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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

### PATENTS ONLY

To the Honorable Commissioner of Patent and Trademarks: Please record the attached documents or copy thereof.

**1. Name of conveying party(ies):**

Richard SCHUMACHER; Mihaela Diana  
DANCA; Jianguo MA; Brian HERBERT; Truc  
Minh NGUYEN; Wenge XIE; Ashok TEHIM

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**2. Name and address of receiving party(ies):**

**Name: Memory Pharmaceuticals Corporation**

**Street Address: 100 Philips Parkway**

**City: Montvale State: New Jersey Zip:07645**

No additional names(s) or address(es) attached ☐ Yes ☒ No

**3. Nature of Conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: December 8, 2006; December 7, 2006;  
December 15, 2006; December 7, 2006; December 8,  
2006; December 7, 2006; December 7, 2006

**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

11/525,213

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address of party to whom correspondence concerning document should be mailed:**

**Name: MILLEN, WHITE, ZELANO  
& BRANIGAN, P.C.**  
**Address: Arlington Court Plaza I  
Suite 1400  
2200 Clarendon BLVD.  
Arlington, VA 22201**

**6. Total number of applications and patents involved: 1**

**7. Total fee (37CFR 3.41) \$40.00**

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

**8. DEPOSIT ACCOUNT NO. 13-3402**

(Attach duplicate copies of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

**9. Statement and Signature.**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Brion P. Heaney; Reg. No 32,542  
Names of Person Signing

Signature

December 18, 2006  
Date

Total number of pages including cover sheet, attachments, and documents 3

Attorney Docket No. MEMORY-0060

12/20/2006 DBYRNE 00000024 11525213

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40.00 DP

**PATENT**  
**REEL: 018715 FRAME: 0046**

# ASSIGNMENT

ATTY. DOCKET NO. MEMORY-0060

WHEREAS the below named inventor (if only one inventor is named below) or inventors (if plural inventors are named below) hereinafter referred to as the ASSIGNOR invented a certain improvement(s) relating to

Indazoles, Benzothiazoles, And Benzoisothiazoles, Benzisoxazoles, And Preparation And Uses Thereof

and for which one or more of the following apply:

- ☐ U.S. Provisional Application Serial No. \_\_\_\_\_ was filed in the United States Patent and Trademark Office on \_\_\_\_\_.
- ☒ A U.S. Non-Provisional
- ☐ application for Letters Patent to be filed in the United States Patent and Trademark Office was executed on even date.
- ☒ Application Serial No. 11/525,213 for Letters Patent was filed in the U.S. Patent and Trademark Office on September 22, 2006
- ☐ as International Application No. \_\_\_\_\_ was filed on \_\_\_\_\_, designating the United States.

AND WHEREAS

Memory Pharmaceuticals Corporation  
100 Philips Parkway  
Montvale, New Jersey  
07645

hereinafter referred to as the ASSIGNEE, is desirous of acquiring the entire right, title, and interest in and to said invention and all application(s) listed above, including any and all earlier priority rights (U.S. and foreign), divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, reexaminations and extensions thereof.







NOW, WITNESSETH that for good and valuable consideration, the receipt whereof is hereby acknowledged, ASSIGNOR hereby assigns, sells, and transfers to ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title and interest in and to said invention and application(s), including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, including any and all renewals, reissues, reexaminations and extensions thereof, and in and to any and all U.S. (including provisional) or foreign priority and/or convention rights or benefits accruing or to accrue with respect to the filing or securing of patents in the United States and/or any countries foreign thereto concerning said invention, SAID ASSIGNEE, its assigns and legal representatives to have, hold, exercise, and enjoy said invention and application, including any and all earlier priority rights (U.S. and foreign), divisions and continuations thereof, any and all Letters Patent which may be granted therefor, including any and all renewals, reissues, reexaminations and extensions thereof, including the right to sue for damages occurring before the assignment, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, reexaminations and extensions thereof, for the use and benefit of ASSIGNEE and its assigns and legal representatives, in as ample and beneficial a manner to all intents and purposes as the ASSIGNOR might or could have held and enjoyed the same, if the assignment had not been made.

AND ASSIGNOR hereby agrees to execute all papers that may be necessary to file applications in the United States and foreign countries for said invention and to assign the same to said ASSIGNEE, its assigns and legal representatives and to execute any other papers that may be needed in connection with filing said applications and securing Letters Patents thereon.

