

11-20-2006



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RECORDER

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or the new address(es) below.

1. Name of conveying party(ies) Execution Date(s):

SOMFY

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name SOMFY SAS

Internal Address: \_\_\_\_\_

Street Address: 50, Avenue du Nouveau Monde

City: Cluses

State: \_\_\_\_\_

Country: France Zip: 74300

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) July 21, 2004

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

4. Application or patent number(s):

A. Patent Application No.(s) 10/762,065



This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Ronald R. Santucci  
Internal Address: FROMMER LAWRENCE & HAUG LLP  
Street Address: 745 FIFTH AVENUE  
City: NEW YORK  
State: N.Y. Zip: 10151  
Phone Number: 212-588-0800  
Fax Number: 212-588-0500  
E-mail Address: rsantucci@flhlaw.com

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account 50-0320  
☒ Enclosed  
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date: \_\_\_\_\_

b. Deposit account number: 50-0320

Authorized User Name: \_\_\_\_\_

9. Signature:

November 13, 2006

Date

Ronald R. Santucci, Reg. No. 28,988  
Name of Person Signing

Total number of pages including cover sheet  
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7

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PATENT  
REEL: 018602 FRAME: 0473

Model K BIS

## EXTRACT FROM THE COMMERCIAL AND COMPANIES REGISTER

PRINCIPAL ENTRY IN THE COMMERCIAL AND COMPANIES REGISTER DATED 21/08/1975

COMMERCIAL REGISTER No

R.C.S. BONNEVILLE TGI 303 970 230 MANAGEMENT No 75 B 78

CORPORATE NAME OR TRADING NAME

SOMFY SAS

ACRONYM

NONE

COMMERCIAL NAME

NONE

FORM AND CAPITAL

*Société par actions simplifiée*

WITH A CAPITAL OF EUR 20 000 000.00 (FIXED)

ADDRESS OF THE REGISTER OFFICE

50, AVENUE DU NOUVEAU MONDE - 74300 CLUSES

CORPORATE MANAGEMENT

Chairman

CUSTOMARY NAME: MR LE NAOUR

FIRST NAME(S): Wilfrid

89, RUE BATTANT - 25000 BESANÇON

FRENCH NATIONALITY

BORN ON 22/11/1952 AT BESANÇON (25)

Regular Auditors

ERNST AND YOUNG AUDIT DEPARTEMENT DE HSD CJ

113, BD. STALINGRAD - 69629 VILLEURBANNE CEDEX

Alternate Auditors

CUSTOMARY NAME: MR MARY DAUPHIN

FIRST NAME(S): Daniel

113, BD. STALINGRAD - 69629 VILLEURBANNE

ORIGIN OF BUSINESS

CREATION

ACTIVITY CARRIED ON

PRODUCTION OF AND TRADE IN INSTALLATION OF AUTOMATION COMPONENTS AND SLAVING  
DEVICES, ELECTRIC MOTORS, GEAR MOTORS, CONTROL AND SAFETY DEVICES, ETC.

EMBLEM

NONE

ADDRESS OF THE PRINCIPAL PLACE OF BUSINESS

50, AV. DU NOUVEAU MONDE - 74300 CLUSES

DATE OF COMMENCEMENT OF THE OPERATION

01/08/1975

FIRST NOTICE PUBLISHED IN THE B.O.D.A.C.C.

NONE

FORMER PROPRIETOR/OPERATOR

NONE

TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS NONE

ADDRESS FOR SERVICE NONE

CORPORATE PURPOSE

THE CORPORATE PURPOSE NO LONGER HAS TO BE STATED IN THE REGISTRATION APPLICATION AND IS REPLACED BY THE PRINCIPAL ACTIVITIES OF THE UNDERTAKING.  
DECREE No 87-970 OF 03/12/1987.

TERM OF THE COMPANY

99 YEARS, FROM 21/08/1975 TO 20/08/2074

DATE OF CLOSURE OF THE CORPORATE FINANCIAL PERIOD 31/12

DATE AND No OF FILING OF THE DOCUMENT AT THE REGISTRY NONE

TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS  
LE FAUCIGNY, 26/07/1975

METHOD OF OPERATION OF THE BUSINESS

DIRECT OPERATION

ANNEXES

AMENDMENT OF 08/02/2002 No CN-429

Modification, other

FROM 01.01.02, FORMER: SA; NEW: SAS; FROM 10.12. 01, DEPARTING: BELLET VINCENT - ADMIN., BODDELE GILLES - ADMIN., GUIOT BERNARD - ADMIN., MENEROUD FRANCKLIN - ADMIN., FAAC - ADMIN., MAINTAINED BUT MODIFIED: LE NAOUR WILFRID - PT C.A. BECOMES PT OF SAS

