

12-21-2006

U.S. Department of Commerce  
Patent and Trademark Office



IEET

103352368

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies)

**Submission Type**

☐ New

☐ Resubmission  
Document ID#

☐ Correction of PTO Error

Reel #  Frame #

☒ Corrective Document

Reel #  016012 Frame #  0015

Correct to reflect correct title of patent application  
(misidentified in original Assignment)

**Conveyance Type**

☒ Assignment

☐ License

☐ Security Agreement

☐ Nunc Pro Tunc Assignment

☐ Merger

☐ Change of Name

☐ Other

Effective Date

Month Day Year

**Conveying Party**

☒ Mark if additional names of conveying parties attached

Name: Arthur L. Smalley, III

Execution Date

Month Day Year

Formerly:

☒ Individual

☐ General Partnership

☐ Limited Partnership

☐ Corporation

☐ Association

☐ Other

☒ Citizenship/State of Incorporation/Organization United States

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name: AIRLITE CORPORATION

DBA/AKA/TA

Composed of

Address (line 1) 25802 BUDDE ROAD

Address (line 2)

Address (line 3) SPRING TEXAS 77380

City

State/Country

Zip Code

☐ Individual

☐ General Partnership

☐ Limited Partnership

☒ Corporation

☐ Other

☒ Citizenship/State of Incorporation/Organization TEXAS

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached.  
(Designation must be a separate document from Assignment.)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, P.O. Box 1450; Alexandria, VA 22313-1450 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, P.O. Box 1450; Alexandria, VA 22313

**Domestic Representative Name and Address**

Enter for the first Receiving Party only

Name: \_\_\_\_\_

Address (line 1) \_\_\_\_\_

Address (line 2) \_\_\_\_\_

Address (line 3) \_\_\_\_\_

Address (line 4) \_\_\_\_\_

City

State/Country

Zip Code

**Correspondent Name and Address**Area Code and Telephone Number: 713/220-4200Name: BRETT T. COOKEAddress (line 1) Andrews Kurth LLPAddress (line 2) 600 TRAVIS, SUITE 4200

Address (line 3) \_\_\_\_\_

Address (line 4) Houston, Texas 77002-2778

City

State/Country

Zip Code

Pages Enter the total number of pages of the attached conveyance document  
Including any attachments.# 6

Patent Application Number(s) or Patent Numbers(s)

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

10/992,497☐ Mark if additional numbers attached

Patent Number(s)

Number of Properties

Enter the total number of Properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$40

Method of Payment:

Enclosed ☐

Deposit Account

☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #50-0897(155779/SMA002CA)

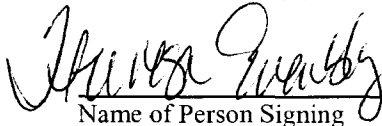
Authorization to charge additional fees:

Yes

☒

No

Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Name of Person Signing



Signature

Nov. 30, 2006

Date Signed



Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (exp /31/2002)

RE 11-29-2004

DEPARTMENT OF COMMERCE  
U. S. Patent and Trademark Office



102890858

1. Name of conveying party (ies):

Arthur L. Smalley, III

Additional names(s) of conveying party (ies) attached?

☒ No ☐ Yes

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: October \_\_\_\_, 2004

11-18-04

2. Name and address of receiving party (ies)

Airlite Corporation  
25802 Budde Road  
Spring, Texas 77380

16834 U.S. PTO  
10/992497



111804

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 17, 2004.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Gary Bush  
Andrews Kurth LLP  
600 Travis, Suite 4200  
Houston, Texas 77002

6. Total number of applications and patents involved:  
1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number 50-0897(SMA002/155779)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brett T. Cooke  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 5

11/29/2004 ECDOPER 00000075 500897 10992497

01 FC:8021 40.00 DA

HOU:2368228.1

PATENT  
REEL: 018716 FRAME: 0048



## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

11/13/2006  
500178433

SUBMISSION TYPE:

CORRECTIVE ASSIGNMENT

NATURE OF CONVEYANCE:

Corrective Assignment to correct the TITLE IDENTIFIED IN THE ASSIGNMENT previously recorded on Reel 016012 Frame 0015. Assignor(s) hereby confirms the ASSIGNMENT FROM ARTHUR L. SMALLEY, III TO AIRLITE CORPORATION.

## CONVEYING PARTY DATA

Name	Execution Date
ARTHUR L. SMALLEY	11/18/2004

## RECEIVING PARTY DATA

Name:	AIRLITE CORPORATION
Street Address:	25802 BUDDE ROAD
City:	SPRING
State/Country:	TEXAS
Postal Code:	77380

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10992497

## CORRESPONDENCE DATA

Fax Number: (713)238-7343  
*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*  
Phone: 713/220-4726  
Email: pat-tmk@andrewskurth.com  
Correspondent Name: GARY L. BUSH, ESQ./ ANDREWS KURTH LLP  
Address Line 1: 600 TRAVIS, SUITE 4200  
Address Line 4: HOUSTON, TEXAS 77002

ATTORNEY DOCKET NUMBER:

SMA002CA

NAME OF SUBMITTER:

GARY L. BUSH

Total Attachments: 3

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source=SMA002CORRECT#page2.tif

CH \$40.00 10992497

source=SMA002CORRECT#page3.tif

**PATENT**

**REEL: 018716 FRAME: 0050**

ATTY DOCKET NO. SMA002/155779

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
☐ U.S. Provisional Application For:  
☐ U.S. Patent  
For: ☐ PCT Application  
By: ☒ Inventor(s) or ☐ Present Owner

**CORRECTIVE ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS:**

Inventor(s) or person(s) or  
Entity(ies) who own the invention:

