

11-15-2006

ket No.: 753-58 PCT/US

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Hugo Ziegler
Marc Heidl
Dominik Imfeld

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Pentapharm AG

Address: Engelgasse 109

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 10/16/06; 10/10/06; 10/10/06

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ZIP: CH-4052

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4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/555,994

November 8, 2005

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel A. Scola, Jr.

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City: Syosset

State/Prov.: NY

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ZIP: 11791

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

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Daniel A. Scola, Jr.

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PATENT

REEL: 018575 FRAME: 0018

Attorney's Docket No.: 753-58 PCT/US

PATENT

For: ☒ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

<u>Hugo Ziegler</u> Name	<u>Marc Heidl</u> Name	<u>Dominik Imfeld</u> Name
<u>Im Bohnacker 15</u> Address	<u>Im Proli 9</u> Address	<u>Gartenstadt 30</u> Address
<u>CH-4108 Witterswil, Switzerland</u>	<u>DE-79639 Grenzach-Wyhlen, Germany</u>	<u>CH-4142 Münchenstein, Switzerland</u>
<u>Swiss</u> Nationality	<u>German</u> Nationality	<u>Swiss</u> Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____ Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Pentapharm AG
(Type or print name of ASSIGNEE)
Engelgasse 109
Address
CH-4052 Basel, Switzerland
Swiss
Nationality

Assignment of Invention – Page 1 of 4

PATENT
REEL: 018575 FRAME: 0019

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

TRIPEPTIDES AND DERIVATIVES THEREOF FOR COSMETIC APPLICATION IN ORDER TO IMPROVE SKIN STRUCTURE

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. Application No. 10/555,994 filed on November 8, 2005
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Assignment of Invention – Page 2 of 4

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

above.
WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked

Date: X 16.10.2006
Date of signing

X H. Ziegler
Hugo Ziegler

Date: X 10.10.2006
Date of signing

X Marc Heidl
Marc Heidl

Date: X 10.10.2006
Date of signing

X Dominik Imfeld
Dominik Imfeld

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

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