AMENDMENT OF 26/03/2002 No CN-938

Transfer of place of business

SECONDARY, FROM 01.02.02; PREVIOUSLY: 230 ROUTE DES DOLINES VALBONNE, TO 2203 CHEMIN DE SAINT CLAUDE  
IMMEUBLE LE CHORUS BT B ANTIBES

AMENDMENT OF 07/11/2002 No EB-3706

Transfer of the registered office and of the principal place of business

FORMER: 8 AVENUE DE MARGENCEL AT CLUSES; NEW: 50 AVENUE DU NOUVEAU MONDE AT CLUSES, FROM 04.11.02

AMENDMENT OF 11/08/2004 No 0-2555

Change of trading name

NEW TRADING NAME: SOMFY SAS

FORMER: SOMFY

DATE OF EFFECT: 21/07/2004

OBSERVATIONS

FORMER TRADING NAME DICA DEVELOPPEMENT INDUSTRIEL DE COMPOSANTS D'APPAREILLAGES FROM REGISTRATION UNTIL 25.01.1982. PLACES OF BUSINESS PREVIOUSLY OPERATED: - LOCATED AT UNITE 33, ZI, CAI COTE D'AZUR 06700 ST LAURENT DU VAR; - LOCATED AT 30 RUE DE MESSY AT CLUSES, FROM 01.08.1975 TO 31.10.1986; - LOCATED AT ZI DES POCHONS AT THYEZ, FROM 01.01.1980 TO 03.11.1986; - LOCATED AT ZI DES GRANDS PRES AT CLUSES, FROM 01.10.1980 TO 01.12.1986. THE 3 PLACES OF BUSINESS WITHIN THE JURISDICTION OF BONNEVILLE WERE TRANSFERRED TO THE ZI MECATRONIQUE GARETTE AT CLUSES. COMPANY PREVIOUSLY IN THE LEGAL FORM OF SOCIETE ANONYME WITH BOARD OF MANAGEMENT AND SUPERVISORY BOARD, FROM 01.08.1975 TO 16.02.1995, THE DATE OF ITS CONVERSION

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OTHER PLACES OF BUSINESS WITHIN THE JURISDICTION

ADMINISTRATIVE AND INDUSTRIAL CENTRE - ZI DE MECATRONIQUE DE LA GARETTE - 74300 CLUSES

Obs.: CREATION.

DATE OF START OF OPERATION: 03/11/1986

Obs.:

SOMFY

ZI MECATRONIQUE DE LA GARETTE - 50 AVENUE DU NOUVEAU MONDE - 74300 CLUSES

Obs: BUSINESS CREATED, AFTER-SALES SERVICE FOR ELECTRICAL AND AUTOMATIC CONTROL SYSTEMS FOR SOLAR PROTECTION AND CLOSING.

DATE OF START OF OPERATION: 22/08/1988

Obs.:

ZI MECATRONIQUE II

400, AV. DE LA REPUBLIQUE - BP207 - 74307 CLUSES

Obs.: BUSINESS CREATED, ACTIVITY: PRODUCTION OF AND TRADE IN INSTALLATION OF AUTOMATION COMPONENTS AND SLAVING DEVICES, ELECTRIC MOTORS, GEAR MOTORS, CONTROL AND SAFETY DEVICES, ETC.

DATE OF START OF OPERATION: 01/09/1993

Obs.:

1, PL. DU CRETET - 74300 CLUSES

Obs.: PRODUCTION OF AND TRADE IN INSTALLATION OF AUTOMATION COMPONENTS AND SLAVING DEVICES, ELECTRIC MOTORS, GEAR MOTORS, CONTROL AND SAFETY DEVICES, ETC.

DATE OF START OF OPERATION: 01/04/1997

Obs.:

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SECONDARY REGISTRATIONS

R.C.S. ANTIBES 2002 B 241 - ENTRY ON 01/02/2002

FROM 01.02.84 TO 01.02.02 - VALBONNE - 230 ROUTE DES DOLINES - RCS GRASSE No 85 B 165

R.C.S. TOULOUSE 85 B 869 - ENTRY ON 08/07/1985

BUSINESS CREATED

R.C.S. NANTES 90 B 234 - ENTRY ON 01/02/1990

R.C.S. ROUBAIX 89 b 275 - ENTRY ON 01/02/1989

R.C.S. LYON - ENTRY ON 04/05/1987

BUSINESS CREATED

R.C.S. CRETEIL - ENTRY ON 01/02/1984

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END OF THE EXTRACT COMPRISING    3 PAGES

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CERTIFIED TRUE COPY ISSUED ON

12/08/2004

THE REGISTRAR:

