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Joint Research Agreement

F 10334	3-2006 United States Patent and Trademark Office T 2006 DEC 1 Pt 3: 02 FINANCE SECURITIES OF COMMERCE Patent and Trademark Office T 2006 DEC 1 Pt 3: 02 FINANCE SECURITIES OF COMMERCE
Name of conveying party(ies) Scott V. Anderson	2. Name and address of receiving party(ies) Scott V. Anderson and Susan Pottish, Trustees Under the Scott V.
Susan Pottish	Name: Anderson and Susan Pottish Trust Agreement dated December 1, 2006 Internal Address:
Additional name(s) of conveying party(ies) attached? Yes No. 3. Nature of conveyance/Execution Date(s): Execution Date(s) December 1, 2006	Street Address: P.O. Box 1555
Assignment Merger Security Agreement Change of Name	City: Ukiah

State: California

Executive Order 9424, Confirmatory License	Country: United States Zip: 95482
Other	Additional name(s) & address(es) attached? Yes Vo
A. Patent Application No.(s) 1. 10/607,617 2. 10/928,676 3. 10/928,957 4. 11/316,439	document is being filed together with a new application. B. Patent No.(s) ttached? Yes No
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: Four (4)
Name: Scott V. Anderson and Susan Pottish	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 160.00
Internal Address:	Authorized to be charged by credit card Authorized to be charged to deposit account
Street Address: P.O. Box 1555	Enclosed None required (government interest not affecting title)
City: Ukiah	8. Payment Information
State: California Zip: 95482	a. Credit Card Last 4 Numbers
Phone Number: 707-468-9430	Expiration Date
Fax Number:	b. Deposit Account Number
Email Address:	Authorized User Name
9. Signature.	12 01 06 Date
Scott V. Anderson / Susan Pottish	Total number of name including accord
AND ADVOIS AND	sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

RECITALS

- 1. SUSAN POTTISH has invented certain new and useful improvements in Method and apparatus for client-in-charge business transaction processing for which an Application was granted on June 27, 2003, with Patent Application Number 10/607,617.
- 2. SUSAN POTTISH, without consideration and for the purpose of changing formal title only, wishes to transfer and assign to the Scott V. Anderson and Susan Pottish Trust Agreement dated $\frac{12/01/06}{000}$, its successors and assigns, all of her right, title, and interest in and to that Application.
- 3. The name and post office address of SUSAN POTTISH is as follows: P.O. Box 1555, Ukiah, California 95482.

ASSIGNMENT

- 4. SUSAN POTTISH, without consideration and for the purpose of changing formal title only, does hereby transfer and assign to the Scott V. Anderson and Susan Pottish Trust Agreement dated $1\partial/oi/ob$, its successors and assigns, all of her right, title, and interest in and to that Application.
- 5. This assignment is effective immediately.

Date: 12/01/06		
		SUSAN POTTISH
STATE OF CALIFORNIA)	
) ss.	
COUNTY OF SONOMA)	
12/.		VIRGINIA MARKEY
On $\frac{12}{01}$, 2006, before me,		, Notary Public, personally
appeared SUSAN POTTISH, pers	onally	known to me (or proved to me on the basis of
satisfactory evidence) to be the	erson ((s) whose name(s) is/are subscribed to the within
		nat he/she/they executed the same in his/her/their
authorized capacity(ies), and that by	his/ he	er/their signature(s) on the instrument the person(s), or

WITNESS my hand and official seal.

Museum Markey (Signature of Notary)

VIRGINIA MARKEY

the entity upon behalf of which the person(s) acted, executed the instrument.

VIRGINIA MARKEY
Commission # 1679158
Notary Public - California
Sonoma County
My Comm. Expires Jul 1, 2010

RECITALS

- SUSAN POTTISH and SCOTT V. ANDERSON have invented certain new and 1. useful improvements in Apparatus and method for open thread, reusable, no-waste collapsible tube dispensers for which a Patent Application was granted on August 26, 2004 with Application Number 10/928,676.
- SUSAN POTTISH and SCOTT V. ANDERSON, without consideration and for the purpose of changing formal title only, wish to transfer and assign to the Scott V. Anderson and Susan Pottish Trust Agreement dated $\frac{\partial}{\partial t} \frac{\partial}{\partial t} = 0$, its successors and assigns, all of their right, title, and interest in and to their right, title, and interest in and to that Application.
- The name and post office address of SUAN POTTISH and SCOTT V. ANDERSON is as follows: P.O. Box 1555, Ukiah, California 95482.

ASSIGNMENT

the purpose of changing formal title only, do hereby transfer and assign to the Scott V.

SUSAN POTTISH and SCOTT V. ANDERSON, without consideration and for

4.

