

12-27-2006

RPI-144US

Form PTO-1595

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103353686

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Nadarajah Narendran
Yimin GuAdditional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

3. Nature of Conveyance:

Execution Date(s) December 18, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Correction of Assignment Recordation
 (previously recorded at Reel _____, Frame _____).
☐ Other

2. Name and address of receiving party(ies):

Name: Rensselaer Polytechnic Institute

Internal Address: _____

Street Address: 110 8th StreetCity: TroyState: NYZip: 12180-3590Country: USAAdditional name(s) & address(es) attached? ☐ YES ☒ NO4. Application or patent number(s): ☒ This document is being filed together with a new application.

A. Patent Application Number(s) _____

B. Patent Number(s) _____

Additional number(s) attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jacques L. Etkowicz
Internal Address: RatnerPrestia

- ☒ Street Address: Suite 301
One Westlakes
Berwyn, P.O. Box 980
 City: Valley Forge State: PA ZIP: 19482-0980
 Phone Number: (610) 407-0700
 Fax Number: (610) 407-0701

OR

- ☐ Street Address: Nemours Building
1007 Orange Street
Suite 1100, P.O. Box 1596
 City: Wilmington State: DE ZIP: 19899
 Phone Number: (302) 778-2500
 Fax Number: (302) 778-2600

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41): \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card - Last 4 Numbers 1007
Expiration Date 03/2010

b. Deposit Account Number _____

Authorized User Name Kathleen CarneyThe Director is authorized to charge additional fees or underpayments or credit overpayments to above deposit account number 18-0350.

9. Signature: _____

Signature

December 20, 2006

Date

Jacques L. Etkowicz
Name of Person Signing41,738
Registration NumberTotal number of pages including cover sheet, attachments and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT
REEL: 018704 FRAME: 0546112955 U.S. PTO
11/642089

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12/22/2006 11:11:11 AM 11642089

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ASSIGNMENT - Patent ApplicationDocket Number: **RPI-144US**

Whereas, the Assignor, comprising the following named inventors:

ASSIGNOR(s)/ INVENTOR(s)	1. Nadarajah Narendran	2. Yimin Gu
	3.	4.
	5.	6.

made an invention entitled: **Lighting system using multiple colored light emitting sources and diffuser element**

for which an application for Letters Patent of the United States:

(Select one of the following):

- ☒ is executed concurrently herewith
☐ was filed in the U.S. Patent and Trademark Office on _____,
as U.S. Patent Application Serial No.: _____

ASSIGNEE
(Full Name and Address)**Rensselaer Polytechnic Institute
110 8th Street
Troy, NY 12180-3590**

is desirous of acquiring the entire interest in and to said invention and the Letters Patent to be obtained therefor,

Now, therefore, in consideration of the payment by Assignee to Assignor of a sum corresponding to One Dollar (\$1.00), and for other good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, intending to be legally bound, hereby sells, assigns and transfers to Assignee, its successors and assigns, the full and exclusive right, title and interest in and to said invention, all applications for Letters Patent for said invention, including all divisions, continuations, and continuations-in-part thereof, all rights to claim priority based thereon, and all Letters Patent, including reissues, to be obtained therefor, including any and all foreign patent rights in this invention corresponding thereto.

Assignor hereby warrants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

Assignor agrees it shall be legally bound, upon request of the Assignee or its successors or assigns or a legal representative thereof, to supply all information and evidence of which the Assignor has knowledge or possession, relating to the making and practice of said invention, to testify in any legal proceeding relating thereto, to execute all instruments proper to patent the invention in the United States of America and foreign countries in the name of the Assignee, and to execute all instruments proper to carry out the intent of this instrument.

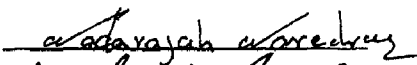
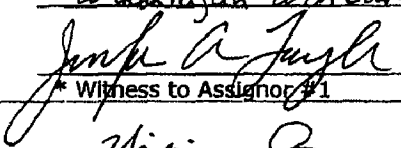
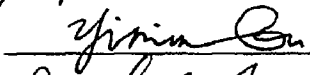
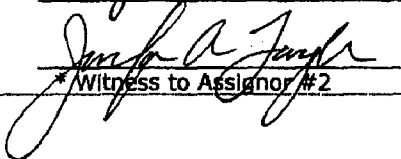
If the invention requires a biological deposit, Assignor also grants to Assignee such control over any deposit made by Assignor as may be necessary to the validity of the patent rights assigned herein.

ASSIGNMENT - Patent ApplicationDocket Number: **RPI-144US**

Assignor authorizes Assignor's attorney to insert at the end hereof, the serial number and filing date of the aforesaid application for United States Letters Patent and/or the Attorney Docket or file designation for this application if not identified above.

If the Assignor includes more than one individual, these obligations shall apply to these individuals both individually and collectively.

In witness whereof, this Assignment is executed on the day indicated below.

Typed or Printed Name	Signature	Date
1. Nadarajah Narendran		Dec 18 2006
	 * Witness to Assignor #1	12/18/2006
2. Yimin Gu		Dec 18, 2006
	 * Witness to Assignor #2	12/18/2006
3.		
	* Witness to Assignor #3	
4.		
	* Witness to Assignor #4	
5.		
	* Witness to Assignor #5	
6.		
	* Witness to Assignor #6	

SIGNATURE

Application for United States Letters Patent:

Serial No.:

Filed: _____

Attorney Docket Number: RPI-114US

Total Number of Assignment Pages 2

* Witness signature recommended, mandatory for some countries