date	Name: Mark WOODWARD						
OCF 20 , 2006 Date	Name: Joe AUGENBRAUN						

Assignment Document Return Address: Victor J. Castellucci, Esq. KENYON & KENYON LLP 333 West San Carlos Street, Suite 600 San Jose, Ca 95110-2731

Telephone: (408) 975-7500 Facsimile: (408) 975-7501

PATENT

Docket No. 13861/2

# <u>ASSIGNMENT</u>

In consideration of good and valuable consideration, the receipt and selficiency of which are hereby acknowledged, we hereby self, assign, and transfer to Home Robots. Inc., a corporation of Delawate having a principal place of business at \$83 North Shoreline Blvd., Building D. Suite 210, Mountain View, CA. 94043, 1. Assignee's, and its soccessors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent. Serial No. 11/515,000 filed on September 7, 2006 and is entitled

# LOCALIZATION AND MAPPING SYSTEM AND METHOD FOR A ROBOTIC DEVICE

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other patent, applications that have been or shall be fitted in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filling of said limited States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, usigns, and legal representatives, to carry out in good fain the intent and purpose of this Assignment, the undersigned will execute all divistoael, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful ouths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives, and

revenued with suit Assigner, its soccessors, assigns, and legal representatives that no assignment, grant, managing, literact, or other agreement affecting the rights and properly herein conveyed has been made to others by the understand, and that full right to convey the same as herein expressed is possessed by the understand.

(nventor(s): Please SIGN /	AND DATE below:
Oct 24 .2008	Name: Vladimir ERMAKOV
Nov 13 2006 Date	M WW. Name: Mark WOODWARD
<u> </u>	M. C. A. Name: Joe AUGENBRAUN

Assignment Document Return Address Victor F. Castellurgi, Fsq. NY ON & KENYON LLP 195 West San Carlos Street, Suite 606-San Fose, Ca. 95110-2731

Telepagnet

(408) 975-7500

hacsonile:

(408) 975-7501



RiverPark Towers 333 West San Carlos Street Suite 600 San Jose, CA 95110 Direct Tel: (408) 975-7973 Facsimile: (408) 975-7501

veastellucci@kenvon.com

TO: FROM:						
Examiner: TBA	Victor Castellucci					
COMPANY: USPTO	November 20, 2006					
FAX NUMBER: (571) 273-0140	TOTAL NO. OF PAGES INCLUDING COVER:					
PHONE NUMBER:	sender's reference number: 13861/2					
Application No.: 11/515,100	your reference number: Group Art Unit: 3661					
☐ URGENT FOR REVIEW ☐ I	PLEASE COMMENT DPLEASE REPLY CONFIRMATION					
☐ ORIGINAL	. WILL FOLLOW ORIGINAL WILL NOT FOLLOW					

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Dated: November 20, 2006

2. Transmittal Form (1)

4. Recordation Form (2)

5. Assignment (2) Total: (8) pages

3. Fee Transmittal (and one copy) (2)

Barbara Vance

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94269 1.DOC

PATENT REEL: 018546 FRAME: 0035

Approved for use through 07/31/2006. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TOANGARTAI	Application Number		11/515,100			
TRANSMITTAL FORM	_	Filing Date		September 1, 2006		
FURIVI	First Named Inver	ntor	Vladimir ERMAKOV et al.			
	Art Unit		3661			
(to be used for all correspondence after	r initial filing)	Examiner Name		TBA		
Total Number of Pages in this Submissi		Attorney Docket N	lumber	13861/2		
	1		-4 (-1)			
	[	SURES (check all th	ат арріу)	After Allowance Communication to TC		
Fee Transmittal Form	Drawing(	S)		Appeal Communication to Board		
Fee Attached	Licensing	-related Papers	_	of Appeals and Interferences		
Amendment / Reply	Petition			Appeal Communication to TC		
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		Attorney, Revocation		La		
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Extension of Time Request	—		_	(please identify below):		
	Request	for Refund	1.			
Express Abandonment Request	CD, Num	iber of CD(s)		Assignment		
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Certified Copy of Priority Document(s)	Remarks					
Reply to Missing Parts/						
Incomplete Application						
Reply to Missing Parts under 37 CFR1.52 or 1.53						
	SIGNATURE O	F APPLICANT, ATTO	RNEY, OR	AGENT		
Firm	Kenyon &	& Kenyon LLP				
Signature	Vale Colleni					
Printed Name	Victor Castellucci					
Date	Novembe	er 20, 2006	Reg. No.	43,535		
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Service with sufficient postage as 1	first class mail.	in an envelope addı	'essed to:	O or deposited with the United States Posta Commissioner for Patents, P.O. Box 1450 ECORDATION SERVICES		
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	ara Vance	<u> </u>	[ T	Date November 20, 2006		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known					
Application Number	11/515,100				
Filing Date	September 1, 2006				
First Named Inventor	Vladimir ERMAKOV et al.	╛			
Examiner Name	TBA				
Art Unit	3661				
Attorney Docket No.	13861/2	/			
	Filing Date First Named Inventor Examiner Name Art Unit	Application Number 11/515,100  Filing Date September 1, 2006  First Named Inventor Vladimir ERMAKOV et al.  Examiner Name TBA  Art Unit 3661			