Anderson and Susan Pottish Trust Agre assigns, all of their right, title,	reement dated $\frac{12/01/06}{06}$, its successors and and interest in and to that Application.
5. This assignment is effective imm	nediately.
Date: 12 01 01	SUSAN POTTISH
	SCOTT V. ANDERSON
STATE OF CALIFORNIA)	
) ss.	
COUNTY OF SONOMA)	
On \$\frac{10/01}{2006}, 2006, before me,	VIRGINIA MARKEY , Notary Public, personally
appeared SUSAN POTTISH and SCOTT	V. ANDERSON, personally known to me (or proved
to me on the basis of satisfactory evidence	to be the persons whose names are subscribed to the
within instrument and acknowledged to	me that they executed the same in their authorized the instrument the persons, or the entity upon behalf
WITNESS my hand and official seel	VIRGINIA MARKEY

VIRGINIA MARKEY

WITNESS my hand and official seal.

PATENT REEL: 018690 FRAME: 0610

Commission # 1679158

My Comm. Expires Jul 1, 2010

RECITALS

- 1. SUSAN POTTISH and SCOTT V. ANDERSON have invented certain new and useful improvements in Apparatus and method for reusable, no-waste collapsible tube dispensers for which a Patent Application was granted on August 26, 2004 with Application Number 10/928,957.
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_					
5.	This assignment is effecti	ve imme	diately.		
Date:	12/01/06	···			
	/		SUSANP	OTTISH	<u>~</u>
			SCOTT V.	ANDERSON	
STAT	E OF CALIFORNIA)			
) ss.			
COUN	ITY OF SONOMA	Ś			
ř		, VID	GINIA MARKEY		
On #a	0/ , 2006, before me,	VIR	GINIA WANKET	Notary Public, persons	allv
appear satisfa instrur author	ed SCOTT V. ANDERSON ctory evidence) to be the nent and acknowledged to	, persona person (s) me that y his/her/t	whose name (s) he/she/they exec heir signature(s) or	Notary Public, personate proved to me on the basis is/are subscribed to the without the same in his/her/the the instrument the person(s) in the instrument.	thin heir
WITN	ESS my hand and official sea	al.	-		

VIRGINIA MARKEY

PATENT REEL: 018690 FRAME: 0611

My Comm. Expires Jul 1, 2010

RECITALS

- 1. SUSAN POTTISH has invented certain new and useful improvements in Method and apparatus integrating navigation and saving the writable state of applications for which an Application was granted on December 20, 2005, with Application Number 11/316,439.
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- 3. The name and post office address of SUSAN POTTISH is as follows: P.O. Box 1555, Ukiah, California 95482.

ASSIGNMENT

formal	title only,	does here	by transfer	and assi	ign to the	e Scott	V. Ander	e of changing rson and Susan igns, all of her
								Application.
5.	This assign	ment is ef	fective imm	ediately.				
	1	1						

STATE OF CALIFORNIA
) ss.

COUNTY OF SONOMA
)

On 12/01, 2006, before me, VIRGINIA MARKEY, Notary Public, personally

On Folio, 2006, before me, VIRGINIA MARKEY, Notary Public, personally appeared SUSAN POTTISH, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

(Signature of Notary) Markley

VIRGINIA MARKEY

VIRGINIA MARKEY
Commission # 1679158
Notary Public - California
Sonoma County
My Comm. Expires Jul 1, 2010

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- 3. The name and post office address of SUSAN POTTISH is as follows: P.O. Box 1555, Ukiah, California 95482.

ASSIGNMENT

formal Pottish	l title only, d n Trust Agre	loes herel ement da	oy transfer ted <u> \text{\tiket{\text{\te}\tint{\text{\tin}\text{\texi}\text{\text{\texi{\text{\texi{\tex{\texit{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\ti}}\\texi{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\</u>	and assig	gn to the , its succ	Scott Cessors	V. Ander and assi	se of changings of and Susaigns, all of he Application	an er
5.	This assignn	nent is eff	ective imm	ediately.					
Date:	12/0/	06		,					

STATE OF CALIFORNIA)

COUNTY OF SONOMA

On \$\frac{1}{0/}\], 2006, before me, _______, Notary Public, personally appeared SUSAN POTTISH, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Musuua Markey (Signature of Notary)

VIRGINIA MARKEY

VIRGINIA MARKEY
Commission # 1679158
Notary Public - California
Sonoma County
My Comm. Expires Jul 1, 2010

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ASSIGNMENT

the purpose of changing formal title only, do hereby transfer and assign to the Scott V.

SUSAN POTTISH and SCOTT V. ANDERSON, without consideration and for

Anderson and Susan Pottish Trust Agreement dated $\frac{12/01/06}{0}$, its successors and assigns, all of their right, title, and interest in and to that Application.				
5. This assignment is effective immediately.				
Date: 12 01 01				
SUSAN POTTISH				
SCOTT V. ANDERSON				
STATE OF CALIFORNIA) ss.				
COUNTY OF SONOMA)				
On \$\frac{10/}{0/}, 2006, before me, VIRGINIA MARKEY, Notary Public, personally				
appeared SUSAN POTTISH and SCOTT V. ANDERSON, personally known to me (or proved				
to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the				
within instrument and acknowledged to me that they executed the same in their authorized				
capacityies and that by their signatures on the instrument the persons, or the entity upon behalf of which the persons acted, executed the instrument.				

