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T U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Docket No.: 1009-021

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

James Kay

Additional name(s) of conveying party(ies) attached?

☐

Yes

☒

No

3. Nature of Conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

Execution Date: October 25, 2006

2. Name and address of receiving party(ies)

Name: Blackspider Technologies

Internal Address:

Street Address:

400 Thames Valley Park Drive

City: Reading, Berkshire

State: United Kingdom Zip: RG6 1PT

Additional name(s) & address(es) attached:

☐

Yes

☒

No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s): 11/579,171

B. Patent No.(s):

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David E. Huang, Esq.

Street Address: Bainwood, Huang & Associates, LLC  
2 Connector Road

City: Westborough

State: MA Zip: 01581

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) \$ 40.00

☐

Enclosed

☒

If required, authorized to be charged to deposit account

8. Deposit account number: 50-3661

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David E. Huang, Esq.  
Name of Person Signing

Signature

December 6, 2006  
Resubmission Date

Total number of pages including cover sheet, attachments, and documents: 3

11/579171

WFO1 Rec'd PCT/PTO 30 OCT 2006

11-09-2006



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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

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Name of Person Signing

Signature

October 30, 2006  
Date

Total number of pages including cover sheet, attachments, and documents: 3

PATENT  
REEL: 018707 FRAME: 0758

ASSIGNMENT

WHEREAS, I, James Kay, have invented an invention comprising and/or embodying certain improvements or discoveries or both as described in an application for Letters Patent of the United States entitled **METHOD AND SYSTEM FOR FILTERING ELECTRONIC MESSAGES**, the specification of which:

☒ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_;

☐ was patented under U.S. Patent No. \_\_\_\_\_ on \_\_\_\_\_.

WHEREAS, Blackspider Technologies (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the United Kingdom and having a usual place of business at 400 Thames Valley Park Drive, Reading, Berkshire RG6 1PT, United Kingdom desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made; I hereby convey to ASSIGNEE all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. I hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, I hereby further agree for me and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, I do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, I have herunto set my hand and affixed my seal as set forth below:

Date:



Inventor's Signature

Print Full Name of sole inventor:

James Kay

Residence:

Blackspider Technologies  
400 Thames Valley Park Drive  
Reading  
Berkshire RG6 1PT

Citizenship:

United Kingdom

Mailing Address:

Same as above

STATE/COMMONWEALTH OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, 2006, then personally appeared before me the above-named James Kay known to me through satisfactory evidence of identification [ ☐ employee identification card; ☐ driver's license; ☐ (other) \_\_\_\_\_ to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

\_\_\_\_\_  
Notary Public

Print Name: \_\_\_\_\_

My Commission expires \_\_\_\_ / \_\_\_\_ / \_\_\_\_