

Form PTO-1595 (Rev. 08/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Tyson WEBSTER  
M. Rinley DEEDS

**2. Name and address of receiving party(ies)**

Name: JohnsonDiversey, Inc.

Internal Address: M.S. 509

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) See 1 in Addendum

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

Street Address: 8310 16th Street

P.O. Box 902

City: Sturtevant

State: WI

Country: USA Zip: 53177-0902

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)  
11/404,518

☐ This document is being filed together with a new application.  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Gregory S. Bollis, JohnsonDiversey, Inc.

Internal Address: M.S. 509

Street Address: 8310 16th Street

P.O. Box 902

City: Sturtevant

State: WI Zip: 53177-0902

Phone Number: 1-(262) 631-4593

Fax Number: 1-(262) 631-4021

Email Address: gregory.bollis@johnsondiversey.com

**6. Total number of applications and patents involved:**

1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-0231

Authorized User Name \_\_\_\_\_

**9. Signature:**

Gregory S. Bollis  
Signature

12-14-06  
Date

Gregory S. Bollis, #52,630  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 600231 11404518

**ATTACHMENT FOR RECORDATION FORM COVER SHEET  
PATENTS ONLY (Form PTO-1595)****1. Name of conveying party(ies):**James W. LIVINGSTONAndy SWAINChristopher J. WEBBDavid HOLDENKenneth J. BIRD

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No**2. Name and address of receiving party(ies)**

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

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Additional numbers attached?

☐ Yes ☒ No

**Attachment to Form PTO - 1595  
Recordation Form Cover Sheet**

1. 11/19/2006, 07/27/2006, 11/17/2006, 07/31/2006, 10/06/2006, 07/31/2006,  
07/31/2006

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

JD-669-US

**JOINT ASSIGNMENT**

In this Assignment, **JOHNSON** shall mean **JohnsonDiversey, Inc.**, of Sturtevant, Wisconsin, its successors and assigns.

For good and valuable consideration, receipt of which is hereby acknowledged, we **Tyson WEBSTER** of 1440 17<sup>th</sup> Avenue, Santa Cruz, California 95063 USA, **M. Rinley DEEDS** of 8484 Zayante Road, Felton, California 95018 USA, **James W. LIVINGSTON** of 32505 Forward Road, Manton, California 96059 USA, **Andy SWAIN** of 31, Glendon Drive, Hucknall, Nottingham NG15 6DF United Kingdom, **Christopher J. WEBB (Deceased)** of 2 Melbourne Avenue, Dronfield Woodhouse, Dronfield S18 8YW United Kingdom, **David HOLDEN** of Allen Hill House, 10 Woolley Road, Matlock, Derbyshire DE4 3HS United Kingdom, and **Kenneth J. BIRD** of 32 Sandringham, Bramcote Hills, Beeston, Nottingham NG9 3EJ United Kingdom

ASSIGN to **JOHNSON** all our inventions disclosed in an application for a United States Patent filed on April 14, 2006 and granted Serial No. 11/404, 518 and which is entitled

**METERING AND DISPENSING CLOSURE**

AUTHORIZE **JOHNSON** to file and prosecute in all countries of the world in our names or in its name all applications for patents partly or entirely based on said inventions;

ASSIGN to **JOHNSON** all of said applications for patents and all patents granted thereon;

AUTHORIZE and request the Commissioner of Patents or other authorized persons to issue all of said patents to **JOHNSON**;

COVENANT that neither we nor our heirs, legal representatives or assigns have done or will do anything in conflict with this Assignment, and that upon request and without expense to ourselves, we, our heirs, legal representatives or assigns, will do everything necessary to fully protect those inventions for **JOHNSON**.

Signed at Santa Cruz, California on the 13<sup>th</sup> day of November, 2006.

  
\_\_\_\_\_  
**Tyson WEBSTER**

Signed at \_\_\_\_\_, California on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

JD-669-US

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In this Assignment, **JOHNSON** shall mean **JohnsonDiversey, Inc.**, of Sturtevant, Wisconsin, its successors and assigns.

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COVENANT that neither we nor our heirs, legal representatives or assigns have done or will do anything in conflict with this Assignment, and that upon request and without expense to ourselves, we, our heirs, legal representatives or assigns, will do everything necessary to fully protect those inventions for **JOHNSON**.

Signed at \_\_\_\_\_, California on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

\_\_\_\_\_  
**Tyson WEBSTER**

Signed at Santa Cruz, California on the 27 day of July, 2006.

  
\_\_\_\_\_  
**M. Rinley DEEDS**

JD-669-US

Signed at Santa Cruz, California on the 17 day of November, 2006.

  
James W. LIVINGSTON

Signed at \_\_\_\_\_, United Kingdom on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

\_\_\_\_\_  
Andy SWAIN

Signed at \_\_\_\_\_, United Kingdom on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

\_\_\_\_\_  
Christopher J. WEBB (Deceased)

Signed at \_\_\_\_\_, United Kingdom on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

\_\_\_\_\_  
David HOLDEN

Signed at \_\_\_\_\_, United Kingdom on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

\_\_\_\_\_  
Kenneth J. BIRD

JD-746-US

Signed at \_\_\_\_\_, California on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

\_\_\_\_\_  
James W. LIVINGSTON

Signed at NOTTINGHAM, United Kingdom on the 31<sup>ST</sup> day of JULY, 2006.

  
\_\_\_\_\_  
Andy SWAIN

Signed at \_\_\_\_\_, United Kingdom on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

\_\_\_\_\_  
Christoper J. WEBB (Deceased)

Signed at NOTTINGHAM, United Kingdom on the 31<sup>ST</sup> day of JULY, 2006.

  
\_\_\_\_\_  
David HOLDEN

Signed at NOTTINGHAM, United Kingdom on the 31 day of JULY, 2006.

  
\_\_\_\_\_  
Kenneth J. BIRD



669  
JD-746-US

Signed at \_\_\_\_\_, California on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

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James W. LIVINGSTON

Signed at \_\_\_\_\_, United Kingdom on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

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Andy SWAIN

Signed at \_\_\_\_\_, United Kingdom on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

Legal Representative's Signature  
Legal Representative's Name  
Date

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Christopher J. WEBB (Deceased)

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Shirley A. Webb  

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Shirley A. Webb  

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30/10/06

Signed at \_\_\_\_\_, United Kingdom on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

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David HOLDEN

Signed at \_\_\_\_\_, United Kingdom on the \_\_\_\_\_ day of \_\_\_\_\_, 2006.

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Kenneth J. BIRD