VIRGINIA MARKEY

WITNESS my hand and official seal.

PATENT REEL: 018690 FRAME: 0614

VIRGINIA MARKEY

Commission # 1679158 Notary Public - California Sonorna County My Comm. Expires Jul 1, 2010

RECITALS

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5. This assignment is effective	e immediately.	
Date: $\frac{12/01/06}{}$		
,	SUSANPOTTA	
	SCOTT V. AND	ERSON
STATE OF CALIFORNIA)	
) ss.	
COUNTY OF SONOMA)	
1-1	VIRGINIA MARKEY	
On \$2/01, 2006, before me,		, Notary Public, personally
appeared SCOTT V. ANDERSON, satisfactory evidence) to be the p instrument and acknowledged to	personally known to me (or properson(s) whose name(s) is/are	subscribed to the within
authorized capacity (ies), and that by the entity upon behalf of which the p	his/her/their signature(s) on the i	instrument the person(s), or

WITNESS my hand and official seal.

Signature of Notary)

VIRGINIA MARKEY



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ASSIGNMENT

4.	SUSAN I	POTTISH,	without co	onsiderat	ion and	for th	e purpos	e of changing
formal	title only,	does here	by transfer	and assi	gn to th	e Scott	V. Ander	rson and Susan
Pottish	Trust Ag	reement da	ated <u>12/0</u>	1/06	, its suc	cessors	and ass	igns, all of her
right,	title,	and	interest	in	and	to	that	Application.

This assistment is affective immediately

5. This assignment is effective	e infinediately.
Date: 12 01 06	
STATE OF CALIFORNIA	SUSAN POTTISH
COUNTY OF SONOMA) ss.)
On $\frac{1}{2}\frac{1}{01}$, 2006, before me,	VIRGINIA MARKEY , Notary Public, personally
appeared SUSAN POTTISH, person	onally known to me for proved to me on the basis of

WITNESS my hand and official seal.

(Signature of Notary)

VIRGINIA MARKEY



Anderson, Zeigler, Disharoon Gallagher & Gray

A PROFESSIONAL CORPORATION
ATTORNEYS AT LAW

50 Old Courthouse Square, Fifth Floor Santa Rosa, California 95404

MAILING ADDRESS
P. O. BOX 1498
SANTA ROSA, CA 95402-1498

TELEPHONE: (707) 545-4910 FACSIMILE: (707) 544-0260

December 7, 2006

OF COUNSEL JOHN J. KING, JR. WILLIAM C. HUNTER

RETIRED
B. SCOTT FOSTER
GERALD W. GRAY

THE GRACE BUILDING 17 KELLER STREET PETALUMA, CA 94952 (707) 763-0937

6641 OAKMONT DRIVE SANTA ROSA, CA 95409 (707) 539-3880

1207 VINE STREET, SUITE G HEALDSBURG, CA 95448 (707) 545-4910

Mail Stop Assignment Recordation Services Director of the USPTO P.O. Box 1450 Alexandria, VA 22313-1450

Re: Recordation of Assignments of Patents

Dear Sir or Madam Director:

EDWIN C. ANDERSON, IR.

BARBARA D. GALLAGHER

MARGARET M. ELLIOTT

DAVID G. BIORNSTROM

ROBERT S. RUTHERFURD

CHRISTOPHER M. MAZZIA

TRICIA A. SHINDLEDECKER

MARY CATHERINE DOHERTY

KIRT F. ZEIGLER

ROB DISHAROON

JEREMY L. OLSAN

DANIEL E. POST

WENDY D. WHITSON

MICHAEL D. MACIEL

CATHERINE J. BANTI

Enclosed please find the documents listed below, submitted for recordation to assign our clients' patent interests to their Living Trust:

- 1. Recordation Form Cover Sheet.
- 2. Assignment of Patent 10/607,617.
- 3. Assignment of Patent 10/928,676.
- 4. Assignment of Patent 10/928,957.
- 5. Assignment of Patent 11/316,439.

Also enclosed is a check in the amount of \$160 for the recordation fees.

Because we are unfamiliar with your recording procedures, enclosed are two postage paid return envelopes. Please return copies of the recorded Assignments to us, and, if it is your practice, please return the original recorded Assignments to us.

If you have any questions regarding this matter, please do not hesitate to contact me. Thank you.

Sincerely,

Virginia Markey

Secretary to Mary Catherine Doherty

Virginia Markey

vjm

Enclosures

RECORDED: 12/11/2006