[signature]

[illegible rubber stamp]

## EXTRAIT DU REGISTRE DU COMMERCE ET DES SOCIETES

IMMATRICULATION PRINCIPALE AU R.C.S. EN DATE DU 21/08/1975

No DE REGISTRE DU COMMERCE

R.C.S. BONNEVILLE TGI 303 970 230 No de GESTION 75 B 78

RAISON SOCIALE OU DENOMINATION

SOMFY SAS

SIGLE

NEANT

NOM COMMERCIAL

NEANT

FORME ET CAPITAL

Société par actions simplifiée

AU CAPITAL DE 20 000 000.00 EUR (FIXE)

ADRESSE DU SIEGE SOCIAL

50, AVENUE DU NOUVEAU MONDE - 74300 CLUSES

ADMINISTRATION DE LA SOCIETE

—Président

NOM D'USAGE : M. LE NAOUR

PRENOM(S) : Wilfrid

89, RUE BATTANT - 25000 BESANCON

NATIONALITE FRANCAISE

NE(E) LE 22/11/1951 A BESANCON (25)

—Commissaire aux comptes titulaire

ERNST AND YOUNG AUDIT DEPARTEMENT DE HSD CJ

113, BD. STALINGRAD - 69626 VILLEURBANNE CEDEX

—Commissaire aux comptes suppléant

NOM D'USAGE : M. MARY DAUPHIN

PRENOM(S) : Daniel

113, BD. STALINGRAD - 69626 VILLEURBANNE

ORIGINE DU FONDS

CREATION

ACTIVITE EXERCEE

INDUSTRIE ET COMMERCE DE L'APPAREILLAGE DES COMPOSANTS D'AUTOMATISME ET DES DISPOSITIFS D'ASSERVISSEMENT,  
MOTEURS ELECTRIQUES, MOTO- REDUCTEURS, DISPOSITIFS DE COMMANDE ET DE SECURITE ETC...

ENSEIGNE

NEANT

ADRESSE DU PRINCIPAL ETABLISSEMENT

50, AV. DU NOUVEAU MONDE - 74300 CLUSES

DATE DE COMMENCEMENT DE L'EXPLOITATION

01/08/1975

PREMIER AVIS PUBLIE AU B.O.D.A.C.C.

NEANT

PROPRIETAIRE - EXPLOITANT PRECEDENT

NEANT

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TITRE ET DATE DU JOURNAL D'ANNONCES LEGALES

NEANT

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ELECTION DE DOMICILE POUR LES OPPOSITIONS

NEANT

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OBJET SOCIAL

L'OBJET SOCIAL N'A PLUS A ETRE DECLARE DANS LA DEMANDE D'IMMATRICULATION ET EST REMPLACE PAR LES ACTIVITES PRINCIPALES DE L'ENTREPRISE. DECRET NO 87-970 DU 03/12/87.

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DUREE DE LA SOCIETE

99 ANS DU 21/08/1975 AU 20/08/2074

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DATE DE CLOTURE DE L'EXERCICE SOCIAL

31/12

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DATE ET NO DE DEPOT DE L'ACTE AU GREFFE

NEANT

TITRE ET DATE DU JOURNAL D'ANNONCES LEGALES

LE FAUCIGNY, LE 26/07/1975

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MODE D'EXPLOITATION DU FONDS

EXPLOITATION DIRECTE

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ANNEXES

— MODIFICATIVE DU 08/02/2002 NO CN-429

Modification autre

A COMPTER DU 01.01.02 ANCIENNE : SA NOUVELLE : SAS A COMPTER DU 10.12.01 PARTANTS : BELLET VINCENT - ADM. BODDELE GILLES - ADM. GUIOT BERNARD - ADM. MENEROUD FRANCKLIN - ADM. FAAC - ADM. MAINTENU MAIS MODIFIE : LE NAOUR WILFRID - PT C.A. DEVIENT PT DE SAS

— MODIFICATIVE DU 26/03/2002 NO CN-938

Transfert établissement

SECONDAIRE A COMPTER DU 01.02.02 PRECEDEMMENT : 230 ROUTE DES DOLINES VALBONNE AU 2203 CHEMIN DE SAINT CLAUDE IMMEUBLE LE CHORUS BT B ANTIBES

