

12-20-2006



FEET

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

12-18-06

**1. Name of conveying party(ies)**

CREE INCORPORATED

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: NAVY, SECRETARY OF THE, UNITED STATES OF

Internal Address: AMERICA

OFFICE OF NAVAL RESEARCH

Street Address: 875 NORTH RANDOLPH STREET

City: ARLINGTON

State: VA

Country: USA Zip: 22203-1995

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**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 09/21/06

- Assignment
- Security Agreement
- Joint Research Agreement
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- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_
- Merger
- Change of Name

**4. Application or patent number(s):**

A. Patent Application No.(s)

11/169,471

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

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**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$**

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
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b. Deposit Account Number CUSTOMER NUMBER

Authorized User Name 26852

**9. Signature:**

*Carol Petrosky*  
Signature

12/13/06

Date

*Jes* JAMES BECHTEL  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

# CONFIRMATORY INSTRUMENT

APPLICATION FOR (TITLE OF INVENTION)

Low Dislocation Density Semi-Insulating III-Nitride Layers on SIC Substrates and Methods of Making the Same

INVENTORS(S)

Adam Saxler

SERIAL NO.  
PD 538

11/109,471

FILING DATE  
06/29/2005

CONTRACTOR  
Cree, Inc

CONTRACT NO.  
N00014-02-C-0306

The invention identified above is a "Subject Invention" under Patent Rights Clause 52-227-12  
(Identify clause by title and date)

\_\_\_\_\_ included in Contract No. N00014-02-C-0306  
with

Department of the Navy (ONR)  
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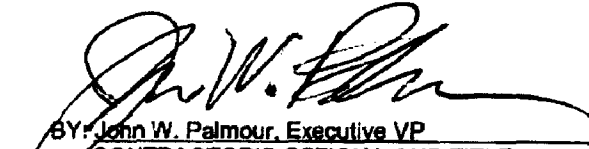
Signed this 21st day of Sept., 2006

ATTEST:

(Seal)



Cree, Inc  
CONTRACTOR

  
BY: John W. Palmour, Executive VP  
CONTRACTOR'S OFFICIAL AND TITLE

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