

11/18/06
RECORDATION

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PATENTS ONLY

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1. Name of conveying party(ies): Yu-Hsuan Kuo
James S. Harris Jr.
David A.B. Miller

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**2. Name and address of receiving party(ies):**

Name: The Board of Trustees of the Leland Stanford Junior University

Street address: 1705 El Camino Real

City: Palo Alto

State: CA

Zip: 94306-1106

Country: USA

Additional name(s) and address(es) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**☒ Assignment☐ Joint Research Agreement☐ Security Agreement☐ Government Interest Assignment☐ Merger☐ Executive Order 9424m Confirmatory License☐ Change of Name☐ Other**Execution Date(s):** December 12, 2006**4. Application or patent number(s):**

A. Patent Application No.(s)

A. Patent No.(s)

11/524505

☐ This document is being filed together with a new application.Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**

Name: LUMEN Intellectual Property Services, Inc.

Street address: 2345 Yale Street, Second Floor

City: Palo Alto

State: CA

Zip: 94306

Country: USA

Phone No.: 650-424-0100

Fax No.: 650-424-0141

Email: admin@lumen.com

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41): \$40**☒ Authorized to be charged by credit card**8. Payment information:**

a. Credit Card Last 4 Numbers: 9737

Credit Card Expiration Date: 10/2009

9. Signature:

Signature

Printed Name

Robert Lodenkamper

Date

12/15/06

Total number of pages including cover sheet,
attachments and documents: 5Documents to be recorded (including cover sheet) should be faxed to (571-273-0140), or mailed to:
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ASSIGNMENT

WHEREAS, I/WE:

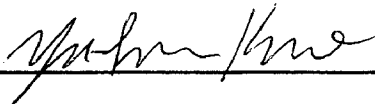
Yu-Hsuan Kuo, a citizen of Taiwan, residing at EE Building 2, Room 237, National Taiwan University, Taipei, 106, Taiwan

James S. Harris Jr., a citizen of USA, residing at 763 Esplanada Way, Stanford, CA 94305, USA

David A.B. Miller, a citizen of USA, residing at 815 San Francisco Court, Stanford, CA 94305-1021, USA

- 1) For valuable consideration, the receipt and adequacy of which receipt is acknowledged, I/we, the above-named Assignor(s), each sell and assign to The Board of Trustees of the Leland Stanford Junior University, hereinafter Assignee, the entire right, title and interest in and to the invention or inventions as described in US Patent Application, 11/524505, entitled Silicon-based Ge/SiGe Optical Interconnects filed on 9/19/2006 and naming Assignor(s) as inventor(s), and to any and all applications for patent and patents in any and all countries relating to said invention(s), including all divisions, continuations, reissues and extensions thereof, and including all domestic and foreign rights of priority resulting from the filing of said US patent application.
- 2) I/we hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment, and that at the time of execution and delivery of this assignment, I/we are the sole lawful owner(s) of the entire right, title and interest in and to said invention(s).
- 3) I/we hereby agree that Assignee may apply for foreign patents relating to said invention(s) and irrevocably authorize Assignee and grant Assignee the sole right to do so.
- 4) I/we agree that on request and without further consideration, but at the expense of Assignee, I/we will sign all lawful papers, make all rightful oaths, and testify in any legal proceedings relating to said invention(s), and generally aid Assignee, or its successors, assigns, or nominees, to obtain and enforce proper patent protection for said invention(s) in any and all countries.
- 5) I/we authorize and request any official whose duty it is to issue patents to issue any patent on said invention(s) or resulting therefrom to Assignee, or its successors, assigns, or nominees.

Inventor: **Yu-Hsuan Kuo**

Signature: 

Date: 11/16/2006

Inventor: **James S. Harris Jr.**

Signature: _____

Date: _____

Inventor: **David A.B. Miller**

Signature: _____

Date: _____

Inventor: **Yu-Hsuan Kuo**

Signature: _____

Date: _____

Inventor: **James S. Harris Jr.**

Signature: James S. Harris Jr.

Date: 12/12/06

Inventor: **David A.B. Miller**

Signature: _____

Date: _____

Inventor: **Yu-Hsuan Kuo**

Signature: _____

Date: _____

Inventor: **James S. Harris Jr.**

Signature: _____

Date: _____

Inventor: **David A.B. Miller**

Signature: David A.B. Miller

Date: 11/14/06

Agent Reference: S05-229/US

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