MET	HOD OF PAYMENT	(check all that app	y)				FEE	CALCULATION (continued)	
	edit card 🔲 Money	y ☐ Other 🗖		3. ADDITIONAL FEES			·		
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Account Name	Kenyon & K	CELLACH FEL		1053	130	1053	130	Non-English specification	
	The Director is authorized to: (check all that apply)				2,520	1812	2,520	For flling a request for ex parte reexamination	
Charge fee(s) indicated below			1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
⊠ Charge fee(s) i	ndicated below, excertified deposit account.	pt for the filing fe	ę	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	EEC OALOU	ATION		1251	120	2251	60	Extension for reply within first month	
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	,	filing fee		1401	500	2401	250	Notice of Appeal	
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		ue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 150 2		sional filling fee	<u> </u>	1452	500	2452	250	Patition to revive – unavoidable	
	SUBTOTAL (1)		(\$) 0	1453	1,500	2453	750	Petition to revive – unintentional	
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Total Claims	20 **   •	X 50.00	] = []	1460	130	1460	130	Petitions to the Commissioner	
Independent	-3** *	X 200.00	] = [	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims				1808	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent		х	= {	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
<u>Largo Entity</u> Foc Fee (5)	Small Entity Fee Fee e	ee Description		1809	7 <del>9</del> 0	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code '''	G000 (5) -			1810	790	2810	395	For each additional invention to be	
1202 50 1201 200		Claims in excess of 20 Independent claims in		1				examined (37 CFR § 1.129(b))	
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++or number previ	ously paid, if greater; Fo	or Reissues, see ab	φν <del>φ</del>					SUBTOTAL (3) (5) 40	).00

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Victor Castellucci	Registration No. (Attorney/Agent)	43,535	Telephone	(408) 975-7500		
Signature	Uela Cilla-			Date	November 20, 2006		

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**REEL: 018546 FRAME: 0037** 

P.04

PTQ/\$B/17 (10-04v2)

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KENYON KENYON

	Complete if Known					
FEE TRANSMITTAL	Application Number	11/515,100				
for FY 2005	Filing Date	September 1, 2006				
	First Named Inventor	Vladimir ERMAKOV et al.				
Effective 10/01/2004. Patent fees are subject to annual revision.	Examiner Name	TBA				
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	3661	•			
TOTAL AMOUNT OF PAYMENT (\$) 40.00	Attorney Docket No.	13861/2				

METH	OD OF PAYMEN	T (check all the	et apply)				FEI	E CALCULATION (continued)	
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Number			Code 1051	(\$) 130	Code 2051	(\$) 65	Surcharge - late filing fee or oath		
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Name	itonyon a			1053	130	1053	130	Non-English specification	
The Director is au	authorized to: (check all that apply)			1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) or any underpayment of fee(s)			1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) in to the above-identif	dicated below, ex	cept for the fil		1805	1,840*	1805	1,840*		
<del> </del>			·	1251	120	2251	60	Extension for reply within first month	
		CULATION	**** C	1252	450	2252	225	Extension for reply within second month	
1. BASIÇ FII				1253	1,020	2253	510	Extension for reply within third month	
	mail Entity			1254	1,590	2254	795	Extension for reply within fourth month	
Fee Fee Fe Code (\$) Ce	e Fee <u>Fee</u> ode (\$)	e Description	Fee Paid	1255	2,160	2255	1,080	Extension for reply within fifth month	
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1002 350 20	002 175 De:	sign filing fee		1402	500	2402	250	Filing a brief in support of an appeal	
1003 550 20	)03 275 Pla	ant filling fee		1403	1,000	2403	500	Request for oral hearing	
		issue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
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2. EXTRA CLAI	M FEES FOR I	ITH ITY AND	REISSUE	1501	1,400	2501	685	Utility issue fee (or reissue)	
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	Extra	( Jaime	from Now Fee Paid	1503	660	2503	330	Plant issue fee	
Total Claims	-20 <del>**</del> =	X 50	1.00	1460	130	1460	130	Petitions to the Commissioner	
Independent	-3 ** =	X 20	00.00 =	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims		^		1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent		×	-	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
Large Entity	Small Entity			1809	790	2809	395	Filing a submission after final rejection	
Fee Fee (\$)	Fee Fee Code (\$)	Fee Descriptio	<u> </u>					(37 CFR § 1.129(a))	
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1201 200	2201 100	Independent cla	ims in excess of 3	1	İ			examined (37 CFR § 1.129(b))	
1203 360	2203 180	, -	tent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200	2204 100	··· Reissue inde original patent	pendent claims over	1802	900	1802	900	Request for expedited examination	
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SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	Victor Castellucci	Registration No. (Attorney/Agent)	43,535	Telephone	(408) 975-7500				
Signature	Vela Chlloni			Dat <del>e</del>	November 20, 2006				

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