AND ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks to issue a Letters Patent on said application, and on any and all divisions and continuations thereof, to ASSIGNEE, its assigns and legal representatives, in accordance herewith.

The undersigned hereby grant(s) the law firm of Millen, White, Zelano & Branigan, P.C. the power to insert on this assignment information which may be necessary or desirable in order for the United States Patent and Trademark Office to properly record this document.

IN TESTIMONY WHEREOF this assignment is executed by ASSIGNOR

201 FULL NAME OF SOLE OR FIRST NAMED INVENTOR	INVENTOR'S SIGNATURE	DATE
Richard SCHUMACHER		12/8/06
202 FULL NAME OF SECOND JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Mihaela Diana DANCA		12.07.06
203 FULL NAME OF THIRD JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Jianguo MA		
204 FULL NAME OF FOURTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Brian HERBERT		12-7-06
205 FULL NAME OF FIFTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Truc Minh NGUYEN		12-8-06
206 FULL NAME OF SIXTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Wenge XIE		12/7/06
207 FULL NAME OF SEVENTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Ashok TEHIM		12/7/06
208 FULL NAME OF EIGHTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
209 FULL NAME OF NINTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE

PATENT

REEL: 018715 FRAME: 0047

# ASSIGNMENT

ATTY. DOCKET NO. MEMORY-0060

WHEREAS the below named inventor (if only one inventor is named below) or inventors (if plural inventors are named below) hereinafter referred to as the ASSIGNOR invented a certain improvement(s) relating to

Indazoles, Benzothiazoles, And Benzoisothiazoles, Benzisoxazoles, And Preparation And Uses Thereof

and for which one or more of the following apply:

☐ U.S. Provisional Application Serial No. was filed in the United States Patent and Trademark Office on

☒ A U.S. Non-Provisional

☐ application for Letters Patent to be filed in the United States Patent and Trademark Office was executed on even date.  
☒ Application Serial No. 11/525,213 for Letters Patent was filed in the U.S. Patent and Trademark Office on September 22, 2006  
☐ as International Application No. was filed on , designating the United States.

## AND WHEREAS

Memory Pharmaceuticals Corporation  
 100 Philips Parkway  
 Montvale, New Jersey  
 07645

hereinafter referred to as the ASSIGNEE, is desirous of acquiring the entire right, title, and interest in and to said invention and all application(s) listed above, including any and all earlier priority rights (U.S. and foreign), divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, reexaminations and extensions thereof.

NOW, WITNESSETH that for good and valuable consideration, the receipt whereof is hereby acknowledged, ASSIGNOR hereby assigns, sells, and transfers to ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title and interest in and to said invention and application(s), including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, including any and all renewals, reissues, reexaminations and extensions thereof, and in and to any and all U.S. (including provisional) or foreign priority and/or convention rights or benefits accruing or to accrue with respect to the filing or securing of patents in the United States and/or any countries foreign thereto concerning said invention, SAID ASSIGNEE, its assigns and legal representatives to have, hold, exercise, and enjoy said invention and application, including any and all earlier priority rights (U.S. and foreign), divisions and continuations thereof, any and all Letters Patent which may be granted therefor, including any and all renewals, reissues, reexaminations and extensions thereof, including the right to sue for damages occurring before the assignment, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, reexaminations and extensions thereof, for the use and benefit of ASSIGNEE and its assigns and legal representatives, in as ample and beneficial a manner to all intents and purposes as the ASSIGNOR might or could have held and enjoyed the same, if the assignment had not been made.

AND ASSIGNOR hereby agrees to execute all papers that may be necessary to file applications in the United States and foreign countries for said invention and to assign the same to said ASSIGNEE, its assigns and legal representatives and to execute any other papers that may be needed in connection with filing said applications and securing Letters Patents thereon.

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202 FULL NAME OF SECOND JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Diana DANCA		
203 FULL NAME OF THIRD JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Jianguo MA	<i>Jianguo MA</i>	12-15-06
204 FULL NAME OF FOURTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Brian HERBERT		
205 FULL NAME OF FIFTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
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Wenge XIE		
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Ashok TEHIM		
208 FULL NAME OF EIGHTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
209 FULL NAME OF NINTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE

PATENT

RECORDED: 12/18/2006

REEL: 018715 FRAME: 0048