

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

ABEL G. PEREIRA (11/2/2004),
DUANE ST. AMOUR (11/2/2004), AND
HELENA S. BARINOVA (10/8/2003)

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: CRODA, INC.

Internal Address: _____

Street Address: _____

300 COLUMBUS CIRCLE

City: EDISON

State: NEW JERSEY

Country: United States of America Zip: 08837-3907

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
11/042,784

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kelly Y. Hwang
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: CRODA 3.0-039 CONT

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 518-6320

Fax Number: (908) 654-0415

Email Address: khwang@ldlkm.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

- a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 12-1095
Authorized User Name Kelly Y. Hwang

9. Signature:


Signature

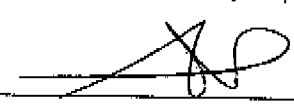
December 12, 2006


Date

Kelly Y. Hwang - 51,831
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

ASSIGNMENT OF UTILITY APPLICATION	<small>former PTO/SB/15 (8-00) Modified LDLKM</small> Docket Number (Optional) CRODA 3.0-039
Whereas, I, <u>Abel G. Pereira</u> of <u>32 Vista Road; Bridgewater NJ 08807</u> hereafter referred to as assignor, have with others invented certain new and useful improvements in <u>PERSONAL CARE PRODUCT CONTAINING DIESTER QUAT</u> <input checked="" type="checkbox"/> for which an application for a United States Letters Patent was filed on <u>April 16, 2004</u> Application Number <u>10/825,821</u> <input type="checkbox"/> for which an application for a United States Letters Patent was executed by me on _____ and _____	
Whereas, <u>Croda, Inc.</u> a corporation of <u>Delaware</u> herein referred to as "assignee" whose mailing address is <u>300 Columbus Circle; Edison, New Jersey 08837-3907</u> is desirous of acquiring the entire right, title and interest in the same;	
NOW, THEREFORE, in consideration of the sum of <u>one</u> dollars (\$ <u>1.00</u>), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.	
I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. <u>60/463,497</u> , filed <u>April 17, 2003</u> , and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. <u>60/463,497</u> pursuant to any law or treaty in the countries of the world.	
State of <u>New Jersey</u>) ss: County of <u>Middlesex</u>)	<div style="text-align: center;">  (Signature) </div>
On this <u>2nd</u> day of <u>November, 2004</u> before me personally came the above-named <u>Abel G. Pereira</u> , to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth. <u>Christine L. Lawrence</u> (Notary Public)	
<input checked="" type="checkbox"/> *Total of <u>3</u> forms are submitted.	

ASSIGNMENT OF UTILITY APPLICATION	<small>former PTO/SB/15 (8-00) Modified LDLK&M</small> Docket Number (Optional) CRODA 3.0-039
<p>Whereas, I, <u>Duane St. Amour</u> of <u>19 Thomas Road; South Amboy, New Jersey 08879</u> hereafter referred to as assignor, have with others invented certain new and useful improvements in <u>PERSONAL CARE PRODUCT CONTAINING DIESTER QUAT</u> <input checked="" type="checkbox"/> for which an application for a United States Letters Patent was filed on <u>April 16, 2004</u> Application Number <u>10/825,821</u> <input type="checkbox"/> for which an application for a United States Letters Patent was executed by me on _____ and _____</p> <p>Whereas, <u>Croda, Inc.</u> a corporation of <u>Delaware</u> herein referred to as "assignee" whose mailing address is <u>300 Columbus Circle; Edison, New Jersey 08837-3907</u> is desirous of acquiring the entire right, title and interest in the same;</p> <p>NOW, THEREFORE, in consideration of the sum of <u>one</u> dollars (\$ <u>1.00</u>), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.</p> <p>I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. <u>60/463,497</u>, filed <u>April 17, 2003</u>, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. <u>60/463,497</u> pursuant to any law or treaty in the countries of the world.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="text-align: center;"> <u>11/2/04</u> (Date) </div> <div style="text-align: center;">  (Signature) </div> </div> <p>State of <u>NEW JERSEY</u>) ss: County of <u>MIDDLESEX</u>)</p> <p>On this <u>2nd</u> day of <u>NOVEMBER</u>, <u>2004</u> before me personally came the above-named <u>Duane St. Amour</u>, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.</p> <p><u>Christine R. Lawrence</u> (Notary Public)</p>	
<input checked="" type="checkbox"/> *Total of <u>3</u> forms are submitted.	

ASSIGNMENT OF PROVISIONAL APPLICATION	<small>PTO/SB/15 (8-00) Modified</small> Docket Number (Optional) CRODA 3.8-039
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Whereas, I, Helena S. Barinova of
83 Cooper Avenue, Iselin, New Jersey 08830

hereafter referred to as Assignor, have invented certain new and useful improvements in
PERSONAL CARE PRODUCT CONTAINING DIESTER QUAT

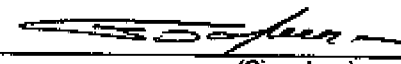
☒ for which a provisional application for a United States Patent was filed on April 17, 2003
Application Number 60/463,497

☐ for which a provisional application for a United States Patent was executed on _____, and

Whereas, Croda, Inc.
a corporation of Delaware herein referred to as "assignee" whose mailing address is
7 Century Drive; Parsippany, New Jersey 07054-4698
is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee the entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid provisional patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid provisional application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys, to insert on this deed the filing date and application number of said application when known.

Oct. 8, 2003 (Date)


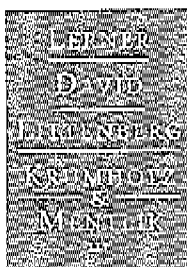
State of NEW JERSEY) ss:
County of MIDDLESEX)

On this 8th day of OCTOBER, 2003 before me personally came the above-named Helena S. Barinova, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Christine T. Laurence
(Notary Public)

CHRISTINE T. LAURENCE
Notary Public of New Jersey
My Commission Expires Dec. 28, 2007

PATENT
REEL: 018630 FRAME: 0626



600 SOUTH AVENUE WEST • WESTFIELD, NEW JERSEY 07090
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Facsimile Transmittal

To:	Fax Number	From
USPTO Assignment Division	571-273-0140	Phyllis Kelly 908-518-6456

Date: December 12, 2006

No. Pages: 5

File Name.: CRODA 3.0-039 CONT

Client/Matter No: CRODA-196

Attorney/Secretary: KYH/jhs

MESSAGE:

Please record the attached assignment and fax the Notice of Recordation to Phyllis Kelly at 908-654-7866.

NOTICE: The information contained herein is intended only for the addressee identified above. It may be or may include material that is confidential, attorney-client privileged, attorney work product, copyrighted, and/or inside information. If you are not the intended recipient, or a person responsible for delivering this message to the intended recipient, you are hereby notified that the unauthorized use, disclosure, distribution or copying is strictly prohibited and may be in violation of court order or otherwise unlawful. If you have received this transmission in error, please immediately notify us at 908 654 5000 (collect, if necessary) and return this document to us by mail.

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RECORDED: 12/12/2006

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