

Form PTO-1595 (Rev. 07/05)  
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.					
<b>1. Name of conveying party(ies)</b> NORTHERN POWER SYSTEMS			<b>2. Name and address of receiving party(ies)</b> Name: <u>United States Department of Energy</u> Internal Address: _____ Street Address: <u>1000 Independence Ave., S.W.</u> City: <u>Washington</u> State: <u>D.C.</u> Country: <u>USA</u> Zip: <u>20585</u>		
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>3. Nature of conveyance/Execution Date(s):</b> Execution Date(s) <u>05/30/2006</u> <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input checked="" type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____					
<b>4. Application or patent number(s):</b> A. Patent Application No.(s) <u>11/419,299</u>			<input type="checkbox"/> This document is being filed together with a new application. B. Patent No.(s)  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>Mark P. Dvorscak</u> Internal Address: <u>U. S. Dept. of Energy, IPLD</u> Street Address: <u>9800 S. Cass Ave</u> City: <u>Argonne</u> State: <u>IL</u> Zip: <u>60439</u> Phone Number: <u>630-252-2393</u> Fax Number: <u>630-252-2779</u> Email Address: _____			<b>6. Total number of applications and patents involved:</b> <u>1</u> <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$</b> _____ <input type="checkbox"/> Authorized to be charged by credit card <input type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> None required (government interest not affecting title) <b>8. Payment Information</b> a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number _____ Authorized User Name _____		
<b>9. Signature:</b> <u>Michael J. Dobbs</u> Signature _____ Date <u>12/14/06</u> Name of Person Signing <u>Michael J. Dobbs #58,093</u>			Total number of pages including cover sheet, attachments, and documents: <u>2</u>		

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04-018.4

**CONFIRMATORY LICENSE**

(Small Business Firm)

Title : *Direct Drive Wind Turbine*

Inventor(s) : *Garrett Lee Bywaters, William L. Danforth, Christopher M. Bevington, Jesse Stowell, Daniel Costin*

Application Type : *Divisional*

Serial No. : *11/419,299* Filing Date: *05/19/2006*

Contractor : *Northern Power Systems*

Prime Contract No. : *DE-FC36-03G013131 (DWT 05-262)*

SubContract (if applicable) :

Disclosure No. : *04-018.4*

DOE Case No. : *Not yet assigned S-110, 681*

Patent Rights Clause No.: *FAR 52.227-11*

Foreign Applications filed in or intended to be filed at Contractor's expense in (countries):

The contractor, having the right to retain title to Subject Inventions as a result of Public Law 96-517, has reported the above-identified invention as a Subject Invention to DOE with its election to retain title thereto.

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The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed this 30th day of May, 2006

Northern Power Systems, Inc.

(Contractor)

Dave S. Christensen, Intellectual Property Manager (203)678-2122

(Contractor's Official Title)

x 

(Signature)

10 Technology Drive, Wallingford, CT 0649

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