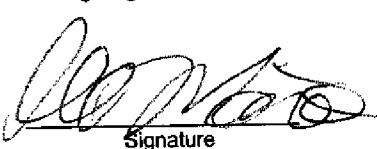


Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
				Attorney Docket No. 520.46859X00	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Shin'ichi NAKATSUKA Tsukuru OHTOSHI Kazunori SHINODA Akihisa TERANO Hitoshi NAKAMURA Shigehisa TANAKA Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies) Name: OPNEXT JAPAN, INC. Street Address: 216 Totsuka-cho, Totsuka-ku, Yokohama-shi, Kanagawa 244-8567, Japan Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance/ Execution Date: November 16, 2006; November 16, 2006; November 21, 2006; November 17, 2006; November 16, 2006; November 17, 2006. <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input checked="" type="checkbox"/> Other <u>CORRECTED ASSIGNMENT --- The 5th and 6th</u> <u>inventors have been omitted on previous Cover Sheet</u> <u>and Notice of Recordation.</u>					
4. Application number(s) or patent number(s): A. Patent Application No.(s) <div style="text-align: center;">11/606,186</div>			<input type="checkbox"/> This document is being filed together with a new application. B. Patent Registration No.(s)		
Additional number(s) attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: ANTONELLI, TERRY, STOUT & KRAUS, LLP Internal Address: 1300 NORTH 17TH STREET - SUITE 1800 City: ARLINGTON State: VA Zip 22209 Phone Number: (703) 312-6600 Facsimile Number: (703) 312-6666 Email Address: email@antonelli.com			6. Total number of applications and patents involved 7. Total fee (37 CFR 3.41)..... \$40.00 <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)		
			8. Payment Information: a. Credit Card Last 4 numbers: Expiration date: b. Deposit account number: 01-2135 Authorized User Name:		
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>					
Gregory E. Montone, Reg#28,141 <hr style="width: 100%;"/> Name of Person Signing		 Signature		January 12, 2007 <hr style="width: 100%;"/> Date	
Total number of pages including cover sheet, attachments, and document: 76					

OP \$40.00 11606186

12-06-2006

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(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/20)

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Attorney Docket No. 520.46859X00

To the Honorable Commissioner of Patents and Trademarks, attached original documents or copy thereof.

1. Name of conveying party(ies):

Shin'ichi NAKATSUKA

Tsukuru OHTOSHI, Kazunori SHINODA, Akihisa TERANO

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: OPNEXT JAPAN, INC.

Street Address: 216 Totsuka-cho, Totsuka-ku
Yokohama-shi, Kanagawa, Japan

City: State: Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No3. Nature of conveyance/ Execution Date: November 16, 2006,
November 16, 2006, November 21, 2006, November 17,
2006, November 16, 2006, November 17, 2006.☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Joint Research Agreement☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☐ Other4. Application number(s) or patent number(s):
application November 30, 2006.

A. Patent Application No.(s)

☐ This document is being filed together with a new

B. Patent Registration No.(s)

12/01/2006 CNGUYEN2 00000123 11606186

04 FC:8021

40.00 DP

Additional number(s) attached ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: ANTONELLI, TERRY, STOUT & KRAUS, LLP

Internal Address:

1300 NORTH 17TH STREET - SUITE 1800

City: ARLINGTON State: VA Zip 22209

Phone Number: (703) 312-6600

Facsimile Number: (703) 312-6668

Email Address: email@antonelli.com

6. Total number of applications and patents involved ☒

7. Total fee (37 CFR 3.41)..... \$40.00

☒ Authorized to be charged by credit card☐ Authorized to be charged to deposit account☒ Enclosed☐ None required (government interest not affecting title)

8. Payment Information:

a. Credit Card Last 4 numbers: 2097

Expiration date: 06/10

b. Deposit account number: 01-2135

Authorized User Name: Gregory E. Montone

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gregory E. Montone, Reg#28,141

Name of Person Signing

Signature

11/30/2006

Date

Total number of pages including cover sheet, attachments, and document: ☒ 2Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 018763 FRAME: 0954

ASSIGNMENT

(譲渡証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by OPNEXT JAPAN, INC., a corporation organized under the laws of Japan, located at 216 Totsuka-cho, Totsuka-ku, Yokohama-shi, Kanagawa, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said OPNEXT JAPAN, INC., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

Semiconductor Laser Device

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said OPNEXT JAPAN, INC., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said OPNEXT JAPAN, INC.,

Signed on the date(s) indicated aside signatures:

INVENTOR(S) (発明者フルネームサイン)	Date Signed (署名日)
1) <u>Shinichi Nakatsuka</u>	<u>11/16/2006</u>
2) <u>Takumu Ohtsuki</u>	<u>11/16/2006</u>
3) <u>Kazumori Shimoda</u>	<u>11/21/2006</u>
4) <u>Akihiko Terano</u>	<u>11/17/2006</u>
5) <u>Hitoshi Nakamura</u>	<u>11/16/2006</u>
6) <u>Shigeru Tanaka</u>	<u>11/17/2006</u>
7) _____	_____
8) _____	_____
9) _____	_____

PATENT