— MODIFICATIVE DU 07/11/2002 NO EB-3706

Transfert du siège social et de l'établissement principal

ANCIEN : 8 AVENUE DE MARGENCEL A CLUSES ; NOUVEAU : 50 AVENUE DU NOUVEAU MONDE A CLUSES A COMPTER DU 04.11.02

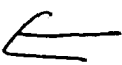
— MODIFICATIVE DU 11/08/2004 NO 0-2555

Changement de dénomination

NOUVELLE DENOMINATION : SOMFY SAS

ANCIENNE SOMFY

DATE D'EFFET : 21/07/2004



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OBSERVATIONS

ANCIENNE DENOMINATION DICA DEVELOPPEMENT INDUSTRIEL DE COMPOSANTS D'APPAREILLAGES DE L'IMMATRICULATION JUSQU'AU 25.01.1982. ETABLISSEMENTS PRECEDEMMENT EXPLOITES : - SIS A UNITE 33, ZI, CAI COTE D'AZUR 06700 ST LAURENT DU VAR, - SIS A 30 RUE DE MESSY A CLUSES, DU 01.08.1975 AU 31.10.1986. - SIS A ZI DES POCHONS A THYEZ, DU 01.01.1980 AU 03.11.1986. - SIS A ZI DES GRANDS PRES A CLUSES DU 01.10.1980 AU 01.12.1986. LES 3 ETABLISSEMENTS DU RESSORT DE BONNEVILLE ONT ETE TRANSFERES A LA ZI MECATRONIQUE DE LA GARETTE A CLUSES. SOCIETE PRECEDEMMENT SOUS LA FORME JURIDIQUE DE SOCIETE ANONYME DE DIREC- TOIRE ET CONSEIL DE SURVEILLANCE DU 01.08.1975 AU 16.02.1995 DATE DE SA TRANSFORMATION

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AUTRES ETABLISSEMENTS DANS LE RESSORT

CENTRE ADMINISTRATION ET INDUSTRIEL - ZI DE MECATRONIQUE DE LA GARETTE - 74300 CLUSES

Obs.: CREATION.

DATE DE DEBUT DE L'EXPLOITATION : 03/11/1986

Obs.:

SOMFY

ZI MECATRONIQUE DE LA GARETTE - 50 AVENUE DU NOUVEAU MONDE - 74300 CLUSES

Obs.: FONDS CREE, SERVICE APRES VENTE POUR LES SYSTEMES DE COMMANDES ELECTRIQUES ET AUTOMATIQUES POUR LA PROTECTION SOLAIRE ET LA FERMETURE.

DATE DE DEBUT DE L'EXPLOITATION : 22/08/1988

Obs.:

ZI MECATRONIQUE II

R.C.S. BONNEVILLE TGI 303 970 230 No de GESTION 75 B 78

400, AV. DE LA REPUBLIQUE - BP207 - 74307 CLUSES

Obs.: FONDS CREE ACTIVITE : INDUSTRIE ET COMMERCE DE L'APPAREILLAGE D'AUTOMATISME ET DES DISPOSITIFS D'ASSERVISSEMENT, MOTEURS ELECTRIQUES, MOTOREDUCTEURS, DISPOSITIFS DE COMMANDE ET DE SECURITE

DATE DE DEBUT DE L'EXPLOITATION : 01/09/1993

Obs.:

1, PL. DU CRETET - 74300 CLUSES

Obs.: INDUSTRIE ET COMMERCE DE L'APPAREILLAGE DES COMPOSANTS D'AUTOMATISME ET DES DISPOSITIFS D'ASSERVISSEMENT MOTEURS ELECTRIQUES MOTOREDUCTEURS DISPOSITIFS DE COMMANDE ET DE SECURITE

DATE DE DEBUT DE L'EXPLOITATION : 01/04/1997

Obs.:

## IMMATRICULATIONS SECONDAIRES

R.C.S. ANTIBES 2002 B 241 - INSCRIPTION LE 01/02/2002

DU 01.02.84 AU 01.02.02 - VALBONNE - 230 ROUTE DES DOLINES - RCS GRASSE No 85 B 165

R.C.S. TOULOUSE 85 B 869 - INSCRIPTION LE 08/07/1985

FONDS CREE

R.C.S. NANTES 90 B 234 - INSCRIPTION LE 01/02/1990

R.C.S. ROUBAIX 89 B 275 - INSCRIPTION LE 01/02/1989

R.C.S. LYON - INSCRIPTION LE 04/05/1987

FONDS CREE

R.C.S. CRETEIL - INSCRIPTION LE 01/02/1984

FIN DE L'EXTRAIT COMPRENANT

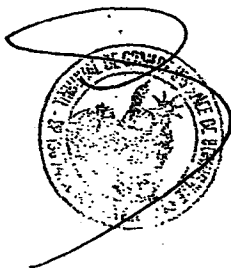
3 PAGES

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POUR EXTRAIT CERTIFIE CONFORME ET DELIVRE LE

12/08/2004

LE GREFFIER:



PATENT

RECORDED: 11/15/2006

REEL: 018602 FRAME: 0479