

11-13-2006

Form **PTO-1595** (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

10333539

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)Alan Kenneth Greenwood; Parveen Bhatarah; Raza Mahmood;
Derek McHattieAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**Execution Date(s) May 15; May 16; May 18; May 15; all 2006☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Joint Research Agreement☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☐ Other _____**2. Name and address of receiving party(ies)**Name: Resolution Chemicals, Ltd.

Internal Address: _____

Street Address: Wedgewood WayCity: StevenageState: HertfordshireCountry: UK Zip: SG1 4QTAdditional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/493,905

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Nancy J. Leith

Internal Address: _____

Street Address: 6 W. Hubbard St.

Suite 500

City: ChicagoState: Illinois Zip: 60610Phone Number: 312-222-6342Fax Number: 312-222-6343Email Address: nleith@rmmslegal.com**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$**☐ Authorized to be charged by credit card☐ Authorized to be charged to deposit account☐ Enclosed☒ None required (government interest not affecting title)**8. Payment Information**a. Credit Card Last 4 Numbers _____
Expiration Date _____b. Deposit Account Number 503626Authorized User Name Nancy J. Leith**9. Signature:**Nancy J. Leith

Signature

November 6, 2006

Date

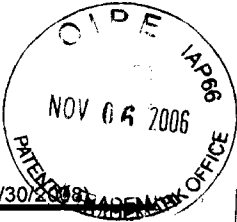
Nancy J. Leith

Name of Person Signing

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Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

5/24/06

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Alan Kenneth Greenwood; Derek McHattie; Parveen Bhatarah;
Mahmoud Aloui

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

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☐ Executive Order 9424, Confirmatory License
☐ Other _____

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Name: Resolution Chemicals, Ltd.

Internal Address: _____

Street Address: Wedgewood Way

City: Stevenage

State: Hertfordshire

Country: UK Zip: SG1 4QT

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5. Name and address to whom correspondence concerning document should be mailed:

Name: Nancy J. Leith

Internal Address: Rakoczy Molino Mazzochi Siwik LLP

Street Address: 6 W. Hubbard Street

Suite 500

City: Chicago

State: Illinois Zip: 60610

Phone Number: 312-222-6342

Fax Number: 312-222-6343

Email Address: nleith@rmmslegal.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 40 80 50
Expiration Date _____

b. Deposit Account Number 503626

Authorized User Name Nancy J. Leith

9. Signature: Nancy J. Leith
Signature

May 24, 2006
Date

Nancy J. Leith
Name of Person Signing

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ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventor(s): Alan Greenwood, Parveen Bhatarah, Raza Mahmood and Derek McHattie, the undersigned inventor(s) hereby sell(s) and assign(s) to Resolution Chemicals, Ltd. (the Assignee) their entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages:

check applicable box(es)

☒ for the United States of America (as defined in 35 U.S.C. § 100),
☒ and throughout the world,

(a) in the invention(s) known as Production of Cabergoline and Novel Polymorphic Form Thereof for which application(s) for patent in the United States of America has (have) been executed by the undersigned on 5/15/06; 5/16/06; 5/18/06; 5/15/06

(also known as United States Application No. To be assigned, filed Herewith), in any and all applications thereon, in any and all Letters Patent(s) therefor, and

(b) in any and all applications that claim the benefit of the patent application listed above in part (a), including continuing applications, reissues, extensions, renewals, and reexaminations of the patent application or Letters Patent therefore listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and

(c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable for any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.


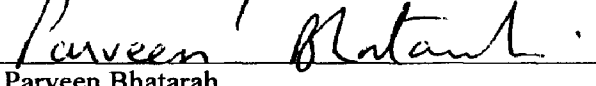
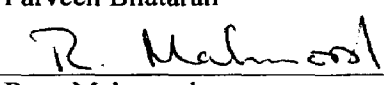
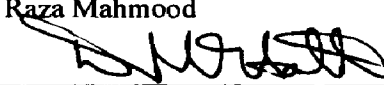
The undersigned inventor(s) agree(s) to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

The undersigned inventor(s) hereby represent(s) that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict thereof.

The undersigned inventor(s) hereby grant(s) Paul J. Molino, Esq., Reg. No. 45,350; Deanne M. Mazzochi, Esq., Reg. No. 50,158; Tara M. Raghavan, Esq., Reg. No. 55,557; Nancy J. Leith, Agent, Reg. No. 45,309; Adam Kelly, Esq., Reg. No. 54,937; and John J. McGuirk, Reg. No. 36,865, all of RAKOCZY

MOLINO MAZZOCHI SIWIK LLP, 6 W. Hubbard Street, Suite 500, Chicago, Illinois 60610, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned inventor(s) on the date opposite his/her name.

| | |
|-----------------------|--|
| Date: <u>15.5.06</u> | Signature of Inventor: <u></u> Alan Greenwood |
| Date: <u>16.5.06</u> | Signature of Inventor: <u></u> Parveen Bhatarah |
| Date: <u>18/5/06</u> | Signature of Inventor: <u></u> Raza Mahmood |
| Date: <u>15/05/06</u> | Signature of Inventor: <u></u> Derek McHattie |

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventor(s): Alan Greenwood, Parveen Bhatarah, Raza Mahmood and Derek McHattie, the undersigned inventor(s) hereby sell(s) and assign(s) to Resolution Chemicals, Ltd. (the Assignee) their entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages:

check applicable box(es)

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(c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable for any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

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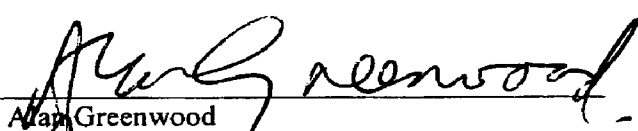
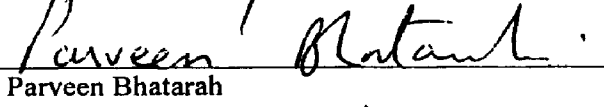
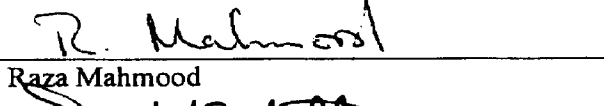
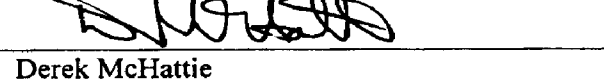
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| Date: <u>18/5/06</u> | Signature of Inventor: <u></u> Raza Mahmood |
| Date: <u>15/05/06</u> | Signature of Inventor: <u></u> Derek McHattie |