

Form PTO-1595 (Rev. 07/05)
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S-109,566

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

General Electric Power Generation

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 03/09/2006

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave. S.W.

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/256,718

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark P. Dvorscak

Internal Address: U. S. Dept. of Energy, IPLD

Street Address: 9800 S. Cass Ave

City: Argonne

State: IL Zip: 60439

Phone Number: 630-252-2393

Fax Number: 630-252-2779

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

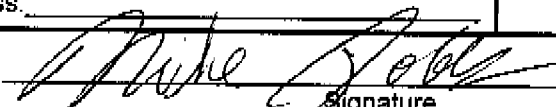
8. Payment Information

a. Credit Card Last 4 Numbers _____
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b. Deposit Account Number _____

Authorized User Name _____

9. Signature:



Signature

11/21/06
Date

Michael J. Dobbs #58,093

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
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CONFIRMATORY LICENSE

(Large Business - Advanced Waiver)

Title : *Method and Apparatus for Assembling a Permanent Magnet Pole Assembly*

Inventor(s) : *Ralph Carl Jr., Patrick Lee Jansen, Ronghai Qu, Bharat Sampathkumaran, Bagepalli, Nils Dawson, Mikhail Avanesov*

Serial No. : *11/256,718* Filing Date: *October 24, 2005*

Subcontractor : *General Electric Power Generation*

Subcontract No. : *NREL-ZAM-4-31235-05; Prime Contract No. DE-AC36-99GO10337*

Waiver No. : *W(A) 03-033 (CH-1159)*

Patent Clause : *FAR 52.227-12 Patent Rights - Waiver (JUL 1996), as modified by 10 C.F.R. 784, DOE Patent Waiver Regulations & DEAR 952.227-14 Rights in data-general (DOE coverage-alternate VI)*

DOE Case No. : *S-109,566 - Docket No. 176436*

Foreign Applications filed in or intended to be filed at Contractor's expense in (countries):

An advanced waiver of Government rights having been granted by the U.S. Department of Energy (DOE) to the Contractor, and the above-identified invention having been reported as a subject invention to DOE by the contractor with his election to file a domestic patent application thereon, the effective date of said waiver for the above invention is January 6, 2006.

Accordingly, this document is confirmatory of the paid-up, worldwide, license required to be granted to the Government under 10 CFR Part 784 and this contract in this invention, patent application and any resulting patent as well as any continuation, divisional, reissue, supplemental or continuation-in-part thereof, and of all rights acquired by the Government by the referenced patent rights clause, a copy of which is attached hereto and incorporated by reference herein. The undersigned certifies the attached copy to be a true copy of said clause. It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

(SEAL)

Signed this 9th day of March 20 06

By: *William M. Genovese*
(Subcontractor)
William M. Genovese, IP Counsel
300 Garlington Road, Greenville, SC 29602

PATENT

RECORDED: 11/28/2006

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*** INITIAL PAGE ***