

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Lance E. Steward	10/02/2001
Ester Fernandez-Salas	10/02/2001
Kei Roger Aoki	10/02/2001
RECEIVING PARTY DATA	
Name:	Allergan, Inc.
Street Address:	2525 Dupont Drive
City:	Irvine
State/Country:	CALIFORNIA
Postal Code:	92612
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	11609226
CORRESPONDENCE DATA	
Fax Number:	(714)246-4249
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	7142466521
Email:	Ferguson_Bonnie@Allergan.com
Correspondent Name:	Dean G. Stathakis
Address Line 1:	2525 Dupont Drive
Address Line 4:	Irvine, CALIFORNIA 92612
ATTORNEY DOCKET NUMBER:	17452DIV2(BOT)
NAME OF SUBMITTER:	Dean G. Stathakis
Total Attachments: 7 source=assignment#page1.tif source=assignment#page2.tif	

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PATENT
REEL: 018613 FRAME: 0767

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UNITED STATES
PATENT AND
TRADEMARK OFFICE

DECEMBER 18, 2001

ALLERGAN, INC.
CARLOS A. FISHER
2525 DUPONT DRIVE
IRVINE, CA 92612

PTAS

RECEIVED

DEC 26 2001

LEGAL/PATENTS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



101875598A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/09/2001

REEL/FRAME: 012241/0158
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

STEWART, LANCE E.

DOC DATE: 10/02/2001

ASSIGNOR:

FERNANDEZ-SALAS, ESTER

DOC DATE: 10/02/2001

ASSIGNOR:

AOKI, KEI ROGER

DOC DATE: 10/02/2001

ASSIGNEE:

ALLERGAN SALES, INC.
2525 DUPONT DRIVE
IRVINE, CALIFORNIA 92612

SERIAL NUMBER: 09942024
PATENT NUMBER:

FILING DATE: 08/28/2001
ISSUE DATE:

PATENT
REEL: 018613 FRAME: 0769

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SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10-17-2001

Docket 17452(BOT)
Serial No. 09/942,024

101875598

OVER SHEET

-Y

10.9.01

To: The Commissioner of Patents and Trademarks,

Please record the attached original document(s) or copy(ies):

1. Submission Type:

☒ new☐ Correction of PTO error (Reel /frame)☐ Corrective Document (Reel /frame)

2. Conveyance Type:

☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. Lance E. Steward	October 2, 2001
2. Ester Fernandez-Salas	October 2, 2001
3. Kei Roger Aoki	October 2, 2001

☐ Additional Conveying Parties Attached

4.

RECEIVING PARTIES	
Names of Receiving Parties	
Name Allergan Sales, Inc.	10/16/2001 LUELLER 0000156 01085 0942024 01 FEB 01 40.00 CH
Address 1 2525 Dupont Drive	
Address 2 Irvine, CA 92612	

☐ Additional Receiving Parties Attached☐ If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.PATENT
REEL: 018613 FRAME: 0771

5.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS
Name
Address 1
Address 2

6.

CORRESPONDENCE NAME AND ADDRESS
Name Carlos A. Fisher (T2-7H)
Address 1 Allergan, Inc.
Address 2 2525 Dupont Drive, Irvine, CA 92612
Telephone 714-246-4920 and Fax 714-246-4249

7. Total Number of pages of the conveying document, including attachments: 5 pages

8.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number 09/942,024; Filed August 28, 2001	Patent Number
Application Number	Patent Number

9. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: _____
Docket No.: _____
Date of Execution by First Inventor: _____

10. Total Number of Properties Involved: 111. The fee amount (37 CFR §3.41) of \$ 40.00

☒ may be debited from our Deposit Account No. 01-0885.
☐ is enclosed as check no. _____.

12. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE Carlos A. Fisher Date: 10/4/01
TYPED or PRINTED NAME: CARLOS A. FISHER, ESQ. REGISTRATION NO. 36,510

CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON <u>10/5/01</u> (Date)	
Name of person making deposit: <u>Bonnie Ferguson</u>	Date: <u>10/5/01</u>

ASSIGNMENT

WHEREAS we, Lance E Steward of ORANGE COUNTY, CALIFORNIA, Ester Fernandez-Salas of ORANGE COUNTY, CALIFORNIA and Kei Roger Aoki of ORANGE COUNTY, CALIFORNIA (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled: FRET PROTEASE ASSAYS FOR BOTULINUM SEROTYPE A/E TOXINS for which I have executed a non-provisional application claiming priority thereto, for Letters Patent of the United States, said non-provisional application having been filed August 28, 2001 under application number 09/942,024.

WHEREAS: Allergan Sales, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

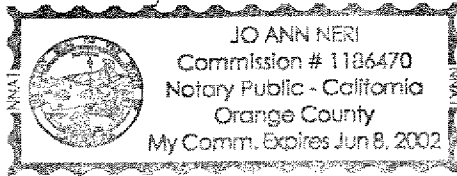
Oct. 2, 2001.


Lance E. Steward

State of California)
) ss:
County of Orange)

On OCTOBER 2, 2001 before me, JO ANN NERI, NOTARY PUBLIC,
personally appeared LANCE E. STEWARD
personally known to me (or proved to me on the basis of satisfactory evidence) to be the
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged
to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and
that by his/~~her/their~~ signature(s) on the instrument the person, or the entity upon
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.




Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

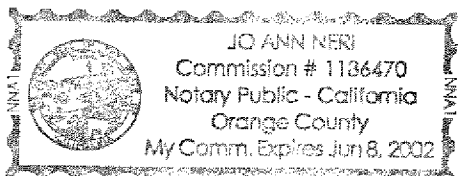
October 2nd, 2001.



Ester Fernandez-Salas

State of CALIFORNIA)
) ss:
County of Orange)

On OCTOBER 2, 2001 before me, JO ANN NERI, NOTARY PUBLIC,
personally appeared ESTER FERNANDEZ-SALAS
personally known to me (or proved to me on the basis of satisfactory evidence) to be the
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged
to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and
that by his/~~her/their~~ signature(s) on the instrument the person, or the entity upon
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.




Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

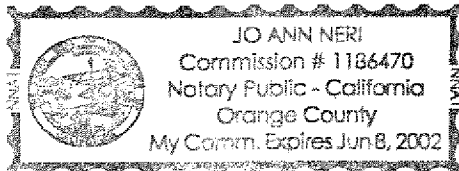
Oct 2, 2001.

Kei Roger Aoki
Kei Roger Aoki

State of CALIFORNIA)
) ss:
County of Orange)

On OCTOBER 2, 2001 before me, JoAnn Neri, Notary Public,
personally appeared KEI ROGER AOKI
personally known to me (or proved to me on the basis of satisfactory evidence) to be the
person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged
to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and
that by his/~~her/their~~ signature(s) on the instrument the person, or the entity upon
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



JoAnn Neri
Notary Public