

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

CAMPIN, John A.
BOWES, John J.

Execution Date(s) 01/08/07, 01/05/07

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

2. Name and address of receiving party(ies)

Name: Alcon RefractiveHorizons, Inc.

Internal Address: Mail Code TB4-8

Street Address: 6201 South Freeway

City: Fort Worth

State: Texas

Country: US Zip: 76134

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/493,081

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Alcon Research, Ltd.

Internal Address: Mail Code TB4-8

Street Address: 6201 South Freeway

City: Fort Worth

State: Texas Zip: 76134

Phone Number: (817) 615-5056

Fax Number: (817) 551-4610

Email Address: pastrana.jf.armando@alconlabs.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

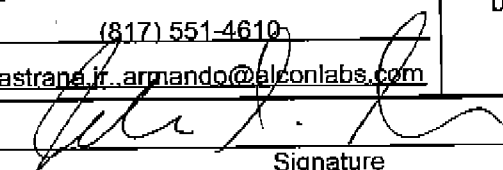
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-2996

Authorized User Name Armando Pastrana, Jr.

9. Signature:



Signature

Date

Jeffrey S. Schira, Reg. No. 34,922

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

12

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
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Express Mail EV 888819823 US

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

08-01-2006

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



103284288

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

7/26/06

1. Name of conveying party(ies)/Execution Date(s):

CAMPIN, John A.
BOWES, John J.

Execution Date(s) 7/20/06, 7/20/06

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

2. Name and address of receiving party(ies)

Name: Alcon RefractiveHorizons, Inc.

Internal Address: Mail Code TB4-8

Street Address: 6201 South Freeway

City: Fort Worth

State: Texas

Country: US Zip: 76134

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

NYA

B. Patent No.(s)

11/493081

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Alcon Research, Ltd.

Internal Address: Mail Code TB4-8

Street Address: 6201 South Freeway

City: Fort Worth

State: Texas Zip: 76134

Phone Number: (817) 615-5056

Fax Number: (817) 551-4610

Email Address: pastrana jr, armando@alconlabs.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

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- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-2996

Authorized User Name Armando Pastrana, Jr.

9. Signature:

Armando Pastrana, Jr.
Signature

26 July 2006
Date

Armando Pastrana, Jr. Reg. No. 44,997

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (703) 308-5995, or mailed to:
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07/31/2006 NJAWR1 00000074 502996 11493081

01 FC:8021

40.00 DA

ASSIGNMENT

WHEREAS I am a below named joint inventor of the invention entitled:

**OPHTHALMIC DEVICE POSITIONING SYSTEM AND
ASSOCIATED METHODS**

and further identified by Attorney Docket No. 2847 US; for which I have executed an application for United States Letters Patent; and

WHEREAS, ALCON REFRACTIVEHORIZONS, INC. a Delaware corporation organized under the laws of Delaware and having a place of business at 6201 South Freeway, Fort Worth, Texas 76134, is desirous of acquiring the entire right, title, and interest in and to said inventions and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, we do hereby sell, assign, and transfer to ALCON REFRACTIVEHORIZONS, INC., its legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, we hereby agree that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant and render all necessary assistance in making application for and obtaining original, divisional, continuation-in-part, reexamined, reissued, or other Letters Patent of the United States or of any and all foreign countries on said invention and in enforcing any rights in action accruing as a result of such applications or patents, said assistance to include our cooperation in all prosecution associated with obtaining such applications or patents and our provision of testimony in any proceedings or transactions involving such applications or patents, it being understood that the foregoing covenant and agreement shall bind, and insure to the benefit of, the assigns and legal representatives of assignor and assignee.

ASSIGNMENT

WHEREAS I am a below named joint inventor of the invention entitled:

**OPHTHALMIC DEVICE POSITIONING SYSTEM
AND ASSOCIATED METHODS**

and further identified by Attorney Docket No. 2847 US; for which I have executed an application for United States Letters Patent; and

WHEREAS, ALCON REFRACTIVEHORIZONS, INC., a Swiss corporation organized under the laws of Switzerland and having a place of business at P. O. Box 62, Bosch 69, CH-6331, Hünenberg, Switzerland, is desirous of acquiring the entire right, title, and interest in and to said inventions and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, we do hereby sell, assign, and transfer to ALCON REFRACTIVEHORIZONS, INC., its legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, we hereby agree that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant and render all necessary assistance in making application for and obtaining original, divisional, continuation-in-part, reexamined, reissued, or other Letters Patent of the United States or of any and all foreign countries on said invention and in enforcing any rights in action accruing as a result of such applications or patents, said assistance to include our cooperation in all prosecution associated with obtaining such applications or patents and our provision of testimony in any proceedings or transactions involving such applications or patents, it being understood that the foregoing covenant and agreement shall bind, and insure to the benefit of, the assigns and legal representatives of assignor and assignee.

AND, we request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said ALCON REFRACTIVEHORIZONS, INC., its legal representatives, successors, or assigns, as the sole owner of the entire right, title, and interest in said patent and the invention covered thereby.

JOHN A. CAMPIN

[Signature]
Date: 7/20/06

STATE OF FLORIDA
COUNTY OF ORANGE

§
§
§



On this 20 day of July 2006, before me personally appeared JOHN A. CAMPIN to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

[Signature]
Notary Public

JOHN J. BOWES

[Signature]
Date: 7/20/2006

STATE OF FLORIDA
COUNTY OF ORANGE

§
§
§



On this 20 day of July 2006, before me personally appeared JOHN J. BOWES to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

[Signature]
Notary Public

Address for Correspondence:
Armando Pastrana, Jr.
Alcon Research, Ltd.
IP Legal (TB4-8)
6201 South Freeway
Fort Worth, Texas 76134-2099
(817) 615-5056
Docket No. 2847 US

AND, we request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said ALCON REFRACTIVEHORIZONS, INC., its legal representatives, successors, or assigns, as the sole owner of the entire right, title, and interest in said patent and the invention covered thereby.

