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FORM PTO-1595 (Rev. 6-93)

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U.S. DEPARTMENT OF COMMERCI Fatent and Trademark Office

OMB No. 0651-0011 (exp. 4/94) M&G- 09881.0005US01 To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): Name of conveying party(ies): Hong Kong Applied Science and Technology Research Institute Enboa WU (20 September 2006) Tun-Yen KAN (6 September 2006) Company Limited 5th Floor, 2 Science Park East Ave. Hong Kong Science Park, Pak Shek Kok, Shatin, New Territories Hong Kong, China Additional name(s) of conveying party(ies) attached? 📋 Yes 🗵 No Additional name(s) & address(cs) attached? 

Yes 

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Yes 

No 6. Total number of applications and patents involved: 1 Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41): \$40.00 Tong Wu Enclosed Name: Authorized to be charged to deposit account Address: Merchant & Gould P.C. P. O. Box 2903 Please charge any additional fees or credit any Minneapolis, MN 55402 overpayments to our Deposit account number: 13-2725 DO NOT USE THIS SPACE Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. December 2006 Tong Wu (43,361) Date Name of Person Signing Signature Total number of pages including attachments and document: 10 Do not detach this portion Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks Mail Stop Assignments P. O. Box 1450 Alexandria VA 22313-1450 CERTIFICATE UNDER 37 CFR 1.8(b): I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on V2-December 2006.

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PATENT REEL: 018630 FRAME: 0982 10-02-2006



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## INSTRUCTIONS

## INTERNATIONAL ASSIGNMENT TO CORPORATION

WHEREAS,

Inventor(s) Name, Address, Citizenship

- 1. Enboa WU residing at 3931 Aspen St., Irvine, CA 92606, USA and a citizen of United States;
- Tun-Yen KAN residing at Kun-Fu South Rd., Lane 46, No. 43-3, 3F. Taipei. Taiwan and a citizen of Taiwan;
- 3. \_\_\_ residing at \_\_\_ and a citizen of \_

in the requested information:

- select the first check box if the application is a national stage of a PCT application and provide the international application number and filing date before sending the assignment for execution;
- select the second check box if the application will be filed without the executed assignment once the assignment is executed and the serial number and filing date of the U.S. application are known, provide this information before recordation:
- select the third check box if the application was previously filed and provide the serial number and filing date before sending the assignment for execution; or
- select the fourth check box if the application will be filed with the application.

Check the appropriate box and fill (herein called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States entitled LIGHT EMITTING DIODE DIE WITH AT LEAST ONE PHOSPHOR LAYER AND METHOD FOR FORMING THE SAME and which

CARLE CONTRACTOR AND CONTRACTOR ENGINEERING WINCOM
is the national stage application of International Application No
filed on
will be filed without this executed PATENT ASSIGNMENT. THE
UNDERSIGNED hereby authorizes, and requests. A SSIGNER'S
legal representatives, of Merchant & Gould PC, who are associated
with customer number 23552, to insert here in parenthesis (LS
Serial No filed \https://doi.org/
application's U.S. Serial Number and filing date, when known
was filed on and was given U.S. Serial No.
or

is filed concurrently herewith:

Name of Corporation

AND WHEREAS Hong Kong Applied Science and Technology Research Institute Company Limited (herein called "ASSIGNEE"), a corporation organized under the laws of <u>Hong Kong, China</u>, and having an office and place of business at 5th Floor, 2 Science Park East Ave., Hong Kong Science Park, Pak Shek Kok, Shatin, New Territories, Hong Kong, China

State or Country of Incorporation and Address

wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for all countries in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of

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such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said invention including as assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indigated below.

Each inventor must sign & date

RECORDED: 12/13/2006

Signature: Enboa

Signature: Tun-Yen KAN

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