

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 1662.023US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stewart J. Levine, Caitriona A. Buckley, Farshid N. Rouhani and Maryann Kaler

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherExecution Date: July 24, 2006, September 6, 2006, May 30, 2006, September 9, 2006

2. Name and address of receiving party(ies):

Name: Government of the United States of America as represented by the Secretary of the Department of Health and Human Services

Street Address: National Institute of Health, Office of Technology Transfer

City: Rockville State: MD Zip: 20852Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 11/389,675, filed March 24, 2006

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Albin J. Nelson

Address:

Schwegman, Lundberg, Woessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 55402-09386. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

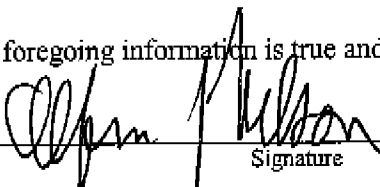
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Albin J. Nelson/Reg. No. 28,650

Name of Person Signing


SignatureDec 11, 2006

Date

Total number of pages including cover sheet: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

ASSIGNMENT

WHEREAS, We, Stewart J. Levine, residing at 14609 Pebble Hill Lane, North Potomac, MD 20878, and Caitriona A. Buckley, residing at 10044 Colebrook Avenue, Potomac, MD 20854, and Farshid N. Rouhani, residing at 13902 Falcon Crest Road, Germantown, MD 20874, and Maryann Kaler, residing at 7806 Glenbrook Road, Bethesda, MD 20814, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on March 24, 2006, which application was assigned U.S. patent application serial number 11/389,675, and is entitled TNF-ALPHA CONVERTING ENZYME INHIBITORY AGENTS AND STIMULATORY AGENTS:

WHEREAS, the conditions under which said invention was made are such as to entitle the Government under Paragraph 1(a) of Executive order 10096 to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States is desirous of acquiring all domestic and foreign right, title, and interest in the above-mentioned invention described in the provisional application for Letters Patent; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we hereby assign and transfer to the Government of the United States of America as represented by the Secretary of the Department of Health and Human Services, National Institutes of Health, 6011 Executive Blvd., Suite 325, Rockville, Maryland 20852, the full and exclusive rights in and to said invention in the U.S. and within each and every foreign country in which the Government elects to file and the entire right, title, and interest in and to such application, including priority rights as may be filed in the U.S. and foreign countries, and any continuations, continuations-in-part, divisions, reissues or extensions thereof, and such Letters Patent as may be granted to be held by the Government to the end of the term for which the same would have been held by the inventors had this assignment not been made.

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I further agree to make, execute, and deliver to the Secretary, Department of Health and Human Services, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist the Government in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by the Government.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 24 day of July, 2006.

James T. L.

Stewart J. Levine

STATE OF Maryland)
)ss
COUNTY OF Montgomery)

On this 24 day of July, 2006 before me personally appeared Stewart J. Levine, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

Sam Yoon
Notary Public, State of Maryland
My Commission Expires March 2, 2010

Notary Public

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IN TESTIMONY WHEREOF, I have hereunto set my hand this 6th day of
September, 2006.



Caitriona A. Buckley


STATE OF MARYLAND)

)ss

COUNTY OF Montgomery)

On this 6th day of SEPTEMBER, 2006 before me personally appeared Caitriona A. Buckley, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]



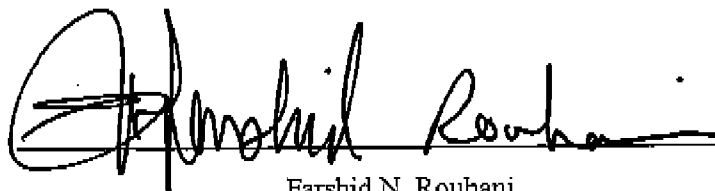
Notary Public

EXP: 2/1/10

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IN TESTIMONY WHEREOF, I have hereunto set my hand this 30 day of

May, 2006.



Farshid N. Rouhani

STATE OF _____)

)ss

COUNTY OF _____)

On this _____ day of _____, 2006 before me personally appeared Farshid N. Rouhani, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

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IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of

9, 2006.


Maryann Kaler


STATE OF Maryland)
)ss

COUNTY OF Montgomery)

On this 6th day of September, 2006 before me personally appeared Maryann Kaler, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]




Notary Public