JOHN A. CAMPIN

[Signature]
Date: 1/8/07

STATE OF FLORIDA
COUNTY OF ORANGE



On this 8 day of JANUARY, 2007, before me personally appeared JOHN A. CAMPIN to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

[Signature]
Notary Public

JOHN J. BOWES

[Signature]
Date: 1/5/2007

STATE OF FLORIDA
COUNTY OF ORANGE



On this 5 day of JANUARY, 2007, before me personally appeared JOHN J. BOWES to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

[Signature]
Notary Public

Address for Correspondence:

Armando Pastana, Jr.
Alcon Research, Ltd.
IP Legal (TB4-8)
6201 South Freeway
Fort Worth, Texas 76134-2099
(817) 615-5056

Docket No. 2847 US



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 18, 2006

PTAS



103284288A

ALCON RESEARCH, LTD.
6201 SOUTH FREEWAY
MAIL CODE TB4-8
FORT WORTH, TX 76134

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/26/2006

REEL/FRAME: 018136/0361
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
CAMPIN, JOHN A.

DOC DATE: 07/20/2006

ASSIGNOR:
BOWES, JOHN J.

DOC DATE: 07/20/2006

ASSIGNEE:
ALCON REFRACTIVELHORIZONS, INC.
6201 SOUTH FREEWAY
MAIL CODE TB4-8
FORT WORTH, TEXAS 76134

SERIAL NUMBER: 11493081

FILING DATE: 07/26/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: OPHTHALMIC DEVICE POSITIONING SYSTEM AND ASSOCIATED METHODS

RECEIVED

AUG 28 2006

AP

PATENT

018136/0361 PAGE 2

SHARON BROOKS, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

Alcon

RESEARCH, Ltd.

6201 SOUTH FREEWAY
FORT WORTH, TEXAS 76134-2099
(817) 293-0450

January 10, 2007

(817) 551-8819 TELEPHONE
(817) 551-4610 TELECOPIER

IP LEGAL

- TELEFAX TRANSMISSION COVER SHEET -	
TO:	MAIL STOP ASSIGNMENT RECORDATION SERVICES
FAX:	(571) 273-0140
FROM:	Armando Pastrana, Jr.
RE:	Request for Correction of Notice of Recordation of Assignment Document (Docket 2847 US)
MESSAGE:	Please see attached.
THIS FAX CONSISTS OF <u>14</u> PAGES INCLUDING THIS COVER SHEET.	
NOTE: If you do not receive all pages, please call Rebecca Burt at (817) 551-4937 as soon as possible.	
THANK YOU!	
IF YOU ARE NOT THE ADDRESSEE ON THIS COVER SHEET, PLEASE DESTROY THIS AND ALL FOLLOWING PAGES IMMEDIATELY.	

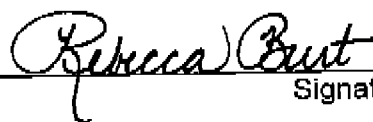
PTO/SB/97 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on January 8, 2007
Date

Signature

Rebecca Burt

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Recordation Form Cover Sheet
Request for Correction of Notice of Recordation of Assignment Document
Assignment
Copy of Notice of Recordation of Assignment Document previously filed
Copy of Assignment Document previously filed
Fax Cover Page

Docket No. 2847 US

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

In re Application of: Campin, et al.

Serial No.: 11/493,081

Confirmation No.: 8142

Filing Date: July 26, 2006

For: "OPHTHALMIC DEVICE POSITIONING SYSTEM AND ASSOCIATED
METHODS"

**REQUEST FOR CORRECTION OF
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

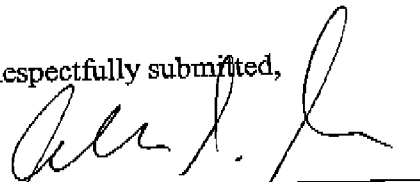
U.S. Patent and Trademark Office
Assignment Division
Box Assignments, CG-4
1213 Jefferson Davis Highway
Suite 320
Washington, DC 20231

Sir:

Please issue a corrected Notice of Recordation of Assignment Document for the above-referenced case (copy attached). The Assignment previously filed with this office on August 18, 2006, Reel/Frame 018136/0361, incorrectly set out the Assignee, Alcon RefractiveHorizons, Inc. as a "Swiss Corporation organized under the laws of Switzerland and having a place of business at P.O. Box 62, Bosch 69, CH-6331, Hunenberg, Switzerland." **The correct information for Assignee, Alcon RefractiveHorizons, Inc. should be "a Delaware Corporation organized under the laws of Delaware and having a place of business at 6201 South Freeway, Fort Worth, Texas 76134."** (New copy of Assignment attached).

The Commissioner is also authorized to deduct the \$100.00 fee for this correction from Deposit Account No. 50-2996. The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Deposit Account No. 50-2996. A duplicate copy of this letter is attached.

Respectfully submitted,



Jeffrey S. Schira, Reg. No. 34,922

Date: _____

Address for Correspondence:

Armando Pastana, Jr. (TB4-8)
R&D Counsel
Alcon Research, Ltd.
6201 So. Freeway
Fort Worth, TX 76134-2099
(817) 615-5056

Attorney Docket No.: 2847 US