

12-11-2006

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



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103347112

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents and the new address(es) below.

**1. Name of conveying party(ies)**

Colin John Francis Philip Jones  
John Lamont-Black  
Stephanie Glendinning

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 5-20-06, 5-20-06, 5-20-06

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Electrokinetic Limited

Internal Address: \_\_\_\_\_

Street Address: Nanotechnology Centre, Herschel Building  
Newcastle University

City: Newcastle Upon Tyne NE1 7RU

State: \_\_\_\_\_

Country: United Kingdom

Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/574,303

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: William F. Prout

Internal Address: IPLM Group, P.A.

Street Address: P.O. Box 18455

City: Minneapolis

State: MN Zip: 55418

Phone Number: 612-455-2564

Fax Number: 612-331-7401

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$** 40

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-0549

Authorized User Name William F. Prout

**9. Signature:**

William F. Prout

Signature

December 4, 2006

Date

William F. Prout

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

11/02/2006  
700295297Form PTO-1595 (Rev. 08/05)  
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET  
PATENTS ONLY

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## 9. Signature:

Signature

November 2, 2006

Date

William F. Prout

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

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Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, V.A. 22313-1460

**ASSIGNMENT**

WHEREAS, we, Colin John Francis Philip Jones, residing at 116 The Mount, York, YO24 1 AS, United Kingdom, John Lamont-Black, residing at 5 Archibald Street, Gosforth, Newcastle Upon Tyne, NE3 1EB, United Kingdom and Stephanie Glendinning, residing at 10 Lucombe Close, Biddick Woods, Houghton-le-Spring, Tyne & Wear, DH4 7T, United Kingdom, made certain new and useful inventions and improvements for which we filed Application Serial No. 10/574,303 for Letters Patent of the United States on March 31, 2006, which is entitled DEWATERING TREATMENT SYSTEM AND METHOD.

AND WHEREAS, Electrokinetic Limited, a corporation organized and existing under and by virtue of the laws of United Kingdom, and having an office and place of business at Nanotechnology Centre, Herschel Building, Newcastle University, Newcastle Upon Tyne, NE1 7RU, United Kingdom (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and


the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 20<sup>th</sup> day of

May

, 2006.



Colin John Francis Philip Jones

Date: 20<sup>th</sup> May 2006



Witness as to Colin John Francis Philip Jones

IN TESTIMONY WHEREOF, I have hereunto set my hand this 20<sup>th</sup> day of

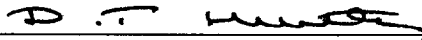
May

, 2006.



John Lamont-Black

Date: 20<sup>th</sup> May 2006



Witness as to John Lamont-Black

IN TESTIMONY WHEREOF, I have hereunto set my hand this 12<sup>th</sup> day of

JUNE, 20 06.

S. Glendinning

Stephanie Glendinning

Date: 12.06.06

John Lannon Had

Witness as to Stephanie Glendinning