01-08-2007



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U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 08350.6384-00

To the Director of the U.S. Pa	4 (1/1/14 (1/14/1)		Mail Sto	p Assignment R	ecordation Services	
Name of conveying party(ies):			2. Name and address of receiving party(ies):			
Kevin Patrick DUFFY		Name:	Caterpillar	Inc.	PTO #	
Additional name(s) of conveying	g party(ies) attached? ☐ Yes ☒ No	Internal	Address:		∪.s 468	
3. Nature of conveyance:		Street A	ddress: 10	00 N.E. Adams S	street $\frac{70}{2}$	
	☐ Merger	City:	Peoria	, , , , , , , , , , , , , , , , , , , 	= = =	
Security Agreement	☐ Change of Name	State:	Illinois	Zip Code:	61629-6490	
Joint Research Agreement	Government Interest Assignment	Addition	al name(s) & A	ddress(es) attacl	ned?	
Executive Order 9494, Confirmatory License	Other:		☐ Yes	⊠ No		
Execution Date: December	20, 2006					
4. Application number(s) the application: Dece	or patent number(s): If this document is mber 20, 2006	being filed to	ogether with a r	new application, t	he execution date of	
A. Patent Application Number(s):			Patent Number	er(s):		
	Additional acceptance wheels all		⊠Na			
	Additional numbers attached?	☐ Yes	⊠ No)		
5. Name and address of concerning document	party to whom correspondence should be mailed:	6.	Total number	of applications a	nd patents involved:	
Name: Jin Zhang		7.	Total fee (37	CFR 1.21(h) and	3.41): \$40	
Internal Address: FINNEGAI & DUNNE	N, HENDERSON, FARABOW, GARRET R, L.L.P.			l (Please charge nent to deposit a	deficiency or credit ecount 06-0916)	
Street Address: 901 New Y	ork Avenue, N.W.		Authorize	ed to be charged	to deposit account	
City: Washington, [D.C.	į				
State:	Zip: 20001-4413	8.	Deposit Accor	unt No.: <u>06-0916</u>	46840	
9. Statement and signat	ure.	•			311	
To the best of my knowledge a document. Signed:	Jin Zhang, L.R. No. L02		t and any attac	thed copy is a tru	e converginal	
Tota	I number of pages including cover shee				JOHN 1	
EV 847 359 708 US	CERTIFICATE UNDER 37 CFR § 1.10		("EXPRESS MAIL" December 27, 2006		5/2007 #	
USPS Express Mail Label N	lumber	40.	Date of Deposit		£ 8:	

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I hereby certify that this correspondence is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 FR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cindy Baglietto

PATENT REEL: 018743 FRAME: 0957

SOLE INVENTION (Worldwide Rights) Attorney Docket No. 08350.6384-00000 Client Ref. No. 06-384

ASSIGNMENT

WHEREAS I, the below named inventor, hereinafter referred to as Assignor, have made an invention entitled:

SYSTEM AND METHOD FOR BALANCING AN ENGINE DURING CYLINDER CUTOUT

for which I executed an application for United States Letters Patent concurrently herewith; and

WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 N.E. Adams Street Peoria, IL 61629-6490, hereinafter referred to as Assignee, is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I, as Assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I HEREBY covenant that I have the full right to convey the interest assigned by this Assignment, and I have not executed and will not execute any agreement in conflict with this Assignment;

AND, I HEREBY further covenant and agree that I will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, we have hereunto set our hands.

FULL NAME OF SOLE OR FIRST ASSIGNOR Kevin Patrick Duffy	ASSIGNOR'S SIGNATURE KEUM P DUM	DATE 12-20-06
ADDRESS 1575 Sweetwater Bend		сітіzensнір United States
Metamora, Illinois 61548		

Listing of Inventors Continued on Page 2 hereof ☐ Yes ☐ No

Page 1 of 2

PATENT REEL: 018743 FRAME: 0958

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REEL: 018743 FRAME: 0959