

12-08-2006

To the Honorable Commissioner of

attached original documents or copy thereof.



103346003

## 1. Name of Conveying party(ies):

PHOENIX AG

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: November 9, 2006

## 2. Name and address of receiving party(ies):

Name: PHOENIX DICHTUNGSTECHNIK GMBHStreet Address: Eisenacher Landstrasse 70D-99880 Waltershausen, GermanyAdditional name(s) & address(es) attached? Yes ☐ No ☒

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s)

## B. Patent No.(s)

U.S. Patent No. 5,648,036 issued July 15, 1997

U.S. Patent No. 6,129,485 issued October 10, 2000

U.S. Patent No. 6,267,536 issued July 31, 2001

U.S. Patent No. 6,447,809 issued November 12, 2002

U.S. Patent No. 6,710,110 issued March 23, 2004

Additional numbers attached? Yes ☐ No ☒

## 5. Name and address of party to whom correspondence should be mailed:

Name: Collard & Roe, P.C.

Internal Address: \_\_\_\_\_

Street Address: 1077 Northern BoulevardCity: Roslyn State: NY ZIP: 115766. Total number of applications and patents involved: 57. Total fee (37 CFR 3.41): ..... \$ 200.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 03-2468

(Attach duplicate copy of this page if paying by deposit account)

12/07/2006 DBYRNE 00000160 032468 5648036

01 FC:8021

(200.00 DA)

DO NOT USE THIS SPACE

## 9. Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Frederick J. Dorchak

Name of Person Signing

Signature

December 4, 2006

Date

Total number of pages including cover sheet, attachments, and document: 2

# ASSIGNMENT

WHEREAS, PHOENIX AG, sometimes referred to as Phoenix Aktiengesellschaft, having its place of business at Hannoversche Strasse 88, D-21079 Hamburg, Germany (hereinafter referred to as "ASSIGNOR"), is the owner of the entire right, title and interest of the following U.S. Patents, having the full and undivided interest therein:

US Patent No. 5,648,036 issued on July 15, 1997;  
US Patent No. 6,129,485 issued on October 10, 2000;  
US Patent No. 6,267,536 issued on July 31, 2001;  
US Patent No. 6,447,809 issued on November 12, 2002;  
and  
US Patent No. 6,710,110 issued on March 23, 2004.

WHEREAS, PHOENIX DICHTUNGSTECHNIK GMBH, having its place of business at Eisenacher Landstrasse 70, D-99880 Waltershausen, Germany (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest of said above-identified patents, and the inventions covered thereby, presently owned by ASSIGNOR;

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) and/or other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR, by these presents, does sell, assign, and transfer unto ASSIGNEE the entire right, title and interest of its full and undivided rights in and to said above-identified patents, and the inventions covered thereby, including the right to sue for past infringements, said inventions and patents to be held and enjoyed to the extent of

the interest hereby assigned, by ASSIGNEE, for its own use and enjoyment and that of its legal representatives, and assigns to the full end of the terms of said patents as fully and entirely as the same would have been held by ASSIGNOR, had this assignment and sale not been made.

By:  \_\_\_\_\_

Date: November 09, 2006

PHOENIX AG

Name: Meinhard Liebing

Title: Spokesman of the Executive Board

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