Arthur L. Smalley, III  
25802 Budde Road  
Spring, Texas 77380

*(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)*

Recorded on November 18, 2004  
hereby sells, assigns and transfers to

Reel/Frame 016012/0015

**ASSIGNEE:**

**AIRLITE CORPORATION**  
25802 Budde Road  
Spring, Texas 77380

and the successors, assigns and legal representatives of the ASSIGNEE, *nunc pro tunc*, effective as of November 18, 2004. *(complete one of the following)*

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_ percent (\_\_\_\_%)

for the United States and its territorial possessions

*(check the following box, if foreign rights are also to be assigned)*

- ☒ and in all foreign countries, including all rights to claim priority, in and to any

and all improvements which are disclosed in the invention entitled:

**METHOD AND SYSTEM FOR MODULAR BUILDING CONSTRUCTION**

Name of inventor(s)

**Arthur L. Smalley, III***(check and complete (a), (h), (c), (d), (e), (f) or (g))*

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on \_\_\_\_\_
- (c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.
- ☐ Express Mail Label No.: \_\_\_\_\_
- Mailed: \_\_\_\_\_
- (d) ☒ U.S. Application No. 10/992.497 filed on November 18, 2004
- (e) ☐ International Application No. PCT/\_\_\_\_/\_\_\_\_
- (f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_
- ☐ a change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

*(also check (g), if foreign application(s) is also being assigned)*

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

**ASSIGNORS** hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

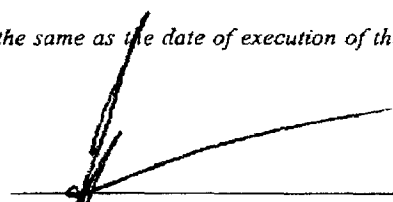
**ASSIGNORS** further covenant that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to **ASSIGNORS** and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 24<sup>th</sup> day of August, 2006, the Assignment to be effective **nunc pro tunc**, as of November 18, 2004.

Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: AUG. 24, 06

  
\_\_\_\_\_  
Arthur L. Smalley, III  
Signature of ASSIGNOR

(if ASSIGNOR is a legal entity, complete the following information)

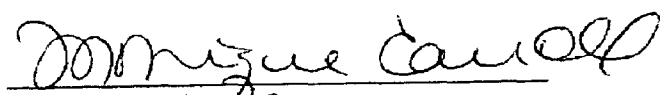
NOTE No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 267. Use next page if notarization is desired.

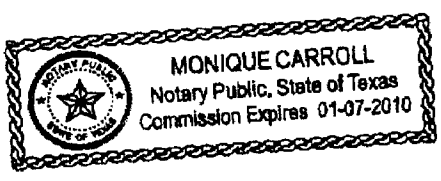
☒ Notarization or Legalization Page Added.

THE STATE OF TEXAS           §  
  §  
COUNTY OF Montgomery   §

BEFORE ME, the undersigned authority, on this day personally appeared **ARTHUR L. SMALLEY, III** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 24<sup>th</sup> day of August, 2006.

  
\_\_\_\_\_  
Notary Public in and for \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_





For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
☐ U.S. Provisional Application For:  
☐ U.S. Patent  
For: ☐ PCT Application  
By: ☒ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS:**

Inventor(s) or person(s) or  
Entity(ies) who own the invention:

Arthur L. Smalley, III  
25802 Budde Road  
Spring, Texas 77380

*(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)*

Recorded on \_\_\_\_\_ Reel/Frame \_\_\_\_\_  
hereby sells, assigns and transfers to

**ASSIGNEE:**

**AIRLITE CORPORATION**  
**25802 Budde Road**  
**Spring, Texas 77380**

and the successors, assigns and legal representatives of the ASSIGNEE  
*(complete one of the following)*

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_%)  
for the United States and its territorial possessions  
*(check the following box, if foreign rights are also to be assigned)*

- ☒ and in all foreign countries, including all rights to claim priority, in and to any

and all improvements which are disclosed in the invention entitled:

# Method & System for Modular Building Construction

## ~~DATABASE AND SOFTWARE CONVERSION SYSTEM AND METHOD~~

Name of inventor(s)

**Arthur L. Smalley, III**

*(check and complete (a), (h), (c), (d), (e), (f) or (g))*

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.

☐ Express Mail Label No.: \_\_\_\_\_

Mailed: \_\_\_\_\_

- (d) ☐ U.S. Application No. \_\_\_\_\_ filed on \_\_\_\_\_  
(e) ☐ International Application No. PCT/\_\_\_\_/\_\_\_\_  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_

☐ a change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

*(also check (g), if foreign application(s) is also being assigned)*

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

**ASSIGNORS** hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

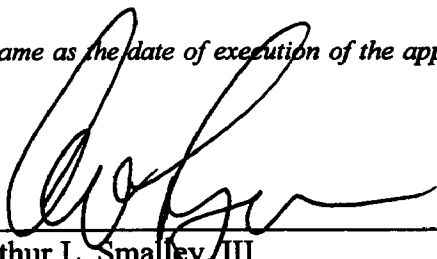
**ASSIGNORS** further covenant that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to **ASSIGNORS** and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this \_\_\_\_ day of November, 2004.

Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: Nov. 17, 04

  
\_\_\_\_\_  
Arthur L. Smalley, III  
Signature of ASSIGNOR

*(if ASSIGNOR is a legal entity, complete the following information)*

\_\_\_\_\_  
*(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)*

\_\_\_\_\_  
Title

**NOTE** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 267. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

THE STATE OF TEXAS

COUNTY OF Montgomery

§  
§  
§

BEFORE ME, the undersigned authority, on this day personally appeared **ARTHUR L. SMALLEY, III** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 17 day of November, 2004.



R. Rivers  
Notary Public in and for \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_